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RESEARCH ARTICLE



Th2 and Th17-associated immunopathology following SARS-CoV-2 breakthrough infection in Spike-vaccinated ACE2-humanized mice

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Abstract

Vaccines have demonstrated remarkable effectiveness in protecting against COVID-19; however, concerns regarding vaccine-associated enhanced respiratory diseases (VAERD) following breakthrough infections have emerged. Spike protein subunit vaccines for SARS-CoV-2 induce VAERD in hamsters, where aluminum adjuvants promote a Th2-biased immune response, leading to increased type 2 pulmonary inflammation in animals with breakthrough infections. To gain a deeper understanding of the potential risks and the underlying mechanisms of VAERD, we immunized ACE2-humanized mice with SARS-CoV-2 Spike protein adjuvanted with aluminum and CpG-ODN. Subsequently, we exposed them to increasing doses of SARS-CoV-2 to establish a breakthrough infection. The vaccine elicited robust neutralizing antibody responses, reduced viral titers, and enhanced host survival. However, following a breakthrough infection, vaccinated animals exhibited severe pulmonary immunopathology, characterized by a significant perivascular infiltration of eosinophils and CD4⁺ T cells, along with increased expression of Th2/Th17 cytokines. Intracellular flow cytometric analysis revealed a systemic Th17 inflammatory response, particularly pronounced in the lungs. Our data demonstrate that aluminum/CpG adjuvants induce strong antibody and Th1-associated immunity against COVID-19 but also prime a robust Th2/Th17 inflammatory response, which may contribute to the rapid onset of T cell-mediated pulmonary immunopathology following a breakthrough infection. These findings underscore the necessity for further research to unravel the complexities of VAERD in COVID-19 and to enhance vaccine formulations for broad protection and maximum safety.

KEYWORDS

breakthrough infection, eosinophil, pulmonary immunopathology, Spike protein vaccine, Th17, Th2 $\,$

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1 | INTRODUCTION

Coronavirus disease 2019 (COVID-19), which is caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has stimulated global efforts of vaccine development and administration. The SARS-CoV-2 Spike protein has been used as a primary vaccine antigen, given its dominant role in mediating SARS-associated coronavirus infection.¹ Spike-based vaccines are safe and effective in reducing severe COVID-19 (see Review²). However, protective immunity elicited by SARS-CoV-2 infection or COVID-19 vaccines may wane rapidly, leading to a rapid decrease in protective effectiveness within 6 months.3-5 In addition, due to the active evolution of SARS-CoV-2, new variants of concern can gain the ability to evade prior immunity, notably neutralizing antibodies, via selection against the immune epitopes.⁶ Under such circumstances, breakthrough infections in vaccinated individuals could occur fairly soon after vaccination,^{7,8} independent of demographic factors or comorbidities.9

Vaccine-associated enhanced respiratory diseases (VAERD) can occur in vaccinated individuals during breakthrough infections. It is well documented in humans that formalin-inactivated Respiratory Syncytial Virus (RSV) vaccines could cause lung eosinophilia, leading to enhanced respiratory diseases in infants and young children during breakthrough RSV infections.¹⁰ While VAERD has not been reported in humans following COVID-19 breakthrough infections, in animal models, SARS-associated coronavirus vaccines have been shown to enhance type 2 immunopathology (IL-4/5/13 and eosinophils) in the lung following breakthrough infections.¹¹⁻¹³ This has been reported in multiple animal species including mice, hamsters, ferrets and nonhuman primates, and even more concerning, with multiple vaccine types including inactivated vaccine, virus-like particle vaccine containing multiple virus proteins, Spike-expressing viral vector vaccine, and Spike protein subunit vaccine.¹¹⁻¹³ Although adjuvants are not required for the development of SARS-associated coronavirus VAERD in animals, aluminum-based (Alum) adjuvants can aggravate the observed vaccine-associated Th2-biased pulmonary immunopathology for Spike protein subunit vaccine.¹³ Alum-based adjuvants predominantly skew towards Th2 effector cell differentiation and a strong humoral immunity¹⁴; they are also the most widely used adjuvants in human vaccines.¹⁵ CpG oligodeoxynucleotides (CpG), a soluble Toll-like receptor 9 (TLR9) ligand, can increase both cellular and humoral immunity, particularly with strong Th1 and CD8⁺ T cell immunity.¹⁶ In aged BALB/c mice, the combination of Alum and CpG has been used in COVID-19 subunit vaccines containing Spike Receptor-Binding Domain (RBD) as antigen.^{17,18} Compared to Alum or CpG alone, the combination of both adjuvants enhanced host protection against a mouse-adapted SARS-CoV-2 strain.¹⁸ It is however unclear whether this combined adjuvant strategy is safe and effective in humans.

In this study, we investigated safety and efficacy of a Spike protein subunit vaccine adjuvanted with Alum and CpG in an ACE2humanized mouse model, along with the human isolate of SARS-CoV-2 (USA-WA1/2020). As expected, vaccination with Spike protein in combination with Alum + CpG adjuvant induced robust neutralizing antibody production, Th1 effector cells, and Spike-specific CD8⁺ T cells. However, in the face of breakthrough infections, despite a significant reduction of mortality and lung viral load, vaccinated mice suffered substantial pulmonary immuno-pathology. As previously reported, the observed lung pathology is associated with eosinophilia and enhanced Th2 effector immunity. To our surprise, we also observed a substantial systemic Th17 response, most prominently in the lung. Our results reveal the predominant Th17-associated inflammatory response in ACE2-humanized mice, following COVID-19 vaccination and break-through infection. This model may be used to investigate COVID-19 vaccine-associated enhanced respiratory diseases.

2 | MATERIALS AND METHODS

2.1 | Mice

B6. Cg-Tg(K18-ACE2)2Prlmn/J (K18-hACE2; Strain #:034860) mice were purchased from the Jackson Laboratory and housed in the Division of Laboratory Animal Medicine, at Louisiana State University School of Veterinary Medicine. All experiments were approved by the Institutional Animal Care and Use Committee at Louisiana State University.

2.2 | Immunization and SARS-CoV-2 infection

Male mice at the age of around 10 weeks were immunized intramuscularly (I.M., ipsilateral administration) in a prime-boost regimen (Figure 1, day 0 and day 21) with 5 µg/dose of recombinant SARS-CoV-2 Spike protein (S1+S2 extracellular domain) (Bon Opus Biosciences, #BP040) adjuvanted with 100 µg/dose Alum (alhydrogel adjuvant 2%, InvivoGen, #vac-alu-250) and 20 µg/dose CpG (ODN 2395 - TLR9 ligand, InvivoGen, #tlrl-2395-5) in 100 µL of PBS (pH 7.4). Mice vaccinated with PBS were used as negative controls. Blood was collected from the facial vein at the indicated time points, before infection, to confirm the induction of Spike-specific antibodies, neutralizing antibodies, and total IgE. Control and vaccinated mice were initially challenged with a low dose (500 PFU) of SARS-CoV-2 (USA-WA1/2020, BEI Resources, # NR-52281), followed by a high dose (10 000 PFU) infection of the same virus 3 days later. Viruses were delivered to mice intranasally in 50 µl of sterile PBS. Infected animals were monitored daily. Mice that did not respond to back padding or lost ≥20% of original weight were humanely euthanized and recorded as a death event. Seven days post the initial infections (dpi), all viable animals were euthanized for analyses. Serum, spleen, lung and mediastinal lymph nodes were collected.





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FIGURE 1 Protective effects and VAERD in hACE2 transgenic mice following SARS-CoV-2 Spike protein vaccination and breakthrough infection. (A) Schematic of experimental design. K18-hACE2 mice were vaccinated with PBS (Control) or SARS-CoV-2 Spike protein (Vaccine) intramuscularly (I.M.), followed by challenge with increasing dosage of SARS-CoV-2 administered intranasally (I.N.). (B) Neutralizing antibody titers following vaccination. Before infection (day 34 post vaccine priming), sera were collected from mice and analyzed in neutralization assays to verify the induction of neutralizing antibodies by vaccination (Control n = 5; Vaccine n = 6 randomly sampled from each cage). Limit of detection, LoD = 100. (C) Percentage of original body weight and survival at the indicated time points. *P* value in weight curve was calculated by log-rank test. Animals that lost $\geq 20\%$ body weight were euthanized and recorded as dead, and organs were collected for analysis. All animals were euthanized at the beginning of day 7 post infection. (D) SARS-CoV-2 viral load (genome copies/gram) in lungs (Control + SARS-CoV-2 n = 5; Vaccine + SARS-CoV-2 n = 10). * $p \le 0.05$ by two-tailed student's t test. (E) Representative images of H&E and PAS staining of lung tissue sections. Magnification: 200 ×. Scale bar = 100 μ m. Br denotes bronchioles and *denotes pulmonary vasculature. Rectangles indicate zoom-in areas depicting increase in perivascular eosinophils and higher frequency of goblet cells in the bronchiolar epithelium. (F) Histopathological scores. Control n = 3; Vaccine n = 8; Control + SARS-CoV-2 n = 5; Vaccine + SARS-CoV-2 n = 8; Control + SARS-CoV-2 n = 5; Vaccine + SARS-CoV-2 n = 8; Control + SARS-CoV-2 n = 5; Vaccine + SARS-CoV-2 n = 10. *p < 0.05, **p < 0.01, ****p < 0.0001 by one-way ANOVA with multiple comparisons. Data presented as Mean \pm S.E.M.

2.3 | Serum neutralizing antibody titration

Calu-3 cells (ATCC; HTB-55) $(1 \times 10^5$ /well in 50 µl) were seeded in 96-well plates, followed by incubation in 37°C, with 5% CO₂, for 6–12 h before infection. Titrated sera were mixed with SARS-Co-V2 virus (US-AWA1/2020; 1000 PFU) in 50 µl and incubated in 37°C for 30 min, and then applied to the seeded cells. Forty hours post infection, supernatant was removed, and cells were incubated with 60 µl of 0.25% trypsin-EDTA (25200072; ThermoFisher Scientific) for 2–3 min, followed by the addition of 120 µl of 6% paraformaldehyde (PFA)/PBS. Cells were then

transferred to V-bottom plates and fixed overnight. Fixed cells were then permeabilized and stained (using BioLegend Buffer 421002) for intracellular SARS-CoV-2 nucleocapsid (N) protein using a monoclonal Alexa Fluor 647-conjugated anti-N antibody (kind gift from Dr. Yongjun Guan). Flow cytometric data were acquired with an automatic plate reader system (CytoFlex, Beckman Coulter, Inc.), and analyzed using FlowJo Software (version 10.1, FlowJo, LLC). Percentages of infection and dilution factors were used for nonlinear regression to calculate for doses for 50% inhibition (ID50) indicated by the dilution factors in Prism 9.3.1 (GraphPad).

2.4 | Quantitative reverse-transcription PCR (qRT-PCR)

The post-caval lobe of the lung was weighed and homogenized in 1 ml of PBS (pH 7.4) using a TissueLyser LT (Qiagen). RNA was extracted using TRIzol[™] LS Reagent (Invitrogen) and cDNA was generated using Protoscript II First Strand cDNA Synthesis Kit (New England Biolabs). SARS-CoV-2 was detected by PCR using the 2019-nCoV RUO Kit (IDTDNA, # 10006713). To quantify viral genome copies, quantitative PCR (qPCR) Extraction Control from Heat-Inactivated SARS-Related Coronavirus 2, Isolate USA-WA1/2020 (BEI Resources, # NR-52347) was used to generate the standard curve, which was used for calculating the genome copies per gram in the lung samples. To quantify cytokine gene transcript levels, "Best Coverage" gene probes for mouse Gapdh (Mm99999915_g1), Ifng (Mm01168134_m1), II4 (Mm00445259_m1), II13 (Mm00434204_m1), II17a (Mm00439618_m1), II21 (Mm00517640_m1), Gmcsf (Mm-01290062_m1), and II10 (Mm01288386_m1) (Applied Biosystems TaqMan Assays) were used as per manufacturer's instructions. Relative mRNA expression levels were calculated by normalizing cytokine gene values to the internal loading control Gapdh, and then further normalized against the average of cytokine levels in the control (unimmunized, uninfected).

2.5 | Histopathology and immunohistochemistry

Sections of the lung were fixed in 10% neutral buffered formalin for a minimum of 72 h and subsequently processed and embedded in paraffin following standard procedures. Serial four-micron tissue sections were either stained with hematoxylin and eosin (H&E) or subjected to the Periodic acid Schiff reaction (PAS) following standard procedures.

For mouse CD4 immunohistochemistry, sections were mounted on positively charged Superfrost Plus slides (Fisher Scientific) and processed using the automated BOND-RXm system (Leica Biosystems). Following automated deparaffinization, tissue sections were subjected to automated heat-induced epitope retrieval using a ready-to-use EDTA-based solution (pH 9.0; Leica Biosystems) for 20 min at 100°C. Subsequently, endogenous peroxidase was quenched by incubation with 3% hydrogen peroxide for 5 min, followed by incubation with a recombinant rabbit anti-mouse CD4 monoclonal antibody (clone EPR19514; Abcam) diluted 1:4,000 in a ready-to-use antibody diluent (Leica Biosystems) for 30 min at room temperature. Sections were then washed and stained with a polymer-labeled goat anti-rabbit IgG conjugated to HRP for 8 min, followed by incubation with HRP substrate for 10 min, at room temperature. Counterstaining was performed with hematoxylin for 5 min and slides were finally mounted with Micromount (Leica Biosystems).

Scoring of stained tissue sections was based on a cumulative score (maximum attainable score of 17) derived from five specific

histologic features evaluated in five random 20×fields following the scoring rubrics shown in Supplemental Table S1. Additionally, absolute eosinophil counts within perivascular cuffs were performed in 3 randomly selected perivascular cuffs.

2.6 | Flow cytometric analyses

For flow cytometric analyses, spleens, mediastinal lymph nodes, and lungs were homogenized via grinding against strainers, followed by filtering through the strainers. Red blood cells were lysed with RBC Lysis Buffer (Tonbo Biosciences, #TNB-4300-L100), followed by washing and pelleting. Cells were resuspended in full RPMI-1640 media for counting, staining and analysis via flow cytometry. Surface protein staining was performed with antibodies for surface markers in PBS pH 7.4, in the presence of Fc Block (TruStain FcX[™], BioLegend, # 101319) and fixable viability dye (Ghost Dye[™] Violet 510, Tonbo Biosciences) at room temperature for 30 min. To measure cytokine production after ex vivo stimulation, 500 µl single-cell suspensions were incubated at 37°C for 6 h with 5% CO₂ in 48-well plates in the presence of Cell Stimulation Cocktail (Tonbo Biosciences, #TNB-4975). Stimulated cells were stained with surface markers as described above, then were fixed with 4% PFA (diluted by PBS) at 4°C overnight, followed by permeabilization and staining using the eBioscience Intracellular Permeabilization Buffer (Invitrogen, #88-8824-00). Flow data were acquired using the LSRFortessa X-20 cell analyzer (BD Bioscisence) and analyzed using FlowJo. The list of antibodies used is available in Supplemental Materials.

2.7 | Statistical analysis

T-test, one-way ANOVA and two-way ANOVA results with $p \le 0.05$ were considered statistically significant. Survival rates were compared by log-rank test, with $p \le 0.05$ considered as significantly different. All the statistical tests were performed using GraphPad Prism version 9.3.1 (GraphPad, San Diego, CA).

3 | RESULTS

3.1 | Protective effects of Spike vaccination in breakthrough infection in ACE2-humanized mice

To further investigate the protective and immunopathogenic effects of vaccine-induced host immunity in COVID-19 vaccination in a humanized animal model, we immunized K18-hACE2 mice intramuscularly with a prime-boost regimen with 5 µg per dose of SARS-CoV-2 Spike protein mixed with Alum and CpG adjuvants (Figure 1A). Following vaccination, to simulate breakthrough infection, we infected animals with increasing dosages of virus (Figure 1A). This protocol was chosen to allow a prolonged stimulation on T cells following live viral infection, while retaining a high survival rate of the control (unvaccinated) group for analyses at day 7 post SARS-CoV-2 infection. ELISA and neutralization assays on post-vaccination but pre-exposure sera verified that our SARS-CoV-2 Spike protein vaccination induced high levels of Spike-specific IgG (see Figure S1A) and high titers of neutralizing antibodies (Figure 1B). In mouse models of vaccination, IgG1 production is more associated with a Th2-like response to immunization, while IgG2a/IgG2c production is strongly correlated with Th1-associated immunity.¹⁹⁻²¹ We observed similar endpoint titers of Spike-specific IgG1 and IgG2c in the vaccinated animals, suggesting that both Th1 and Th2associated immune responses were elicited following vaccination of Spike protein in the presence of Alum and CpG (Figure S1B). Spikeprotein vaccination provided protection by preventing severe morbidity and mortality (Figure 1C). Notably, while the vaccination protected against the infection by reducing the overall viral loads in the lungs, the two-dose virus exposure allowed the establishment of a breakthrough infection in the vaccinated animals ($\sim 10^{12}$ vs. $\sim 10^{8}$ genome copies/gram lung tissue, comparing unvaccinated vs. vaccinated animals) (Figure 1D).

3.2 | Type 2 pulmonary immunopathology following Spike vaccination and breakthrough infection

Despite a significantly improved survival rate, vaccinated animals appeared distressed assuming a hunched posture, exhibiting reluctance to move and lethargy. Histological staining of the lung sections revealed that the breakthrough infections caused a significant level of interstitial pneumonia comparable to that observed in the unvaccinated and infected mice (Figure 1E,F). Moreover, breakthrough infections in vaccinated animals resulted in elevated levels of perivascular and peribronchial inflammation, as compared to the lungs of the unvaccinated and infected mice (Figure 1E,F). The observed severe immunopathology in vaccinated mice following breakthrough infections was associated with significant increase in the abundance of goblet cells and increased counts of perivascular eosinophils (Figure 1E,F). Flow cytometric analyses of cells recovered from the lungs further revealed significant accumulation of eosinophils and IgE-bound basophils/ mast cells in the vaccinated mice with breakthrough infections (Figure 2A, B). We also noticed that, before infection, vaccination with Spike protein in Alum and CpG adjuvants was sufficient in inducing high levels of IgE production detected in the sera collected 7 days post the vaccine boost (Figure S1C). Also, we detected a trend of higher levels of Spike-specific IgE production in the vaccinated mice (Figure S1C). On the other hand, the increased numbers of interstitial macrophages and dendritic cells in the lungs following SARS-CoV-2 infection were not affected by vaccination, while

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the infection-driven neutrophil enrichment in the lung was significantly reduced by vaccination, though not to levels comparable to those observed in uninfected mice (Figure 2B). In agreement with previous findings,¹³ our results show that immunization with Spike protein followed by a breakthrough SARS-CoV-2 infection causes a type 2-associate inflammatory response, prominently eosinophilia in the lung, which leads to vaccine-associated respiratory pathology in animal models of COVID-19.

3.3 | Massive lung infiltration of CD4⁺ T cells following Spike protein vaccination and breakthrough infection

We investigated the effects of Spike vaccination on lymphocytes in the lungs of the mice with breakthrough infections by flow cytometric analyses (Figure 3A). In the absence of an infection, immunization with Spike protein did not cause lymphocyte enrichment in the lungs (Figure 3B). However, following infection, the numbers of NK cells, invariant NKT cells, and CD8⁺ T cells in the lungs substantially increased, with no significant differences between unvaccinated and vaccinated animals (Figure 3B). In contrast, we observed significantly increased lung infiltration of B cells, $\gamma\delta$ T cells and CD4⁺ T cells in the vaccinated and infected animals (Figure 3B), with the lung-infiltrating $CD4^{+}T$ cells representing the most abundant lymphocyte population in the vaccinated animals with a breakthrough infection (Figure 3B). To further determine the histological locations of CD4⁺ T cell infiltration, we subjected lung tissue sections to immunohistochemical analysis, and observed CD4⁺ T cells throughout the lung tissue sections with a perivascular enrichment (Figure 3C). These data suggest that these CD4⁺ T cells might be recruited to the lungs during breakthrough infection.

3.4 | Th2 and Th17-associated pulmonary inflammatory cytokine responses following Spike vaccination and breakthrough infection

Given the type 2-associated pulmonary immunopathology and the massive CD4⁺ T cell infiltration in the lungs of ACE2-humanized animals following Spike immunization and breakthrough infection (Figures 1–3), we suspected that there was an enhanced Th2 cytokine response involved. To further compare the levels of other T helper subtype-associated cytokine production, we quantified the levels of gene expression of cytokines associated with different T helper cell subtypes,^{22,23} including *lfng* (Th1), *ll4/ll13* (Th2), *ll17a* (Th17), *ll21* (Tfh), *Csf2* (encodes GM-CSF), and *ll10* (Regulatory T cells) (Figure 4). We found that SARS-CoV-2 infection induced high levels of expression of *lfng* in both unvaccinated and vaccinated mice, and as expected, vaccination with Spike protein followed by a



FIGURE 2 Pulmonary innate immune cell profile. (A) Representative flow cytometric plots for innate immune cell gating. Cells were stained and fixed overnight before analyses. (B) Numbers of the indicated innate immune cells in the lungs. Control n = 5; Vaccine n = 8; Control + SARS-CoV-2 n = 9. *p < 0.05, **p < 0.01, ***p < 0.001 by one-way ANOVA with multiple comparisons. Data presented as Mean ± S.E.M.

breakthrough infection led to elevated expression of Th2 cytokines *II4* and *II13*. Similarly, the expression of *II21* was significantly higher in the vaccinated and infected animals compared to untreated controls or mice that were vaccinated but not infected. There was a trend of increased expression of *II21* in the vaccinated and infected group as compared to the unvaccinated and infected mice, suggesting a strong Tfh cell response in the vaccinated and infected animals. Vaccination showed an inhibitory effect on virus-associated induction of the expression of *II10* and *Csf2*. To our surprise, the expression of *II17a* was significantly higher in the vaccinated and infected animals, as compared to any other groups, suggesting that a Th17-associated inflammatory response was likely involved in the development of the VAERD observed in the Spike-vaccinated mice that suffer a break-through infection (Figure 4).

3.5 | A systemic Th17 inflammatory response following Spike vaccination and breakthrough infection

We suspected that the CD4⁺ T cell population was responsible for the elevated *ll17a* expression, given that they were the most abundant infiltrating lymphocytes in the lungs of animals with severe VAERD. To confirm this hypothesis, we stimulated cells recovered from the lung, draining lymph node of the vaccinated site, and the spleen with a cell stimulation cocktail (containing PMA, lonomycin, and Brefeldin A), and performed intracellular cytokine staining for Th1 effector-associated cytokines (IFN- γ and TNF- α), Th2-associated cytokine (IL-4), and Th17 cytokine (IL-17A). We found that the percentage of cells expressing Th1, Th2 or Th17



FIGURE 3 Pulmonary lymphocyte profile. (A) Representative flow cytometric plots for lymphocyte gating. Cells were stained and fixed overnight before analyses. (B) Numbers of the indicated lymphocytes in the lungs. (C) Representative immunohistochemical staining of CD4 (brown) and scores of CD4⁺ T cell infiltration in lung tissue sections. Magnification: 200 ×. Scale bar = 100 μ m. Br denotes bronchioles and *denotes pulmonary vasculature. Control *n* = 5; Vaccine *n* = 8; Control + SARS-CoV-2 *n* = 5; Vaccine + SARS-CoV-2 *n* = 9. **p* < 0.05, ***p* < 0.01, ****p* < 0.001 by one-way ANOVA with multiple comparisons. Data presented as Mean ± S.E.M.

cytokines were all significantly higher in the lungs and draining lymph nodes of the vaccinated and infected group compared to mice under any of the other conditions (Figure 5A,B). Strikingly, the increase of Th17 cell numbers in the vaccinated and infected animals appeared to be systemic, as it was highly significant in cells recovered from the spleen of the mice with VAERD (Figure 5A,B, Spleen panels). Note that the number of $\gamma\delta$ T cells was also significantly elevated in the vaccinated and infected mice compared to all other groups (Figure 3B). Considering that $\gamma\delta$ T cells are

capable producers of IL-17 which can initiate a Th17 inflammatory response,²⁴ we analyzed the production of different Th cell-associated cytokines in lung $\gamma\delta$ T cells as well. However, we did not find evidence of VAERD-associated patterns of cytokine production by the $\gamma\delta$ T cells (Figure S2). Our results suggested that the COVID-19 VAERD observed in the ACE2-humanized mouse model is associated with enhanced local Th1, Th2 and Th17 inflammatory responses, as well as systemic Th17-associated inflammation.



FIGURE 4 Pulmonary cytokine transcript production. Relative fold change in the expression of mRNAs encoding IL-4, IL-5, or IL-13 was determined using quantitative RT-PCR. After normalization to *Gapdh* expression, fold changes were calculated by normalization to the averages in the Control group. Control n = 5; Vaccine n = 8; Control + SARS-CoV-2 n = 5; Vaccine + SARS-CoV-2 n = 10. *p < 0.05 by one-way ANOVA with multiple comparisons. Data presented as Mean ± S.E.M.

4 | DISCUSSION

It is undeniable that SARS-CoV-2 Spike-based vaccination is safe and effective in preventing severe and fatal COVID-19. Immunization against Spike protein using mRNA, viral vector-based or protein subunit vaccines can elicit protective immunity and prevent severe COVID-19 illness.²⁵ Our results further support the global consensus on the protective effects of vaccines. However, while COVID-19 vaccines have been successful in reducing the severity of the disease, additional efforts are needed to enhance our understanding of the complex dynamics of COVID-19 vaccination and the potential risks associated with breakthrough infections, especially concerning the emergence of VAERD. Multiple studies in different animals models of SARS-associated coronaviral infections reported that VAERD is associated with a Th2-biased inflammatory response.¹¹⁻¹³ Here we systematically investigated the potential risks and underlying mechanisms of immunopathogenesis of VAERD in COVID-19 breakthrough infections. Using an ACE2-humanized mouse model of COVID-19, we observed a similar Th2-associated lung immunopathology following Spike immunization and SARS-CoV-2 breakthrough infection. Furthermore, our results suggest a prominent role of Th17 inflammatory response in correlation with severe COVID-19 VAERD. To the best of our knowledge, this is the first report of a prominent Th17 inflammatory response in VAERD in animal models of SARS-associated coronaviral infections.

In animal models, prior research on SARS-CoV-1 and SARS-CoV-2 vaccines suggested that VAERD may occur independently of the type of vaccines and/or adjuvants used.¹¹⁻¹³ Although adjuvants are not required for the development of SARS-associated coronavirus VAERD in animals, Alum can aggravate Th2-biased pulmonary

immunopathology for Spike protein subunit vaccine.¹³ Alum-based adjuvant has been shown to help absorb and stabilize vaccine antigens and induce robust Th2-associated immunity and antibody production.^{26,27} Alum is the most used adjuvant in approved human vaccines,²⁸ including SARS-CoV-2 inactivated vaccines.^{29,30} Although no human cases of COVID-19 VAERD have been documented, in animal models including mouse, hamster, ferret, and nonhuman primates, SARS-associated VAERD has been reported, and Alumassociated Th2-biased pulmonary inflammation is considered a likely cause of VAERD.¹¹⁻¹³ Recent research has focused on the development of novel vaccine adjuvants, and one of the key strategies is to enhance Alum-associated adjuvant effect by combining Alum with other adjuvants such as CpG, emulsive or particulate, to elicit and enhance both humoral and cellular immunity.^{31,32} Most studies analyze predominantly antiviral effects including neutralizing antibody titers, memory B cells, and antigen-specific effector T cells, following vaccination. VAERD is lesser a focus during vaccine development. It is critical to include analyses of VAERD in standard evaluations of vaccine safety.

The underlying molecular and cellular mechanisms of VAERD is unclear, despite the widely agreeable engagement of Th2-biased cellular immunity. Moreover, the duration and possibility of recurrence of VAERD have not been investigated. It is unclear whether the development and function of other effector and memory T cell responses such as Th1 and Th17, and regulatory T cells, are involved in the development and/or resolution of VAERD.

An increased production of Th1-associated cytokines (e.g., IFN- γ) is correlated with reduction in COVID-19 severity,³³ and vaccineinduced Th1 cell responses correlate with the protective effects of the current mRNA vaccines.³⁴ CpG ODNs that can stimulate TLR9



FIGURE 5 Effector cytokine production by CD4⁺ T cells. (A) Representative flow cytometric plots of TNF- α , IFN- γ , IL-4 and IL-17A expression by viable CD4⁺ T cells in lung, lymph node and spleen. (B) Numbers of CD4⁺ T cells in lung, lymph node and spleen expressing TNF- α , IFN- γ , IL-4 and IL-17A. Control *n* = 5; Vaccine *n* = 8; Control + SARS-CoV-2 *n* = 5; Vaccine + SARS-CoV-2 *n* = 9. **p* < 0.05 by one-way ANOVA with multiple comparisons. Data presented as Mean ± S.E.M.

and trigger strong Th1 type immunity have been considered as adjuvants for vaccinations against viral and bacterial infections, as well as cancer.¹⁶ Classic Alum adjuvants are the first licensed and most widely used vaccine adjuvants. Alum adjuvants can prime a Th2-biased T cell differentiation and promote humoral responses which provide effective protective immunity against many pathogens.¹⁴ However, in severe coronavirus diseases in humans, Th2 cytokine polarization has been associated with disease severity and increased mortality.³⁵ Additionally, Th2-skewing vaccination has consistently been linked to type 2 inflammatory immunity, notably eosinophilia, in cases of VAERD in animal models of coronaviral diseases.^{11-13,36,37} In mouse models of allergic airway inflammation,

where ovalbumin (OVA) is used to sensitize mice towards airway hypersensitivity upon respiratory exposure to OVA, Alum has been shown to induce a Th2-biased immunity accompanied by the induction of IgE and eosinophils. Interestingly, the effects of Alum in the OVA model could be reverted to basal levels by coadministration of CpG.³⁸ Similarly, the inclusion of Th1-skewing adjuvants in SARS-CoV-1 inactivated or Spike protein subunit vaccines attenuated the eosinophilic respiratory inflammatory responses during infections.^{39,40} Surprisingly, in SARS-CoV-2 Spike immunization followed by breakthrough infections, we observed elevated levels of lung immunopathology, eosinophilia, IgE, and Th2 responses, even if CpG and Alum were both used in vaccination. Moreover, we

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observed a systemic Th17 pro-inflammatory response. This could be due to the intrinsic antigenic properties of the SARS-CoV-2 Spike protein. For example, the lethal Th2-associated immunopathology following SARS-CoV-1 Spike immunization and viral infection can be induced by certain regions of the SARS-CoV-1 Spike protein such as $S_{597-603}$ (LYQDVNC, an epitope downstream of the C terminus of the RBD),¹² which is identical to SARS-CoV-2 S₆₁₁₋₆₁₇.⁴¹ Since antibodies to this peptide have been shown to cause antibody-dependent enhancement (ADE) of infection in SARS-CoV-1, it is possible that SARS-CoV-2 S₆₁₁₋₆₁₇ may be involved in vaccine-associated enhanced pathology during infections through type 2 inflammation and potentially ADE.⁴¹ Similarly, other regions in SARS-CoV-2 Spike protein may be associated with a Th17 cell priming under the Alum/ CpG combined adjuvant condition.

The induction of a systemic Th17 inflammatory response during breakthrough SARS-CoV-2 infection is particularly concerning. IL-17 exhibits multifaceted functions during viral infections. For example, during influenza infection, on one hand IL-17 promotes antiviral immunity and protects the hosts from severe viral disease, while on the other hand it contributes to the pathogenesis of immunopathology, lung injury, and post influenza superinfection.⁴² While the potential protective role of IL-17 in COVID-19 is unclear, there are documented associations between high IL-17 levels and COVID-19 pathogenesis. In severe COVID-19 patients, there are elevated levels of serum IL-17A and circulating Th17 cells.43,44 High serum levels of IL-17 were also observed in long-COVID.⁴⁵ IL-17 can not only cause skin and lung tissue inflammation and fibrosis.^{46,47} but also induces mucus production and goblet cell metaplasia in lung epithelial cells.^{48,49} IL-17 and neutrophils are also linked to thrombus formation in acute myocardial infarction.⁵⁰ Combined with TNF-α, IL-17A moderates thrombus growth on endothelial cells in vitro.⁵¹ In mouse models, IL-17A promotes deep vein thrombosis by enhancing platelet activation/aggregation, neutrophil infiltration, and endothelial cell activation.⁵² These features of Th17-associated inflammatory diseases should be considered in the analysis of potential COVID vaccineassociated adverse effects.

Another potential explanation of VAERD is dysregulation of regulatory immunity. Note that the level of IL-10 expression in the lungs of vaccinated and infected mice is significantly lower than that in unvaccinated but infected mice (Figure 4). Our knowledge of Treg cell development and function following COVID-19 vaccination and/ or infection is limited, and the role of Treg cells in SARS-CoV-2 breakthrough infection in vaccinated individuals has not been reported. On the other hand, during acute respiratory viral infection such as influenza, Foxp3-negative T cells (type 1 regulatory T cells and CD8⁺ T cells) are major contributors to IL-10 production in the airway.⁵³ In the lungs of nonhuman primate models, IL-10 suppresses T cell expansion but promote tissue-resident memory T cell development during SARS-CoV-2 infection.⁵⁴ It is possible that Spike vaccination with Alum/CpG primes T cell differentiation in which IL-10-producing regulatory T cells are less favored. Future research may expand to investigate the role of IL-10 in counter-balancing pulmonary T cell expansion and memory development during COVID-19.

In conclusion, this research underscores the complexity of COVID-19 vaccination and the need for a comprehensive understanding of vaccineinduced immune responses. While vaccines remain a vital tool in combating pandemics, the potential for VAERD highlights the importance of ongoing research, surveillance, and careful vaccine development to achieve broad protection and maximal safety.

AUTHOR CONTRIBUTIONS

T.Z., N.M., M.C.M., M.C. and W.H. performed experiments and analyzed data; T.Z. and W.H. designed experiments and wrote the manuscript; W.H. secured funding and supervised the research; all authors reviewed, edited and finalized the manuscript.

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CONFLICTS OF INTEREST STATEMENT

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DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available upon reasonable request.

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SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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