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Bounding tree-width via contraction on the projective plane and torus

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Abstract

If X is a collection of edges in a graph G , let G/X denote the contraction of X . Following a question of Oxley and a conjecture of Oporowski, we prove that every projective-planar graph G admits an edge-partition $\{X, Y\}$ such that G/X and G/Y have tree-width at most three. We prove that every toroidal graph G admits an edge-partition $\{X, Y\}$ such that G/X and G/Y have tree-width at most three and four, respectively.

1 Introduction

In 2005, Gonçalves settled an old conjecture of Chartrand, Geller, and Hedetniemi [2] by proving the following [6]:

Theorem 1 (Gonçalves). *Every planar graph admits an edge-partition into two outerplanar graphs.*

Before that result appeared, Ding, Oporowski, Sanders, and Vertigan [5] (and, independently, Kedlaya [7]) proved the following partial result:

Theorem 2 (Ding, Oporowski, Sanders, and Vertigan; Kedlaya). *Every planar graph admits an edge-partition into two series-parallel graphs.*

We can rephrase Theorem 2 as follows:

Theorem 3. *Every planar graph G admits an edge-partition $\{E_1, E_2\}$ such that $G \setminus E_1$ and $G \setminus E_2$ are series-parallel.*

If G is a connected graph embedded on a surface, and G^* is a surface dual of G , then the reader can notice that the deletion of an edge of G corresponds to the contraction of the corresponding edge of G^* ; this phenomenon is investigated more fully in Section 2. Deletion and contraction may, in this way, be viewed as *dual operations*. Furthermore, the reader can notice that K_4 , embedded on the plane, is isomorphic to its own dual. Therefore it is an easy exercise to prove that if G is a plane graph and G^* is a surface dual of G , then K_4 is a minor of G if and only if K_4 is a minor of G^* . This implies the following corollary of Theorem 3.

Corollary 4. *Every plane graph G admits an edge-partition $\{E_1, E_2\}$ such that G/E_1 and G/E_2 are series-parallel.*

With this in mind, Oxley [9] asked the following question.

Question 5 (Oxley). For a cographic matroid M , is there a bipartition $\{S, T\}$ of the ground set such that $M \setminus S$ and $M \setminus T$ are series-parallel?

Oxley's question led the second author to conjecture the following generalization of Corollary 4.

Conjecture 6 (Oporowski, unpublished). Every graph G admits a partition $\{E_1, E_2\}$ of its edges such that each of G/E_1 and G/E_2 is series-parallel.

As a first observation, we note that contracting edges in a graph does not raise its tree-width. In particular, the tree-width of a graph is equal to the maximum tree-width of its 2-connected blocks. We investigate this issue more fully in Section 2, and prove that it suffices to consider only 2-connected graphs.

As a second observation, we note that contracting a spanning tree in a (connected) graph results in a graph with a single vertex. Therefore, if a graph $G = (V, E)$ contains two edge-disjoint spanning trees T_1 and T_2 , we can partition the edge set into $E_1 = E(T_1)$ and $E_2 = E \setminus E(T_1)$; in this case, G/E_1 and G/E_2 are singletons. Both Nash-Williams [8] and Tutte [12] proved theorems characterizing the graphs that contain k edge-disjoint spanning trees. A nice statement and proof can be found on pages 48–52 of [4].

Theorem 7 (Nash-Williams; Tutte). *A graph contains k edge-disjoint spanning trees if and only if for every partition (V_1, \dots, V_l) of its vertex set, it has at least $k(l - 1)$ distinct (V_i, V_j) -edges, where i and j are in $\{1, \dots, l\}$.*

The following immediate corollary is relevant to our purposes.

Corollary 8. *Every 4-connected graph contains two edge-disjoint spanning trees.*

Therefore Conjecture 6 holds for all 4-connected graphs. The question remains: What about graphs of connectivity two and three?

Demaine, Hajiaghayi, and Mohar [3] have investigated the problem of partitioning the edge set of a graph embedded on a surface, such that contracting any partite set bounds the tree-width. They proved the following very powerful theorem.

Theorem 9 (Demaine, Hajiaghayi, and Mohar). *For every two integers g and k such that $g \geq 0$ and $k \geq 2$, and for every graph G of Euler genus at most g , the edges of G can be partitioned into k sets such that contracting any one of the sets results in a graph of tree width at most $O(g^2k)$.*

In this paper, we examine a few specific surfaces—namely the plane, the projective plane, and the torus—to improve significantly the bounds obtained in [3]. We prove the following two theorems.

Theorem 10. *Every projective-planar graph G admits a bipartition $\{X, Y\}$ of $E(G)$ such that G/X and G/Y have tree-width at most three.*

Theorem 11. *Every toroidal graph G admits a bipartition $\{X, Y\}$ of $E(G)$ such that G/X and G/Y have tree-width at most three and four, respectively.*

Theorem 10 is restated and proved in Section 3 as Theorem 22. Theorem 11 is restated and proved in Section 5 as Theorem 31. Conjecture 6 in general remains unresolved, but Theorems 10 and 11 may be considered partial results.

In Section 2, we provide a variety of technical lemmas used in the proof of Theorem 22. Our method overall, in Sections 2 and 3, is roughly as follows:

1. Reduce the problem to the case of cubic, 2-connected graphs;
2. Look at a surface dual G^* of an arbitrary cubic, 2-connected projective plane graph G ;
3. Find a disk in the projective plane that contains all the vertices of G^* and that induces a connected (spanning) subgraph;
4. Decompose G^* into nested subgraphs called *distance layers*;
5. Obtain a bipartition of $E(G^*)$ by grouping edges in alternating distance layers;
6. Prove that the corresponding bipartition $\{X, Y\}$ of $E(G)$ satisfies the conclusion of Theorem 22.

In Section 4, we prove some technical lemmas used in the proof of Theorem 31. Our method, overall, in Sections 4 and 5, is roughly as follows:

1. Reduce the problem to cubic, 2-connected toroidal graphs;
2. Prove that certain 4-connected plane triangulations admit edge-partitions into two outerplanar graphs;
3. Use (2) to prove that all planar graphs admit a particular edge-partition into two series-parallel graphs;
4. Find a suitable set of pairwise non-adjacent edges in our toroidal graph whose deletion produces a planar graph;

5. Use the partition from (3) on the planar graph from (4) to produce an edge-partition $\{X, Y\}$ of our toroidal graph G ;
6. Prove that $\{X, Y\}$ satisfies the conclusion of Theorem 31.

Any terminology not defined here can be found in [4]. In this paper, we allow graphs to have loops and multiple edges. Let G be a graph. A *block* of G is a subgraph of G isomorphic to a loop, a cut-edge, or a maximal 2-connected subgraph of G . A graph H is a *minor* of a G if H can be obtained from a subgraph of G by contracting edges. If T is a tree and $\mathcal{V} = \{V_t\}_{t \in V(T)}$ is a collection of sets of vertices of G , then the pair (T, \mathcal{V}) is a *tree-decomposition* of G if the following hold:

- (T1) $V(G) = \bigcup_{t \in V(T)} V_t$;
- (T2) For every edge $e \in E(G)$, there is a vertex $t \in V(T)$, such that $e \in G[V_t]$;
- (T3) If t_1, t_2, t_3 are vertices of T so that t_2 lies on the unique (t_1, t_3) -path in T , then $V_{t_1} \cap V_{t_3} \subseteq V_{t_2}$.

The sets V_t are called *bags*. Given a tree-decomposition of G , the *width* of the decomposition is one less than the size of a largest bag. The *tree-width* of G , notated as $\text{tw}(G)$, is the minimum width among all tree-decompositions of G . Note that the tree-width of a tree with at least one edge is one. It is well-known that a graph has tree-width at most two if and only if it has no K_4 -minor; we call such graphs *series-parallel*.

In this paper, we freely consider graphs as topological spaces, in the natural way, and we therefore mention the following notation: For a subset S of a topological space, the closure of S is denoted \overline{S} , the boundary of S is denoted ∂S , and the interior of S is denoted $\overset{\circ}{S}$. The model we use for the projective plane is based on the unit disk in \mathbb{R}^2 with the *supremum metric* d_∞ , defined as $d_\infty((x_1, y_1), (x_2, y_2)) = \max(|x_2 - x_1|, |y_2 - y_1|)$. Then, the projective space is understood as the unit disk U , which appears as a square $\{(x, y) : -1 \leq x \leq 1, -1 \leq y \leq 1\}$, in which every point (x, y) in its boundary ∂U is identified with the *antipodal* point $(-x, -y)$. We will consider piecewise-linear embeddings of graphs in the projective plane, in which each vertex is represented as a point in the interior of U , and each edge is either represented by a single polygonal arc that avoids ∂U , or by two disjoint polygonal arcs, each meeting ∂U in exactly one point, with these two points being antipodal; the edges of the latter type will also be called *antipodal*. It is well known that any graph embedding in the projective plane may be expressed in such a way without changing its combinatorial structure. An open disk D in U is *clean* with respect to a graph G embedded in the projective plane if it is concentric with U and has radius sufficiently close to 1 (again, measured by d_∞) so that it contains all vertices of G , the boundary of D meets only the antipodal edges of G , and each antipodal edge appears in $U \setminus D$ as two disjoint straight line segments.

If a graph G is embedded in a surface Γ , a *face* of G is a connected component of $\Gamma \setminus G$. An edge e is *incident* to a face F if $e \subseteq \partial F$. The *representativity* of an embedded graph G is the least number of points at which a non-contractible cycle meets G , and is denoted $\text{rep}(G)$.

- If H is a subgraph of G , an H -bridge of G is a subgraph of G consisting either of
- (1) an edge whose endpoints are in H but is itself not in H , or
 - (2) a connected component B of $G \setminus H$, together with the edges with one endpoint in B and one endpoint in H .

The *vertices of attachment* of an H -bridge B of G are the vertices of B that lie in H .

2 The case of the projective plane — introductory results

Arnborg, Corneil, and Proskurowski [1] proved the following forbidden-minor characterization of graphs with tree-width at most three.

Theorem 12 (Arnborg, Corneil, and Proskurowski). *A graph G has tree-width at most three if and only if none of K_5 , M_6 , M_8 , M_{10} is a minor of G ; where M_6 is the octahedron, M_8 is the Möbius ladder on eight vertices (also called the Wagner graph), and M_{10} is the pentagonal prism, as depicted in Figure 1.*

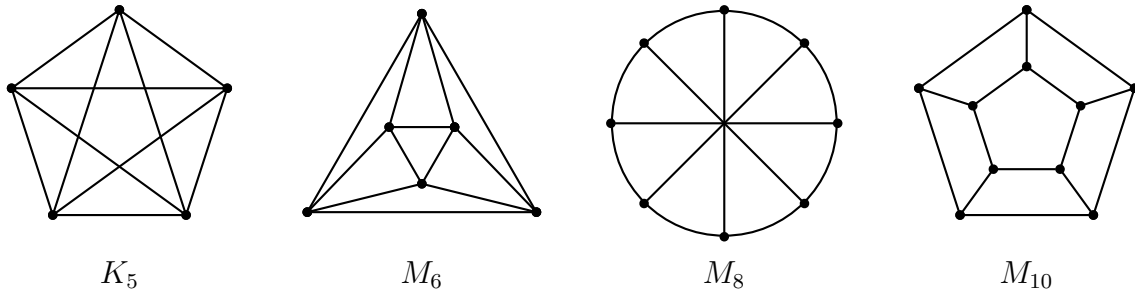


Figure 1: The forbidden minors for graphs with tree-width at most three.

The next three lemmas express basic facts about tree-width and contraction, and together they imply that it suffices to prove Theorems 22 and 31 for cubic, 2-connected graphs. We omit their proofs.

Lemma 13. *If G is a graph and H is a 2-connected minor of G , then H is a minor of some 2-connected block of G .*

Lemma 14. *Let G be a connected graph, let G_1, G_2, \dots, G_k be the blocks of G , and let $m \geq 1$ and $n \geq 1$. Then if each $E(G_i)$, for $i \in \{1, \dots, k\}$, admits a bipartition $\{X_i, Y_i\}$ such that $\text{tw}(G_i/X_i) \leq m$ and $\text{tw}(G_i/Y_i) \leq n$, then $E(G)$ admits a bipartition $\{X, Y\}$ such that $\text{tw}(G/X) \leq m$ and $\text{tw}(G/Y) \leq n$.*

Lemma 15. *Let G and G' be graphs, such that G' is cubic and can be obtained from G by suppressing vertices of degree two and one, and by repeatedly splitting vertices of degree greater than three. If $E(G')$ admits a partition $\{X', Y'\}$ such that $\text{tw}(G'/X') \leq m$ and $\text{tw}(G'/Y') \leq n$, where $m \geq 2$ and $n \geq 2$, then $E(G)$ admits a partition $\{X, Y\}$ such that $\text{tw}(G/X) \leq m$ and $\text{tw}(G/Y) \leq n$.*

The following lemma finds an appropriate “outer layer” with controlled structure, whose deletion yields a connected planar graph.

Lemma 16. *Let G be a 2-connected, non-planar, projective plane triangulation. There is a closed curve α in the projective plane that bounds a disk D such that the following hold:*

- (1) $\alpha \cap V(G) = \emptyset$;
- (2) $V(G) \subsetneq D$;
- (3) $|\alpha \cap e| \in \{0, 2\}$ for every $e \in E(G)$;
- (4) *The graph induced by the edges that avoid α is connected.*

Proof. Let G be a 2-connected embedding of a non-planar graph in the projective plane in which each face has exactly three edges in its boundary. We can represent the projective plane by a unit disk U as measured by d_∞ , and can also represent G as a piecewise-linear drawing in U . Let D be a clean disk with respect to this drawing, let α be the boundary of D , and let H be the graph induced by the edges that are contained in D . Assume now that the representation of G was initially chosen so that H has the smallest number of connected components possible. Notice that if H is connected, then the conditions (1)–(4) in the statement of the lemma are satisfied. See Figure 2.

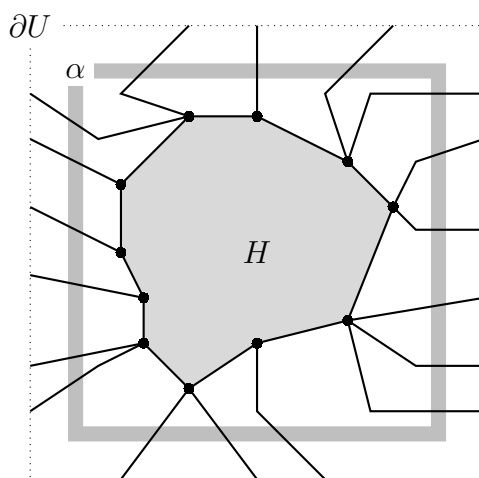


Figure 2: When H is connected, the conclusion follows.

Suppose now that H has k connected components, with $k \geq 2$. Let b_1, \dots, b_p be the points in $\alpha \cap G$. For each b_i , with $i \in \{1, \dots, p\}$, there is an edge-segment, lying in D , with endpoints b_i and v_i , for some vertex v_i of G . And we know that each vertex of G lies in some component of H ; we say that b_i is *connected to* the said component of H . Notice that b_1, \dots, b_p divides α up into p closed segments, which we call S_1, \dots, S_p . For each S_m whose endpoints b_i and b_j are connected to distinct components of H , pick a point in S_m

that avoids $\{b_1, \dots, b_p\}$; let the resulting points be z_1, \dots, z_q . Notice that z_1, \dots, z_q divide α up into q closed segments, which we call T_1, \dots, T_q . Then the points in $\{b_1, \dots, b_p\} \cap T_i$, for each $i \in \{1, \dots, q\}$, are all connected to the same component of H . We say that a component of H is *represented* by T_i if the points of $\{b_1, \dots, b_p\} \cap T_i$ are connected to that component. Since G is connected, we know that every component of H is represented by at least one of T_1, \dots, T_q . See Figure 3.

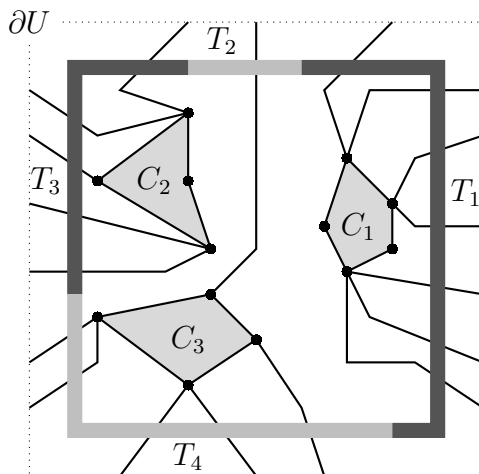


Figure 3: The components C_1, C_2, C_3 of H are represented by the segments T_1, T_2, T_3, T_4 .

Claim 17. *Some component of H is represented by exactly one of T_1, \dots, T_q .*

We will use induction to prove that if C is a component of H that is represented by more than one of T_1, \dots, T_q , then there is a component C' of H that is represented by fewer of T_1, \dots, T_q than is C .

If Claim 17 fails, then pick a component C of H , segments T_i and T_j , and a sequence of segments T'_1, \dots, T'_r such that the following hold:

- (1) T_i and T_j are distinct representatives of C ;
- (2) None of T'_2, \dots, T'_{r-1} are representatives of C ;
- (3) $T'_1 = T_i$;
- (4) $T'_r = T_j$;
- (5) $|T'_l \cap T'_{l+1}| = 1$, for all $l \in \{1, \dots, r-1\}$;
- (6) no other choice of C, T_i, T_j , and T'_1, \dots, T'_r satisfying (1)–(5) yields a smaller value of r .

(In Figure 3, if we take C to be C_3 , then a suitable sequence would be T_2, T_3, T_4 .) Let C' be a component of H represented by at least one segment in T'_2, \dots, T'_{r-1} . According to the projective plane topology, we know that all the segments that represent C' lie in T'_2, \dots, T'_{r-1} , and (6) ensures that the component C' is innermost with respect to T'_1, \dots, T'_r . Therefore C' is represented by fewer of T_1, \dots, T_q than is C , and hence Claim 17 holds.

We will now prove the following statement, which contradicts our choice of the representation of G , and therefore concludes the proof of the lemma.

Claim 18. *There is a disk D' and a closed curve α' bounding D' that satisfy conditions (1), (2), and (3) of the statement of the lemma (when α' replaces α , and D' replaces D), such that the graph induced by the edges contained in D' has fewer than k components.*

Various parts of the construction of α' are illustrated in Figure 4. Let C be a component of H that is represented by exactly one of T_1, \dots, T_q . Without loss of generality, suppose C is represented by T_1 .

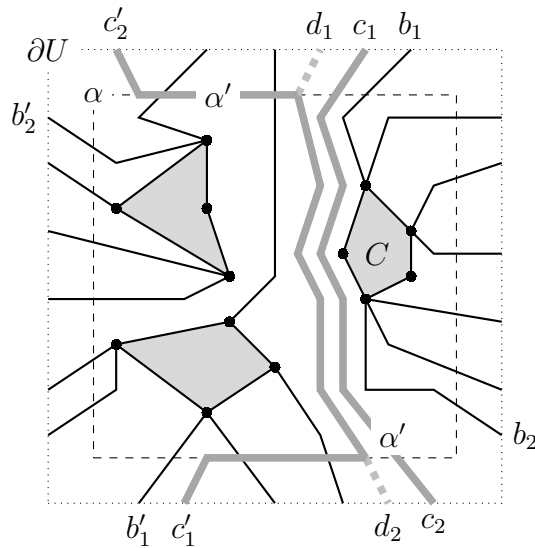


Figure 4: We aim to re-route α from Figure 3 to obtain the curve α' shown here.

Let S_1, \dots, S_t be the components of $G \setminus D$ whose closures meet T_1 , listed in order in which they meet T_1 . Let $b_1 = S_1 \cap \partial U$, let $b_2 = S_t \cap \partial U$, and let β be a polygonal arc that is a part of ∂U , has b_1 and b_2 as endpoints, and whose closure meets all of S_1, \dots, S_t . Let b'_1 and b'_2 be the antipodal points of, respectively, b_1 and b_2 . Note that if one of b'_1 and b'_2 lies on β , then so does the other, and then H has only one other component besides C , for which the analogous statement does not hold. So, we may assume that neither b'_1 nor b'_2 lies on β . Let $\beta' = \partial U \setminus \beta$.

Since G is 2-connected and has a piecewise-linear embedding, C contains a path whose union with S_1 and S_t forms a polygonal arc β'' such that the closed disk bounded by $\beta \cup \beta''$ contains $C \cup S_1 \cup \dots \cup S_t$. It is easy to see that since the embedding of G is clean, U contains a polygonal arc γ , sufficiently close to β'' , that avoids G , meets $U \setminus D$ in two

disjoint straight line segments, and meets ∂U exactly at its endpoints c_1 and c_2 , which lie on β' . Let c'_1 and c'_2 be the points antipodal to, respectively, c_1 and c_2 . By switching the names of c_1 and c_2 , if necessary, we may assume that the points $b_1, c_1, c'_2, b'_2, b'_1, c'_1, c_2$, and b_2 appear on ∂U in the order listed. Further, U contains another polygonal arc δ , sufficiently close to γ , that avoids both G and γ , meets $U \setminus D$ in two disjoint line segments, one of which meets ∂U in a point d_1 that lies on β' between c_1 and c'_2 , while the other meets ∂U in a point d_2 that lies on β' between c'_1 and c_2 . Let the points in which δ meets α be d''_1 and d''_2 so that d_1, d''_2, d''_1 , and d_2 appear on δ in the order listed. Let ε_1 be a straight line segment that joins c'_1 to a point c''_1 on α so that ε_1 avoids all of G, γ , and δ , and, similarly, let ε_2 be a straight line segment that joins c'_2 to a point c''_2 on α so that ε_2 avoids all of G, γ, δ , and ε_1 . Let ζ_1 and ζ_2 be segments of α that avoid γ , and join, respectively, c''_1 to d''_1 , and c''_2 to d''_2 .

Now we define $\alpha' = \gamma \cup (\delta \cap D_i) \cup \varepsilon_1 \cup \varepsilon_2 \cup \zeta_1 \cup \zeta_2$. Then α' and the disk D' it bounds satisfy the assertion 18, and the conclusion of the lemma follows. \square

Duality in topological graph theory is a far less versatile concept than, for example, in matroid theory, since all duals are tied to a particular embedding. And any particular graph may admit numerous distinct embeddings, with variety in the number of faces. If there are embeddings of a graph G with distinct numbers of faces, then any surface duals of these embeddings will not be isomorphic, since they will have a different number of vertices. For example, we may embed K_4 in the torus in the two ways represented in Figure 5.



Figure 5: Toroidal embeddings of K_4 , with a different number of faces.

In Figure 5, we see that the embedding on the left has four faces, and the embedding on the right has two faces. Therefore the surface duals of these embeddings will have distinct numbers of vertices. Hence the surface duals of these embeddings are non-isomorphic, as graphs.

Given a graph G embedded in a surface, the following easy lemma, which we mention without proof, allows us to speak of *the surface dual of G* , which is a graph, not embedded in a surface, and is isomorphic to all surface duals of G .

Lemma 19. *Let G be a graph embedded in a surface. Then all surface duals of G are isomorphic, as graphs.*

The following lemma expresses an elementary fact about graph duality on the projective plane.

Lemma 20. *Let G be a non-planar projective plane graph, and let G^* be a surface dual of G . Let B_1 and B_2 be distinct blocks of G such that $E(B_1) \cap \partial F$ and $E(B_2) \cap \partial F$ are non-empty, for some face F of G . Let $E_{B_1}^*$ and $E_{B_2}^*$ be the edges of G^* corresponding, via duality, to $E(B_1)$ and $E(B_2)$, respectively. Then $E_{B_1}^*$ and $E_{B_2}^*$ lie in distinct blocks of G^* .*

Proof. Assuming the conditions of the lemma, we leave it to the reader to check that the vertex of G^* corresponding to F induces a 1-separation in G^* that separates B_1 from B_2 . (The non-planarity of G ensures that precisely one block is non-planar. The other blocks are arranged accordingly.) \square

The next lemma is another elementary fact about graph duality. We omit its proof. It is the central premise of the proof-techniques in the main results of this chapter.

Lemma 21. *Let G be a connected graph embedded on the projective plane, and let G^* be a surface dual of G . Let X be a subset of edges of G , and let X^* be the edges of G^* corresponding, by duality, to the edges X . Then G/X is isomorphic, as a graph, to the surface dual of $G^* \setminus X^*$.*

3 The case of the projective plane — main result

We are now ready to prove our main result on projective-planar graphs.

Theorem 22. *For any projective-planar graph G , there is a bipartition $\{X, Y\}$ of $E(G)$ such that G/X and G/Y have tree-width at most three.*

Proof. Let G be a projective-planar graph. If G is planar, the conclusion follows from Corollary 4. Suppose, therefore, that G is not planar. By Theorem 12, it suffices to find a bipartition $\{X, Y\}$ of $E(G)$ such that G/X and G/Y contain no minor isomorphic to K_5 , M_6 , M_8 , and M_{10} .

By Lemmas 14 and 15, we may suppose that G is 2-connected and cubic. Embed G in the projective plane, and let G^* be a surface dual of G . Then G^* is a 2-connected, non-planar, projective plane triangulation. Lemma 16 gives us a particular closed curve α and disk D . Let G_{-1}^* be the subgraph of G^* induced by the edges that meet α . Let V_0 consist of the vertices of G^* that lie on the boundary of the face of $G^* \setminus E(G_{-1}^*)$ that contains α . See Figure 6. For $i \in \{1, 2, 3, \dots\}$, let V_i consist of the vertices of G^* that are a distance i from V_0 . For $i \in \{0, 1, 2, \dots\}$, let G_i^* be the graph induced by the edges e that satisfy one of the following:

- (1) both endpoints of e lie in V_i ; or
- (2) e has one endpoint in V_i and one endpoint in V_{i+1} .

We call the graphs G_i^* *distance layers*. Let $X^* = \bigcup_{k \geq 0} E(G_{2k-1}^*)$, and let $Y^* = \bigcup_{k \geq 0} E(G_{2k}^*)$. Let X and Y consist of the edges of G that correspond, via duality, to the

edges in X^* and Y^* , respectively. Then $\{X, Y\}$ is a bipartition of the edges of G . We now prove that G/X and G/Y have no minors isomorphic to K_5 , M_6 , M_8 , and M_{10} . By Lemma 21, we know that G/X and G/Y are isomorphic to the surface duals of $G^*\setminus X^*$ and $G^*\setminus Y^*$, respectively.

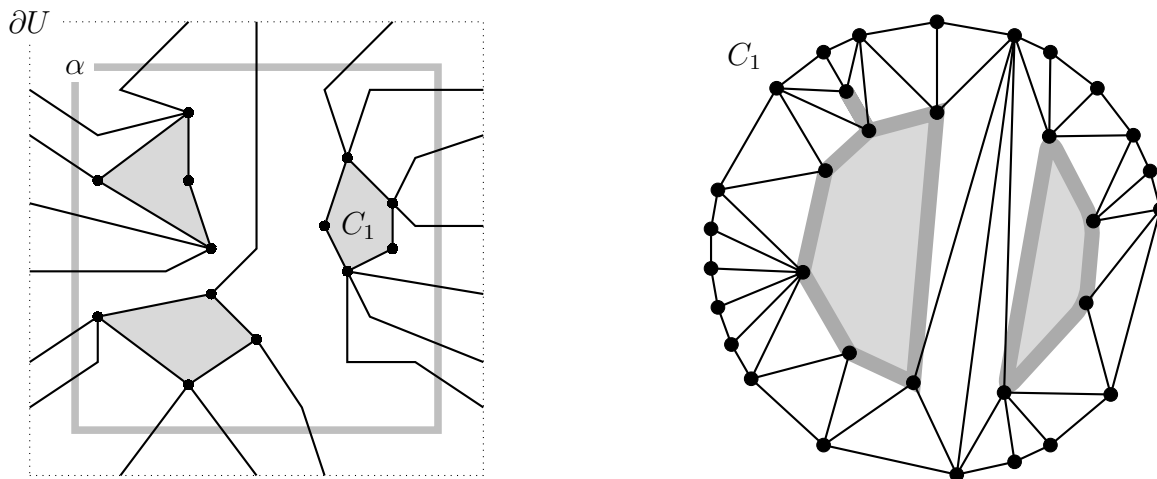


Figure 6: Left: The boundary of the shaded regions contains V_0 . Note that the graph represented here, G^* , is a triangulation; for clarity, only some representative edges are shown. Right: A closer look at C_1 . The shaded regions represent components of $G_1^* \cup G_2^* \cup G_3^* \cup \dots$ that lie in the disk bounded by C_1 .

We now examine the structure of the surface duals of $G^*\setminus X^*$ and $G^*\setminus Y^*$. We know that $G^*\setminus X^* = G_0^* \cup G_2^* \cup G_4^* \cup \dots$ and $G^*\setminus Y^* = G_{-1}^* \cup G_1^* \cup G_3^* \cup \dots$. We know as well that G_i^* and G_j^* are vertex-disjoint if $|i - j| > 1$. Then each connected component of $G^*\setminus X^*$ is a connected component of some G_{2i}^* , with $i \geq 0$; and each connected component of $G^*\setminus Y^*$ is a connected component of some G_{2i-1}^* , with $i \geq 0$.

By Lemma 20, we can conclude the following: No block B of the surface dual of $G^*\setminus X^*$ (or $G^*\setminus Y^*$) contains edges corresponding, via duality, to two distinct blocks of $G^*\setminus X^*$ (or $G^*\setminus Y^*$).

Hence, using Lemma 13, it suffices to show that for each block B of $G^*\setminus X^*$ and $G^*\setminus Y^*$, the surface dual of B contains no minor isomorphic to K_5 , M_6 , M_8 , and M_{10} . We now prove the following:

Claim 23. *The surface duals of $G^*\setminus X^*$ and $G^*\setminus Y^*$ contain no K_5 - and no M_8 -minor.*

Since $E(G_{-1}^*)$ is in X^* , we know that $G^*\setminus X^*$ is embedded in the disk D . Therefore we can find a surface dual of $G^*\setminus X^*$ that lies in D ; and since D is a disk, this surface dual is planar. Therefore, since K_5 and M_8 are not planar, the surface dual of $G^*\setminus X^*$ contains no K_5 - and no M_8 -minor.

Let F_{-1} be the face of $G^*\setminus E(G_0^*)$ in which $(E(G_0^*))^\circ$ lies. Then F_{-1} is a face of $G^*\setminus Y^*$. Let f_{-1} be the vertex of the surface dual of $G^*\setminus Y^*$ corresponding to F_{-1} , and suppose, without loss of generality, that $f_{-1} \in D$.

We first prove that G_{-1}^* has only one face. Suppose that two edges uv and uw in G_{-1}^* are adjacent in the ordering induced by α . Since G^* is a triangulation, we know that vw is an edge of G_0^* . Let α' be a component of $\alpha \setminus G^*$ with endpoints $\alpha \cap uv$ and $\alpha \cap uw$. Then α' lies in F_{-1} . Since α' was chosen arbitrarily, we know that all components of $\alpha \setminus G^*$ lie in F_{-1} . Therefore G_{-1}^* lies in $\overline{F_{-1}}$. Hence G_{-1}^* has only one face. See Figure 7. Hence the surface dual of G_{-1}^* has only one vertex, namely f_{-1} , and $|E(G_{-1}^*)|$ loops.

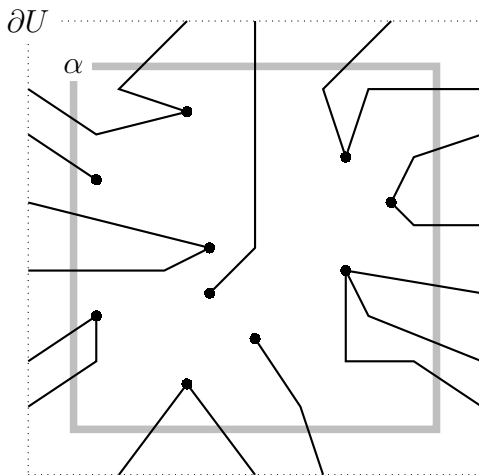


Figure 7: G_{-1}^* has only one face.

Notice that $G^* \setminus (Y^* \cup G_{-1}^*)$ lies in D . Therefore we can find a surface dual of $G^* \setminus (Y^* \cup G_{-1}^*)$ that lies in D , ensuring that the vertex corresponding to the face containing F_{-1} is f_{-1} ; and since D is a disk, we know that this surface dual is planar. Therefore the surface dual of $G^* \setminus Y^*$ is a planar graph containing a vertex f_{-1} , to which we add $|E(G_{-1}^*)|$ loops at f_{-1} . Therefore the surface dual of $G^* \setminus Y^*$ contains no K_5 - and no M_8 -minor. This concludes the proof of Claim 23.

The following definition will be useful: for each $i \in \{0, 1, 2, \dots\}$, an *internal face* of G_i^* is a face of G_i^* that avoids α . We now prove the following:

Claim 24. For each $i \in \{0, 1, 2, \dots\}$, every (V_i, V_i) -edge e that is incident to an internal face of G_i^* lies in a 3-cycle of G_i^* .

Let $i \in \{0, 1, 2, \dots\}$ and let uv be a (V_i, V_i) -edge that is incident to an internal face F of G_i^* . Then there is a vertex w and an edge uw , such that $w \neq v$ and uw is incident to F . Since G^* is a triangulation, we know that there is a triangular face F' of G^* such that the following hold:

- (1) uv is incident to F' ;
- (2) $F' \subseteq F$.

Let w' be the unique vertex incident to F' and distinct from u and v . Since w' is adjacent to u , we know that $w' \in V_i \cup V_{i+1}$. Therefore uw' and vw' are edges of G_i^* . Then uvw' is a 3-cycle of G_i^* containing uv . This concludes the proof of Claim 24.

Using the definition of G_i^* , with $i \in \{0, 1, 2, \dots\}$, and Claim 24, we see that each connected component of G_i^* , with $i \in \{0, 1, 2, \dots\}$, is of the form described by the following construction:

- (1) Let T be a tree;
- (2) For each $v \in V(T)$, let P_v be a graph consisting of either an edge or a cycle with at least three edges, such that P_u and P_v are disjoint when $u \neq v$;
- (3) For each edge e in T , with endpoints u and v , let $x_{e,u}$ and $x_{e,v}$ be arbitrary vertices of P_u and P_v , respectively;
- (4) Let L' be the graph formed from the graph $\bigcup_{v \in V(T)} P_v$ by identifying, for every $uv \in E(T)$, the vertices x_u and x_v ;
- (5) Embed L' in D ;
- (6) Let L be the graph (embedded in D) formed from L' by doing the following, for each cycle C in L_0 :
 - (a) Let D_C be the closed disk bounded by C ;
 - (b) Let Z_C be a finite collection of points in $\overset{\circ}{D}_C$;
 - (c) Embed any number of $(V(C), V(C))$ -edges in $\overset{\circ}{D}_C \setminus Z_C$;
 - (d) Embed $(V(C), Z_C)$ -edges in such a way that each $(V(C), V(C))$ -edge lies in a 3-cycle.

See Figures 8 and 9 for illustrations of the construction.

We see that each block of G_i^* , with $i \in \{1, 2, \dots\}$, that contains more than one edge is contained in some component of $G_0^* \setminus \{E(P_v) : v \in V(T), \text{ and } P_v \text{ is an edge}\}$. Therefore, it suffices for us to prove the following:

Claim 25. *The surface dual of an arbitrary component of $G_0^* \setminus \{E(P_v) : v \in V(T), \text{ and } P_v \text{ is an edge}\}$ contains no M_6 - and no M_{10} -minor.*

Let F_0 be the face of G_0^* that contains α . We know that the boundary of F_0 may contain cycles of G_0^* ; and we know that the blocks of G_0^* (other than the blocks that consist of single edges) are those subgraphs of G_0^* that are contained in the closed disks bounded by those cycles. Let C be a cycle contained in the boundary of F_0 . (Then $C = P_v$ for some $v \in V(T)$.) Let D_1 be the closed disk bounded by C . We consider now the edges of G_0^* embedded in D_1 . Let J consist of all chords of C . (Note that all such chords are embedded in D_1 .) Let $\{v_1, v_2, \dots, v_k\} = V_1 \cap D_1$; and for each $i \in \{1, \dots, k\}$, let S_i consist of all (V_0, v_i) -edges. Let $K = \{S_1, \dots, S_k\}$. Then K consists of all (V_0, V_1) -edges that lie in D_1 . We know that every edge of G_0^* embedded in D_1 is in $C \cup J \cup K$. Then

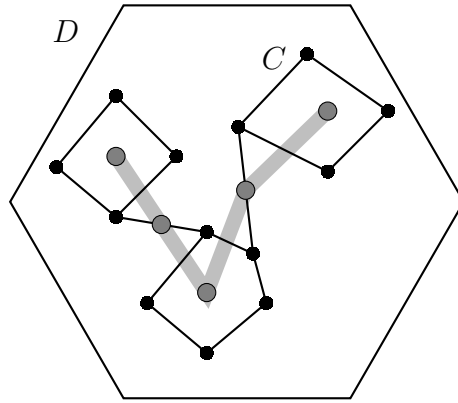


Figure 8: We see here the first five steps in the construction. The tree T (here, a path) is represented with thick grey vertices and edges.

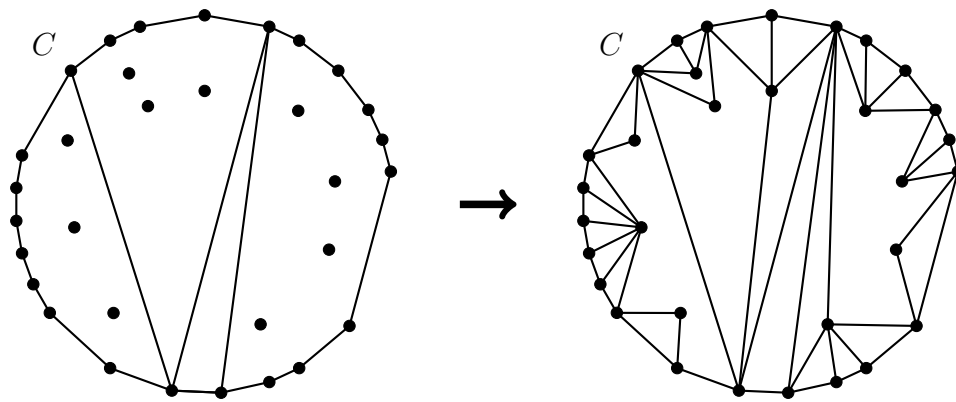


Figure 9: We see here step six of the construction. The figure on the left shows steps (6a), (6b), and (6c). The figure on the right shows step (6d).

$G^*[C \cup J \cup K]$ is an arbitrary component of $G_0^* \setminus \{E(P_v) : v \in V(T), \text{ and } P_v \text{ is an edge}\}$. We must therefore show that the surface dual of $G^*[C \cup J \cup K]$ contains no M_6 - and no M_{10} -minor.

We now construct a surface dual of $G^*[C \cup J \cup K]$. Let f_0 be a point in $D \cap D_1$. Notice that the surface dual of $G^*[C \cup J]$ is a tree, with all its leaves identified (notice that these leaves all lie outside of D_1); let R_0 be such a graph, embedded in D_1 . Without loss of generality, we may assume the following:

- (1) f_0 is the vertex of R_0 to which the leaves were identified;
- (2) R_0 avoids $\{v_1, \dots, v_k\}$.

Given R_0 , we obtain a sequence R_1, \dots, R_k of graphs by performing the following inductive process on R_{i-1} :

- (1) Let F_1^i be the face of $G^*[C \cup J \cup \{S_1 \cup \dots \cup S_{i-1}\}]$ in which v_i lies;
- (2) Let f_i be the vertex of R_{i-1} corresponding to F_1^i ;
- (3) Let (n_1, n_2, \dots, n_l) be the rotation scheme at f_i of R_{i-1} ;
- (4) Delete f_i (and all the edges incident to it) from R_{i-1} and embed a cycle C_i of length $|S_i|$ (possibly a loop or doubled edge) in the resulting face such that C_i bounds a closed disk that avoids $\{v_{i+1}, \dots, v_k\} \cup (R_{i-1} \setminus f_i)$;
- (5) For each $j \in \{1, \dots, l\}$, embed the appropriate $(V(C_i), n_j)$ -edge.

Thusly we obtain R_k , which is a surface dual of $G^*[C \cup J \cup K]$. If M_6 or M_{10} is to be a minor of R_k , then R_k must contain two disjoint, nested cycles Z_1 and Z_2 , and three pairwise disjoint (Z_1, Z_2) -paths. See Figure 10.

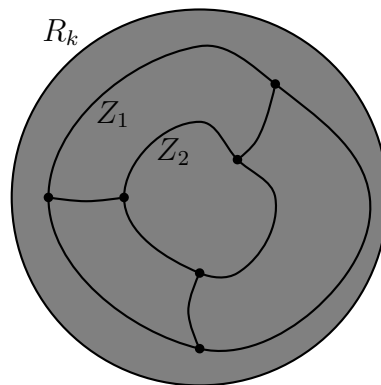


Figure 10: When M_6 or M_{10} is a minor of R_k , then R_k contains this substructure.

We prove now that R_k contains no such cycles. Let Z_1 and Z_2 be disjoint nested cycles in R_k , and suppose that Z_2 is contained in the disk bounded by Z_1 . Notice that cycles in R_k are of two types: those that contain f_0 and those that do not contain f_0 . In step (4) of the construction of R_i from R_{i-1} , we specified that the closed disk bounded by C_i avoid $\{v_{i+1}, \dots, v_k\}$. Therefore no pair of C_1, \dots, C_k are nested. Since $\{v_1, \dots, v_k\}$ are pairwise non-adjacent in G_0^* , we know that no two distinct C_i and C_j (with $i \neq j$) share an edge. Therefore, every cycle in R_k that avoids f_0 is in $\{C_1, \dots, C_k\}$. Therefore $Z_2 = C_i$ for some $i \in \{1, \dots, k\}$, and Z_1 contains f_0 . Then $Z_1 \setminus f_0$ is a path in the tree-like structure $R_k \setminus f_0$, and we know that there are not two disjoint $(Z_2, Z_1 \setminus f_0)$ -paths in $R_k \setminus f_0$. Therefore three pairwise disjoint (Z_1, Z_2) -paths do not exist in R_k . Hence R_k contains no M_6 - and no M_{10} -minor. This concludes the proof of Claim 25 and Theorem 22. \square

4 The case of the torus — introductory results

In this section, we need a few specialized definitions. Suppose that G is a plane graph with a 3-cycle xyz . We define the *interior of xyz* , notated $\text{int}(xyz)$, as the subgraph of G

induced by the edges whose interiors lie in the interior of the disk bounded by xyz . Note that no edge of xyz lies in $\text{int}(xyz)$. We define the *exterior of xyz* , notated $\text{ext}(xyz)$, as the subgraph induced by the edges whose interiors lie outside of the disk bounded by xyz .

The next lemma is an easy and well-known fact about representativity. The curve it provides will allow us to find a suitable set of edges, whose deletion produces a suitable planar graph.

Lemma 26. *If G is a graph embedded in a surface, then there is a closed, non-contractible curve α such that $|\alpha \cap G| = \text{rep}(G)$ and $\alpha \cap G \subseteq V(G)$.*

We will need the following well-known theorem of Tutte [11], which, notably, implies that 4-connected planar graphs are Hamiltonian.

Theorem 27 (Tutte). *Let G be a plane graph, and let e and f be distinct edges of G such that e is not a cut-edge and such that e and f both lie in some cycle that is contained in the boundary of some face. Then G contains a cycle C that satisfies the following:*

- (1) e and f are edges of C ;
- (2) Every C -bridge has at most three vertices of attachment;
- (3) If a C -bridge B shares a vertex with a cycle C' such that C' contains e and is contained in the boundary of some face, then B has exactly two vertices of attachment.

We will need the following lemma, which comes from [10].

Lemma 28 (Sanders). *Let G be a 4-connected plane graph. If C is a cycle of G of length at least four, and $\text{int}(C)$ is 3-connected, then $\text{int}(C)$ has a Hamilton cycle containing any three edges of C .*

We will also use the following lemma, which is a slight modification of Lemma 2.3 in [5].

Lemma 29 (Ding, Oporowski, Sanders, Vertigan). *Let G be a 4-connected plane triangulation with the cycle xyz as the boundary of the infinite face, and suppose that z has degree greater than three. Then G has an edge-partition $\{A, B\}$ such that the following hold:*

- (1) Each of $G[A], G[B]$ contains xyz and is outerplanar;
- (2) Every path in A from x or y to z uses xz or yz ;
- (3) B has no path between any of x, y, z except those contained in xyz ;
- (4) A contains every edge of G that has an endpoint in $\{x, y\}$.

Proof. Let G be a 4-connected plane triangulation with the cycle xyz as the boundary of the infinite face, and suppose that z has degree greater than three. Let C be the cycle of G that bounds the infinite face of $G - z$. Let v_x be the unique neighbor of x in C that is not y , and let v_y be the unique neighbor of y in C that is not x . Let $D = \{v_x x, v_y y, xy\}$. Since G is 4-connected, we know that $v_x \neq v_y$. And since z has more than three neighbors in G , we know that either $|V(G - z)| = 3$ or $|E(C)| \geq 4$. Therefore, whether trivially or by Lemma 28, we know that $G - z$ has a Hamiltonian cycle H containing D .

Let X consist of the edges of H together with $E(xyz)$ and the edges of G lying in the disk bounded by H . Let Y consist of $E(xyz)$ together with the edges of G whose interiors lie outside of the disk bounded by H .

Notice that every vertex of $G[A]$ is incident to the face of $G[A]$ that lies outside of the disk bounded by H and inside of the disk bounded by xyz ; therefore $G[A]$ is outerplanar. Notice that every vertex of $G[B]$ is contained in the face of $G[B]$ that contains the disk bounded by H ; therefore $G[B]$ is outerplanar. Hence condition (1) of the lemma holds. Since A contains no edges incident to z except for xz and yz , we know that every path in A from x or y to z uses xz or yz . Hence condition (2) of the lemma holds. Since the only edges in B that have an endpoint in $\{x, y\}$ are $\{xy, xz, yz\}$, we know that B has no path between any of x, y, z except those contained in xyz . Hence condition (3) of the lemma holds. And finally, since every edge with an endpoint in $\{x, y\}$ is either in xyz , in H , or contained in the disk bounded by H , we know that A contains all such edges. Hence condition (4) of the lemma holds. \square

The following theorem is a strengthening of Theorem 2.2 from [5].

Theorem 30. *If G is a plane graph and v is a vertex of G , then the edges of G can be bipartitioned into $\{S, T\}$ such that $G[S]$ and $G[T]$ are series-parallel and all the edges incident to v lie in S .*

Proof. Let \mathcal{S} consist of all triples (G, e, f) satisfying the following three conditions:

- (1) G is a plane graph;
- (2) The edges e and f of G are distinct, incident, and co-facial;
- (3) G does not admit the desired edge-partition with respect to v , where v is taken to be the vertex shared by e and f .

Let $(G, e, f) \in \mathcal{S}$ be a triple such that G has the fewest vertices. Without loss of generality, we may suppose that G is a triangulation, and via a stereographic projection, we may suppose that e and f lie on the infinite face. Clearly $|V(G)| > 4$.

Case 1. Suppose that G is 4-connected. Then Theorem 27 yields a Hamiltonian cycle H , which contains e and f . Let v be the vertex shared by e and f . Since e, f , and v lie on the boundary of the infinite face, we see that all edges incident to v lie either on H or in the disk bounded by H . Let S be the graph induced by H and the edges that lie inside of the disk bounded by H . Let T be the graph induced by the edges that lie outside of

the disk bounded by H . Then S and T are outerplanar and therefore series-parallel, and all the edges incident to v lie in S .

Case 2. Suppose that G is not 4-connected. Then G has a separating triangle. Let xyz be a separating triangle such that $\text{int}(xyz)$ is minimal with respect to number of vertices. If e and f are contained in xyz , then xyz is the boundary of the infinite face, and $\text{int}(xyz)$ is not connected; this contradicts the fact that the interior of a separating triangle in a (simple) plane triangulation is connected. Therefore one of e, f is not contained in xyz . Furthermore, notice that xyz contains at most one edge on the boundary of the infinite face. Therefore, without loss of generality, we may assume that if xyz contains an edge of the infinite face, then $e = xy$. Let $I = xyz \cup \text{int}(xyz)$, and let $E = xyz \cup \text{ext}(xyz)$. Notice that e and f lie in E . By minimality, we know that E has an edge-partition $\{S', T'\}$ into two series-parallel graphs such that the edges incident to v are contained in S' .

If there is only one vertex u in the interior of xyz , then we obtain the desired partition of the edges of G by letting $S = S' \cup \{ux, uy\}$ and $T = T' \cup uz$. Since the property of being series-parallel is retained under doubling edges and subdividing edges, this partition is as desired.

Suppose, then, that there is more than one vertex in $\text{int}(xyz)$. Since the $\text{int}(xyz)$ is minimal with respect to vertices, we know that I has no separating triangles. Hence I is 4-connected, and the degrees of x, y , and z in I are each greater than three. Thus I has an edge-partition $\{A, B\}$ as specified in Lemma 29. Let $S = S' \cup (A \setminus \{xy, xz, yz\})$, and let $T = T' \cup (B \setminus \{xy, xz, yz\})$. Since A contains all edges of I with an endpoint (with respect to $G[I]$) in $\{x, y\}$, we know that any edges of $G[I]$ that are incident to v lie in S . Recall that A contains no paths between x or y and z except xz and yz . Therefore we may obtain S from S' by doubling and subdividing edges and by adding leaves to x and y . Therefore S is series-parallel. Recall also that B contains no paths between any of x, y, z except those contained in xyz . Therefore we may obtain T from T' by adding leaves to z . Therefore T is series-parallel. \square

5 The case of the torus — main result

We now prove our main result on toroidal graphs.

Theorem 31. *If G is a toroidal graph, then there is a bipartition $\{X, Y\}$ of $E(G)$ such that $\text{tw}(G/X) \leq 3$ and $\text{tw}(G/Y) \leq 4$.*

Proof. Let G be a toroidal graph. By Lemmas 15 and 14, we may suppose that G is cubic and 2-connected. Our first goal is to find a suitable set Z of edges that satisfies a few specified properties, most notably that $G \setminus Z$ is planar. If there is a closed, non-contractible curve on the torus that meets G at precisely one interior point of one edge e , then let $Z = \{e\}$. Otherwise, we must look more closely to find Z . Suppose that G admits no such curve on the torus. Then the closure of each face of G is a disk.

By Lemma 26, there exists a closed, homotopically non-trivial curve Γ on the torus such that $\Gamma \cap G \subset V(G)$ and $|\Gamma \cap G| = \text{rep}(G)$. We now prove the following:

Claim 32. *No face of G contains more than one connected component of $\Gamma \setminus G$.*

Let F be a face of G , and assume that F contains two distinct connected components A and A' of $\Gamma \setminus G$. Note that for every point x in $\Gamma \cap G$, every open disk about x intersects at least two distinct faces; for otherwise we could very easily find a new curve homotopically equivalent to Γ that intersects G one fewer times than does Γ . Therefore, since F is a disk, we know that $\Gamma \setminus (A \cup A')$ consists of two connected components B and B' and at least one of $B \cup F$ and $B' \cup F$ is homotopically non-trivial. Without loss of generality, assume that $B \cup F$ is homotopically non-trivial. Let Γ' be the curve consisting of B and a segment whose endpoints are the two vertices in $\overline{B} \cap F$ and whose interior lies in the interior of F . Then Γ' is a homotopically non-trivial curve that hits G only at vertices and that hits G fewer times than does Γ . This contradicts the minimality of Γ . Therefore Claim 32 holds.

Since every face of G is disk, and since $|\Gamma \cap G| = \text{rep}(G)$, Claim 32 implies that $|\Gamma \cap e| \leq 1$, for every $e \in E(G)$.

Let v_1, v_2, \dots, v_k be the vertices contained in Γ . The rotation scheme at v_i , for $i \in \{1, \dots, k\}$, will be as follows: $e_i, \Gamma, e'_i, e''_i, \Gamma$, where e_i, e'_i , and e''_i are the three edges incident to v_i .

We will now alter Γ slightly to produce a curve Γ' that will yield a desirable set of edges; a set whose deletion produces a planar graph. For each v_i , let B_i be an open disk such that the following hold:

- (1) $v_i \in B_i$;
- (2) $B_i \cap G$ is an open star;
- (3) $\overline{B_i \cap G}$ equals $\overline{B_i} \cap G$ and contains none of $V(G) - v_i$.

Let Γ' be the curve obtained from Γ by replacing, for every $i \in \{1, \dots, k\}$, the path $\overline{B_i} \cap \Gamma$ with the path on the boundary of B_i that intersects e_i . Then $\Gamma' \cap G$ consists of precisely one interior point from e_i , for each $i \in \{1, \dots, k\}$. Let $Z = \{e_1, \dots, e_k\}$. Note that $|\Gamma' \cap G| = \text{rep}(G)$. We now prove the following:

Claim 33. *The edges of Z are pairwise non-adjacent.*

Assume, en route to a contradiction, that e_i and e_j are adjacent at vertex v , with $i \neq j$. Then by Claim 32 and the fact that $|\Gamma' \cap e_i| = |\Gamma' \cap e_j| = 1$, it follows that $|i - j| = 1$. Let B be an open disk such that the following hold:

- (1) $v \in B$;
- (2) $\Gamma' \cap B$ is an open star;
- (3) $\overline{B \cap G}$ equals $\overline{B} \cap G$ and contains none of $V(G) - v$.

Let Γ'' be the curve obtained from Γ' by replacing the path $\Gamma' \cap B$ with the path on the boundary of B that intersects neither e_i nor e_j . Then Γ'' is a non-contractible curve and $|\Gamma'' \cap G| < |\Gamma' \cap G|$. This contradicts the minimality of $|\Gamma' \cap G|$. Hence Claim 33 holds.

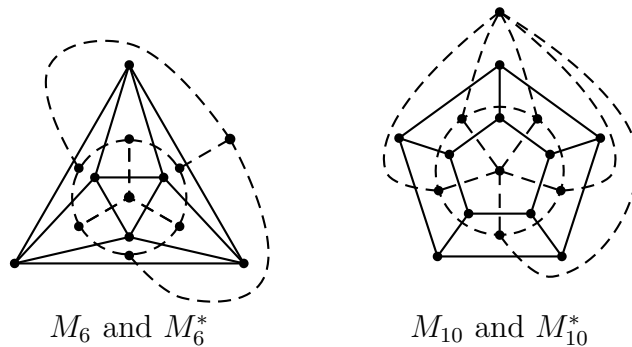


Figure 11: M_6 , M_{10} , and their plane duals.

Therefore $G \setminus Z$ is planar and the face created by the deletion of Z is bounded by two cycles S and T . Let G' be $G \setminus Z$ with the vertices of degree two suppressed, and embed G' in the plane such that S and T are facial cycles. Let H be a plane dual of G' , and let s and t be the vertices of H associated with the faces S and T of G' . Since G' is cubic, we know that H is a triangulation.

Using Theorem 30, we bipartition $E(H)$ into E'_1 and E'_2 such that all the edges incident to s lie in E'_1 , and $H \setminus E'_1$ and $H \setminus E'_2$ are series-parallel. Let E_t consist of the edges incident to t , and let $E_1 = E'_1 \setminus E_t$ and $E_2 = E'_2 \cup E_t$. Then $H \setminus E_2$ is a subgraph of $H \setminus E'_2$ and therefore is series-parallel. Hence, by Lemma 21, we know that G/E_2 is series-parallel.

We see that $H \setminus E_1$ can be obtained from $H \setminus E'_1$ by adding a vertex to $V(H \setminus E'_1)$ and adding any number of edges between that vertex and the rest of the vertices in $H \setminus E'_1$. We know that the unique plane dual of M_6 is the cube, and the unique plane dual of M_{10} is the double 5-wheel. See Figure 11.

Furthermore, both the cube minus any vertex and the double 5-wheel minus any vertex contain a K_4 -minor. Therefore, since $H \setminus E'_1$ contains no K_4 -minor, we know that $H \setminus E_1$ contains no minor isomorphic to the cube or the double 5-wheel. Hence, by Lemma 21, we know that G'/E_1 has no minor isomorphic to M_6 or M_{10} . And by planarity, we know that G'/E_1 has no minor isomorphic to K_5 or M_8 . Hence $\text{tw}(G'/E_2) \leq 2$ and $\text{tw}(G'/E_1) \leq 3$. Furthermore, $S \subseteq E_1$ and $T \subseteq E_2$.

Let u be the vertex to which S is contracted in G'/E_1 , and let v be the vertex to which T is contracted in G'/E_2 . Then we can construct a tree decomposition of $G/(E_1 \cup Z)$, with width at most four, by adding u to every bag in the tree decomposition of G'/E_1 . And we can construct a tree decomposition of G/E_2 , with width at most three, by adding v to every bag in the tree decomposition of G'/E_2 . Let $X = E_1 \cup Z$ and $Y = E_2$. Then $\{X, Y\}$ is the desired bipartition of $E(G)$. \square

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