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Robb T. Brumfield
*University of Washington, Seattle*

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MITOCHONDRIAL VARIATION IN BOLIVIAN POPULATIONS OF THE VARIABLE ANTSRIKE (*THAMNOPHILUS CAERULESCENS*)

**Robb T. Brumfield**

*Department of Biology, University of Washington, Seattle, Washington 98195, USA*

**Abstract.**—The Variable Antshrike (*Thamnophilus caerulescens*) is arguably the most pollytypic thamnophilid, with males ranging from almost entirely jet black to nearly white. The four subspecies that occur in Bolivia are strikingly divergent in male plumage: *T. c. aspersiventer* (black with white-barred belly), *T. c. connectens* (black back and bib with white belly), *T. c. dinellii* (gray throat and back with rufous belly), and *T. c. paraguayensis* (light gray with white belly). To assess the genetic structure of those taxa in Bolivia, sequence variation at the mitochondrial cytochrome-*b* gene was screened in 126 individuals collected across transects spanning the plumage and vocal variation in the four forms. A 95-km-wide cline in haplotype frequencies from *T. c. aspersiventer* to *T. c. dinellii* was centered in the Serrania Cochabamba across an ecotone from humid to dry Andean foothill habitats. *Thamnophilus caerulescens connectens* is not a valid taxon, instead representing an introgressed population near the *dinellii* tail of the *T. c. aspersiventer*–*T. c. dinellii* hybrid zone. Although direct contact between *T. c. dinellii* and *T. c. paraguayensis* remains undocumented, the mitochondrial data were consistent with introgression along a broad cline extending across most of southern Bolivia. Overall, the transitions in mitochondrial frequencies were remarkably concordant with clinal changes in vocalizations among those same populations (Isler et al. 2005). Both studies highlight the need for increased sampling, in both the geographic extent and number of individuals per population, to address adequately the potential for clinal variation between populations that are not isolated geographically. A more restricted sampling design in the present study might have led to the erroneous conclusion that *T. c. aspersiventer*, *T. c. dinellii*, and *T. c. paraguayensis* have reciprocally monophyletic mitochondrial lineages, making them full species according to some species concepts. Received 5 February 2004, accepted 29 November 2004.

Key words: clinal variation, hybrid zone, speciation, systematics, Thamnophilidae, *Thamnophilus caerulescens*, Variable Antshrike.

**Variación Mitocondrial en Poblaciones Bolivianas de *Thamnophilus caerulescens***

**Resumen.** — *Thamnophilus caerulescens* es probablemente el tamnofilido más políptico, considerando que los machos varían en coloración desde casi completamente negra hasta casi blanca. Las cuatro subespecies que se encuentran en Bolivia varían de forma llamativa en términos del plumaje de los machos: *T. c. aspersiventer* (negro con el vientre barreado con blanco), *T. c. connectens* (espalda y babero negros con vientre blanco), *T. c. dinellii* (garganta y espalda grises con vientre rufo), y *T. c. paraguayensis* (gris claro con vientre blanco). Para determinar la estructura genética de estos taxa en Bolivia, se examinó la variación en secuencias del gen mitocondrial citocromo-*b* en 126 individuos colectados a través de transectos que cubrían la variación en

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1Present address: Museum of Natural Science, 119 Foster Hall, Louisiana State University, Baton Rouge, Louisiana 70803, USA. E-mail: brumflid@lsu.edu
plumaje y vocalizaciones existente entre las cuatro formas. Una clina en la frecuencia de haplotipos de *T. c. aspersiventer* a *T. c. dinellii* de 95 km de ancho estuvo centrada en la Serranía Cochabamba, a través de un ecotono entre hábitats húmedos y secos en el piedemonte andino. *Thamnophilus caerulescens connectens* no es un taxón válido; en cambio, representa una población en la que ha sucedido introgresión cerca del extremo *dinellii* de la zona de hibridación entre *T. c. aspersiventer* y *T. c. dinellii*. Aunque aún no se ha documentado contacto directo entre *T. c. dinellii* y *T. c. paraguayensis*, los datos mitocondriales son consecuentes con la ocurrencia de introgresión a lo largo de una clina amplia que se extiende a través de buena parte del sur de Bolivia. En general, las transiciones en las frecuencias mitocondriales fueron notablemente concordantes con cambios clinales en las vocalizaciones entre las mismas poblaciones (Isler et al. 2005). Ambos estudios destacan la necesidad de tener muestras amplias tanto en términos del ámbito geográfico como del número de individuos por población para examinar adecuadamente la posibilidad de que existan variaciones clinales entre poblaciones que no están aisladas geográficamente. Un diseño de muestreo más restringido que el empleado en este estudio podría haber llevado a la conclusión errónea de que *T. c. aspersiventer*, *T. c. dinellii* y *T. c. paraguayensis* representan linajes mitocondriales recíprocamente monofiléticos, lo que a la luz de algunos conceptos de especie sería considerado evidencia para tratarlos como especies distintas.
Eastern Cordillera in Bolivia, from the Peruvian border south to the north slope of the Serrania Cochabamba in the department of Cochabamba. The Serrania continues southeastward into Santa Cruz, but T. c. aspersiventer is unknown from that department (Bond and Meyer de Schauensee 1942, contra Zimmer and Isler 2003), resulting in a distributional gap between the southeasternmost occurrence of T. c. aspersiventer near Villa Tunari (in Cochabamba; S. Herzog pers. comm.) and T. c. connectens, a relatively small population of T. c. aspersiventer–T. c. dinellii intergrades on the southern slope of the divide in Santa Cruz (Fig. 1A; Cory and Hellmayr 1924). It is unclear whether the gap between T. c. aspersiventer and T. c. connectens is real or simply represents the little ornithological exploration of the region (Remsen and Traylor 1989, Paynter 1992).

Plumage variation in male T. c. connectens ranges from black-backed birds with black pectoral bibs and entirely white bellies to males that are indistinguishable from T. c. dinellii, a subspecies that replaces T. c. connectens to the west in higher-elevation forests and inter-Andean valleys, and to the south in semihumid Tucumano–Boliviano and dry boreal chaco forest (Fig. 1A; Darrieu and Camperi 1990, Schmitt et al. 1997, Schulenberg and Awbrey 1998, Mayer 2000, Mayer et al. 2000, Navarro 2002). Male T. c. dinellii have dark gray backs, light gray throats, and rufous bellies. In Bolivia, T. c. dinellii is restricted to the Andean foothills (around 875–2,500 m above sea level); but in northern Argentina, its distribution extends eastward into the lowland chaco, to the Río Pilcomayo (Darrieu 1997). The fourth subspecies found in Bolivia, T. c. paraguayensis, is found in the lowland chaco of southeastern Bolivia, Paraguay, and southern Brazil (Short 1975, Kratter et al. 1993, Parker et al. 1993). Males have light gray backs and chests and grayish white bellies. Contact between T. c. dinellii and T. c. paraguayensis in Bolivia and Argentina is undocumented.

Here I characterize the variation in mitochondrial DNA in Bolivian populations of T. caerulescens. In its Bolivian range, T. caerulescens is often among the most common forest and forest-edge birds. Nonetheless, genetic variation within or among those populations has never been characterized. I use a mitochondrial gene tree to establish whether the Bolivian subspecies represent distinct, reciprocally monophyletic, mitochondrial lineages. I characterize transitions in mitochondrial haplotype frequency across the contact zones. A companion study by Isler et al. (2005) examines vocal characters in some of the same populations to assess taxonomic limits.

Methods

Samples

During June–August 2000 and April–May 2002, birds were collected with shotgun or mist net along a transect in the Bolivian Eastern Cordillera spanning the plumage variation from T. c. aspersiventer, through T. c. connectens, to T. c. dinellii. Samples of T. c. paraguayensis were collected in the Bolivian lowland chaco (Fig. 1, Table 1, and Appendix). When possible, a bird’s vocalizations were tape-recorded before collection (Isler et al. 2005). An effort was made to collect at least one population sample as close as possible to the type localities of each taxon. The aspersiventer samples were collected in Yungas forest in Cochabamba (Table 1), but how close that was to the type locality is uncertain. The type locality of “Yungas Bolivia” is a vague reference to the humid foothill forests that characterize the Eastern Andes of departments La Paz and Cochabamba (Peters 1951). One of the population samples of T. c. connectens (C2) was collected ~23 km east of its type locality, in Samaipata (Fig. 1B). Neither the type locality of T. c. dinellii in northern Argentina (Estancia Isca Yacu, province Santiago del Estero) nor that of T. c. paraguayensis in Paraguay (Río Apa, department of Concepción) was sampled. All specimens were prepared as study skins with an accompanying spread wing and partial skeleton. Samples of breast muscle, heart, and liver were placed in a single cryotube and preserved in liquid nitrogen within 6 h after birds were sacrificed. Specimens were deposited in the collections of the Burke Museum of Natural History (UWBM, Seattle, Washington), the Louisiana State University Museum of Zoology (LSUMZ, Baton Rouge), or the Museo de Historia Natural Noel Kempff Mercado (MNKM, Santa Cruz, Bolivia). To serve as outgroups in the phylogenetic analysis, individuals of T. unicolor (LSUMZ B12144) and T. aethiops (LSUMZ B14649) were sequenced.
Because of the complex topography of the region, the population samples that span the variation between *T. c. aspersiventer* and *T. c. dinellii* form a curvilinear transect, extending from the population sample of *T. c. aspersiventer* (A1) on the north side of the divide in Cochabamba to two population samples of *T. c. connectens* (C1, C2) and two of *T. c. dinellii* (D1, D2) on the south side of the divide in Santa Cruz (Fig. 1). Those samples correspond, respectively, to populations A, C, and DN in Isler et al. (2005).

At the time the fieldwork for this study was conducted, intergradation between *T. c. dinellii* and *T. c. paraguayensis* was undocumented, and there is still only genetic evidence of introgression between the two (see below). On the basis of a posteriori knowledge of the mitochondrial frequency cline, which in Bolivia extends in a north–south axis along the foothills of the Eastern Andean Cordillera, a *T. c. dinellii to T. c. paraguayensis* transect begins at population D2 and extends southward to include populations D1, D3, and D4 (Table 1 and Fig. 1C). Population samples of *T. c. paraguayensis* (P1, P2) collected in the Bolivian lowland chaco (Fig. 1) serve as the parental samples of that subspecies. Samples D1 and D2 correspond to population
Table 1. Bolivian localities of *T. caerulescens* specimens examined. Taxon designation is based on predominant plumage type at each locality.

<table>
<thead>
<tr>
<th>Subspecies</th>
<th>Locality</th>
<th>Transect Code</th>
<th>Transect (km) a</th>
<th>Transect (km) b</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>aspersiventer</em></td>
<td>Department of Cochabamba, Chapare province, San Onofre, ~43 km west of Villa Tunari; 1,500 m above sea level; 17°08'47&quot;S, 65°46'46&quot;W</td>
<td>A1</td>
<td>0</td>
<td>–</td>
</tr>
<tr>
<td><em>connectens</em></td>
<td>Department of Santa Cruz, Florida province, 10 km south of Bermejo; 1,200 m above sea level; 18°13'16&quot;S, 63°38'25&quot;W</td>
<td>C1</td>
<td>265</td>
<td>–</td>
</tr>
<tr>
<td><em>dinellii</em></td>
<td>Department of Santa Cruz, Florida province, 23.2 km east of Samaipata; 1,500 m above sea level; 18°16'39&quot;S, 63°40'28&quot;W</td>
<td>C2</td>
<td>300</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>Department of Santa Cruz, Vallegrande province, San Lorenzo, ~29 km southeast of Vallegrande; 2,400 m above sea level; 18°40'38&quot;S, 63°55'05&quot;W</td>
<td>D1</td>
<td>350</td>
<td>75</td>
</tr>
<tr>
<td></td>
<td>Department of Santa Cruz, Caballero province, El Tambo, 14 km southeast of Comarapa; 1,550 m above sea level; 18°00'23&quot;S, 64°26'06&quot;W</td>
<td>D2</td>
<td>375</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Department of Santa Cruz, Cordillera province, Laguna Kauca, ~10 km east of Gutiérrez; 875 m above sea level; 19°23'45&quot;S, 63°27'00&quot;W</td>
<td>D3</td>
<td>–</td>
<td>150</td>
</tr>
<tr>
<td></td>
<td>Department of Tarija, O’Connor province, ~7 km north-northwest of Entre Ríos; 1,850 m above sea level; 21°28'17&quot;S, 64°12'45&quot;W</td>
<td>D4</td>
<td>–</td>
<td>330</td>
</tr>
<tr>
<td><em>paraguayensis</em></td>
<td>Department of Santa Cruz, Cordillera province, 38.4 km east of Abapó; 400 m above sea level; 18°46'15&quot;S, 63°04'38&quot;W</td>
<td>P1</td>
<td>–</td>
<td>1,000</td>
</tr>
<tr>
<td></td>
<td>Department of Santa Cruz, Cordillera province, 69 km east of Abapó; 400 m above sea level; 18°37'17&quot;S, 62°49'13&quot;W</td>
<td>P2</td>
<td>–</td>
<td>1,000</td>
</tr>
</tbody>
</table>

* a Geographic position along the *aspersiventer–dinellii* transect.
* b Geographic position along the *dinellii–paraguayensis* transect.
DN in Isler et al. (2005), and P1 and P2 to P. All other sample designations are identical in the two studies.

**Sequence Data**

Total DNA was extracted from 25 mg of breast muscle using DNeasy spin columns (Qiagen, Valencia, California). The entire mitochondrial cytochrome-\(b\) gene was amplified using the primers L14841 (CCATCCAACATCTCAACATGATGAAA) and H16065 (AACTGCAGT CATCTCCGTTTACAAGAC) (Kocher et al. 1989, Hackett 1996). Polymerase chain reaction amplification was performed in a PTC-100 or PTC-200 thermocycler (MJ Research, Waltham, Massachusetts) with the following conditions: 94°C 2 min, 35 cycles of 94°C 30 s, 45°C 30 s, 72°C 1 min, and a final 5-min extension at 72°C. Reactions had a total volume of 25 µL and contained 2.5 µL of 10× buffer, 0.5 U AmpliTaq (Applied Biosystems, Foster City, California), 200 µM dNTPs (Applied Biosystems), 125 nmol of each primer (Integrated DNA Technologies, Coralville, Iowa), and ~50 ng of template. Amplicons were purified by precipitating in PEG, washing with cold 80% ethanol, and resuspending in 12.5 µL 10 mM Tris-HCl, pH 8.0. Cycle sequencing reactions had a total volume of 10 µL and contained 1.6 µL Big Dye Terminator (version 1 or 2, Applied Biosystems), 0.32–0.40 µM L14841 or H16065 primer, and 2.0 µL 5× buffer (400 mM Tris-HCl, pH 9.0, 10 mM MgCl2). Reactions were purified using either isopropanol or sodium acetate–ethanol precipitation, and loaded onto an ABI 377 automated sequencer. Contigs of the two sequences per individual were made using SEQUENCHER 4.1.2 (Gene Codes, Ann Arbor, Michigan).

**Data Analysis**

Multiple alignments were constructed using CLUSTALW (Higgins and Sharp 1988) and the alignment imported into PAUP*, version 4.0b10 (Swofford 1998). From an initial neighbor-joining tree reconstructed from the raw percentage-sequence-divergence matrix, the best-fit maximum-likelihood (ML) model was determined using MODELTEST, version 3.06 (Posada and Crandall 1998). A neighbor-joining gene tree was subsequently reconstructed from the HKY85 (Hasegawa et al. 1985) pairwise distance matrix with no correction for rate heterogeneity. Confidence in nodes was assessed using 10,000 neighbor-joining bootstrap replicates. Maximum-parsimony and ML analyses were also performed. A minimum spanning network of haplotype relationships was constructed from a pairwise absolute nucleotide difference matrix using the program TCS, version 1.18 (Clement et al. 2000).

The program DNASP, version 3.14 (Rozas and Rozas 1999) was used to estimate the nucleotide diversity parameter \(\pi\), a measure of the average number of nucleotide differences per site between two randomly chosen sequences, and \(\Theta\) (\(2N_e\); Watterson 1975), a measure of the proportion of variable sites in each population sample. DNASP was also used to calculate the number of haplotypes and segregating sites \(S\) in each population sample. As a test of selective neutrality, Tajima's (1989) \(D\) statistic was calculated and its significance assessed by comparison to a beta distribution. To infer the long-term demographic history of the populations, the \(R_2\) statistic was calculated. That measure is based on the difference between the number of singleton mutations and the average number of nucleotide differences among sequences within a population sample, and represents a better test than other measures when sample sizes are small (Ramos-Onsins and Rozas 2002). Significance of \(R_2\) was evaluated by comparing the observed value with a null distribution of \(R_2\) simulated under the coalescent, using the empirical population sample size and observed number of segregating sites. Demographic history was also tested, by plotting mismatch distributions for each population sample, with the assumption that stable populations have ragged distributions whereas exponentially growing populations have smooth Poisson distributions. The null hypothesis in this test is that the population has undergone an expansion, because there is no quantitative expectation for the shape of the mismatch distribution in a stationary population (Harpending 1994). Statistical significance was assessed with 5,000 bootstrap replicates using ARLEQUIN (Schneider et al. 2000).

The geographic structure of the genetic variation was tested using an analysis of molecular variance (AMOVA) implemented in ARLEQUIN (Schneider et al. 2000). In the first analysis, samples north and south of the
continental divide in the T. c. aspersiventer–T. c. dinelli transect (Fig. 1B) were treated as groups. In a second analysis, samples of T. c. dinelli and T. c. paraguayensis were treated as groups (Fig. 1C). The null distribution was generated by calculating the variance parameters on each of 5,000 resampled data sets. To detect finer-scale structure, FST was calculated between all population samples. In addition, the effective migration rate (2Nf) between populations was estimated using an ML-based Markov chain Monte Carlo methodology implemented in MIGRATE (Beerli and Felsenstein 2001; see Acknowledgments). To reduce the number of estimated parameters, symmetric migration rates were estimated instead of asymmetric rates. MIGRATE was run with 10 short chains of length 200,000 that were sampled every 20 trees, followed by three long chains of length 2 million that were sampled every 20 trees. After discarding the initial 10,000 burn-in trees, the final total number of trees used in the parameter estimation was 100,000. The analysis was repeated 10× using different random seeds to gauge the stability of the results. Geographic distance and FST matrices were tested for association (i.e., isolation by distance) by Mantel tests with 1,000 permutations.

To estimate the width and center position of the transition in mitochondrial frequencies across the T. c. aspersiventer–T. c. dinelli hybrid zone, an ML cline was fit to the data using ANALYSE (see Acknowledgments). The shape of a cline can be modeled by combining three mathematical expressions (Szymura and Barton 1986, 1991):

\[ e^{X(\text{decay}_a)}\text{intercept}_a = 1 \]
\[ \frac{1}{1 + e^{-4X}} \]
\[ 1 - e^{-X(\text{decay}_d)}\text{intercept}_d \]

where
\[ \text{decay}_a = 2\sqrt{\theta_a} \]
\[ \text{decay}_d = 2\sqrt{\theta_d} \]
\[ \text{intercept}_a = \frac{t_a}{t_a + t_d + t_a t_d} \]
\[ \text{intercept}_d = \frac{t_d}{t_a + t_d + t_a t_d} \]
\[ t_a = B_d\text{decay}_d \]
\[ t_d = B_d\text{decay}_d \]

and \[ \chi = \frac{x - c}{w} \]
is the distance \( X \) along the cline scaled in relation to the center and width. Expression (1B) is a sigmoid in the center, and Expressions (1A) and (1C) are exponential decay curves leading away from either side of the center. The change from the central curve (1B) to the exponential tails occurs at the point where the decay curves (1A and 1C) cross the sigmoidal curve (1B). That crossing point changes, depending on the parameters. Parameters \( \theta_a \) and \( \theta_d \) describe the rate of exponential decay, and \( B_a \) and \( B_d \) describe the size of the central barrier to gene flow (Szymura and Barton 1986). The width of the cline (\( w \)) is defined as 1/maximum slope, \( c \) is the central position of the cline where \( p_{\text{est}} = 0.5 \), and \( x \) is the distance (kilometers) from population A1 or D2, depending on the hybrid zone being analyzed (Table 1). Two additional parameters, \( p_{\text{min}} \) and \( p_{\text{max}} \), represent the frequencies at the two ends of the cline, and are used by ANALYSE to scale \( p_{\text{est}} \):

\[ p_{\text{est}} = p_{\text{min}} + p_{\text{est}}(p_{\text{max}} - p_{\text{min}}) \]

Calculation of the likelihood of a fitted cline assumes a binomial sampling distribution for the molecular genetic markers. For each population, the support or goodness-of-fit for a fitted cline is expressed as a log-likelihood and is calculated as:

\[ \ln L = n \left[ p_{\text{obs}} \ln \left( \frac{p_{\text{obs}}}{p_{\text{est}}} \right) + (1 - p_{\text{obs}}) \ln \left( \frac{1 - p_{\text{obs}}}{1 - p_{\text{est}}} \right) \right] \]

where \( n \) is number of haplotypes sampled in the population, \( p_{\text{obs}} \) is observed frequency of the modeled haplotype, and \( p_{\text{est}} \) is estimated frequency of the modeled haplotype based on Expression 1. The support for the entire fitted cline is the sum of the population log-likelihoods.

Model parameters were estimated by a bounded, directional search of the parameter...
space using the Metropolis-Hastings algorithm (Metropolis et al. 1953, Hastings 1970) implemented in ANALYSE. Thirty thousand iterations of the Metropolis-Hastings algorithm were run with 20 different starting points. Two-unit log-likelihood support values \((\ln L_{\text{max}} - 2)\), equivalent to 95% confidence intervals, were inferred for each cline by running the Metropolis-Hastings algorithm with 20,000 iterations. End positions of the cline \(p_{\text{min}} \to p_{\text{max}}\) were fixed in the analysis to 0 and 1, but center \((c)\), width \((w)\) and additional parameters that determine the shape of the stepped portion of the cline \((B_c, B_v, \theta_c, \theta_v)\) were estimated (Brumfield et al. 2001). Although the sampling was not designed optimally to characterize the structure of the T. c. dinellii–T. c. paraguayensis contact zone (Fig. 1C), ANALYSE was also used to fit an ML cline to the mitochondrial frequencies (Table 1), thus providing a rough estimate of the center of the transition under the assumption of a north–south axis. Because samples of T. c. paraguayensis from a locality near the end of the transect were not available, the geographic location of the parental population of paraguayensis was arbitrarily set at 1,000 km to reflect the large distance between D4 and P1–P2 (Table 1 and Fig. 1A).

Results

Genetic Variation

A total of 925 base pairs (bp) of the mitochondrial cytochrome-\(b\) gene was sequenced from 126 T. caerulescens individuals plus the two outgroups T. unicolor and T. aethiops (Table 1). Because internal primers were not used, the entire cytochrome-\(b\) gene was not sequenced. The 925 bp analyzed represent unambiguous sequences corresponding to bases 15,068–15,992 in the chicken (Gallus gallus domesticus) genome (Desjardins and Morais 1990). None of the sequences had stop codons indicative of non-functional nuclear copies. The sequences have been deposited to GenBank (Accession numbers: AY962685–AY962812).

There were 27 unique haplotypes in the 126 T. caerulescens sequences (Fig. 2); 19 of the haplotypes were unique to individuals. Of the remaining eight haplotypes, four were detected in more than one population sample. Variation among the sequences occurred at 45 sites distributed more-or-less heterogeneously along the sequence. Each subspecies had one haplotype with substantially higher frequency than all other haplotypes (Fig. 2): aspersiventer (53%), connectens (66%), dinellii (69%), paraguayensis (80%). The same high-frequency haplotype was shared by connectens and dinellii.

Several measures of within-population genetic diversity were calculated (Table 2). Across all population samples, number of haplotypes ranged from 3 to 7, number of variable sites \((S)\) from 2 to 23, nucleotide diversity \(\pi\) from 0.039 to 0.622. Measures of \(S\), \(\Theta\), and \(\pi\) were consistently highest in the two T. c. connectens populations (C1, C2), both of which contained some (5.9–16.7%) T. c. aspersiventer haplotypes, and in the two T. c. dinellii populations (D3, D4) that contained some (8.3–23%) T. c. paraguayensis haplotypes (Table 2). Three population samples (C2, D3, P1) had significant Tajima’s \(D\) values. Those significant values should probably not be given too much weight, because the test assumes, among other things, that populations have not undergone any recent expansions or bottlenecks (Tajima 1989). A null hypothesis of population expansion was not rejected by any of the mismatch distribution tests (results not shown), a result that is more or less consistent with a visual inspection of the plots (Fig. 1B, C). Because mismatch distributions represent a conservative test, the \(R_2\) statistic was used to assess historical demography (Ramos-Onsins and Rozas 2002). A significant value in the D3 population samples represents the only reasonably strong statistical support for a recent population expansion.

Haplotype Relationships

Excluding the outgroups, there were 45 variable sites, 28 of which were parsimony-informative. The best-fit ML model inferred from MODELTEST (Posada and Crandall 1998) was the HKY substitution model \(\text{HKY}_{\text{ti}/\text{tv}} = 11.0219; A = 0.2717, C = 0.3287, G = 0.1309, T = 0.2686\) with no correction for among-site rate variation. The outgroup-rooted neighbor-joining gene tree composed three major lineages of haplotypes that corresponded to the subspecies T. c. aspersiventer, T. c. dinellii, and T. c. paraguayensis (Fig. 3). On the tree, T. c. paraguayensis and T. c. dinellii were sister taxa, a relationship consistent
Fig. 2. Minimum spanning network of *T. caerulescens* haplotypes. Sample designations are given for all individuals; codes refer to localities in Table 1. Circle colors indicate the subspecies in which the haplotype was encountered (black, *aspersiventer*; dark gray, *dinellii*; light gray, *paraguayensis*). For cases in which a haplotype was detected in two subspecies, the frequency of its occurrence in each is depicted as a pie diagram.
The three major clades had strong bootstrap support, but there was limited support for most relationships within those clades. None of the three major clades was reciprocally monophyletic (Fig. 3), as evidenced by *T. c. aspersiventer* and *T. c. dinellii* haplotypes in the *T. c. connectens* populations, and *T. c. paraguayensis* haplotypes in two of the *T. c. dinellii* populations (D3, D4). A heuristic search with 10 random-addition replicates and tree-bisection-reconnection (TBR) branch-swapping under the equally weighted maximum-parsimony criterion resulted in a single most-parsimonious tree of 140 steps. The three strongly supported clades found in the neighbor-joining tree were recovered with at least 89% support in the parsimony analysis based on 100 nonparametric bootstrap replicates using the above search criteria (Fig. 3). A most likely tree (–ln L = 2012.25) using the above likelihood model and parameters, TBR branch-swapping, and the taxon addition "as-is" option had the same three strongly supported clades.

Average raw sequence divergence was low within the three major clades: 0.014 ± 0.15% within the *T. c. aspersiventer* clade, 0.090 ± 0.13% within *T. c. dinellii*, and 0.057 ± 0.08% within the *T. c. paraguayensis* clade. The greatest levels of sequence divergence between haplotypes of the three taxa were 1.5% between *T. c. dinellii* (D1, D2) and *T. c. paraguayensis* (P1, P2), 1.9% between *T. c. aspersiventer* (A1) and *T. c. paraguayensis* (P1, P2), and 2.2% between *T. c. aspersiventer* (A1) and *T. c. dinellii* (D1, D2).

It was not surprising to find both *T. c. aspersiventer* and *T. c. dinellii* haplotypes in the *T. c. connectens* population samples, because the intermediacy of male plumage characteristics in most *T. c. connectens* samples is consistent with intergradation between the two (Cory and Hellmayr 1924, Short 1975, Ridgely and Tudor 1994). No *T. c. aspersiventer* haplotypes were detected in the *T. c. dinellii* (D1–D4) population samples. Haplotypes from individuals C1-14 (male) and C2-10 (male) were identical to the most common A1 haplotype (Fig. 2).

### Table 2. Summary of mitochondrial variation found in nine population samples from Bolivia. Number of haplotypes, number of segregating sites, Θ (2N), the nucleotide diversity measure π, Tajima's D, and R2 were calculated using DNASP (Rozas and Rozas 1999).

<table>
<thead>
<tr>
<th>Sample</th>
<th>n</th>
<th>Number of haplotypes</th>
<th>Number of segregating sites (S)</th>
<th>Θ (<em>100</em>)</th>
<th>π (<em>100</em>)</th>
<th>D</th>
<th>R2</th>
<th>Frequency of aspersiventer haplotype (%)</th>
<th>Frequency of dinellii haplotype (%)</th>
<th>Frequency of paraguayensis haplotype (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A1 aspersiventer</td>
<td>19</td>
<td>5</td>
<td>4</td>
<td>0.124</td>
<td>0.099</td>
<td>-0.597</td>
<td>0.116</td>
<td>100.0</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>C1 connectens</td>
<td>18</td>
<td>7</td>
<td>23</td>
<td>0.723</td>
<td>0.622</td>
<td>-0.552</td>
<td>0.117</td>
<td>16.7</td>
<td>83.3</td>
<td>-</td>
</tr>
<tr>
<td>C2 connectens</td>
<td>17</td>
<td>5</td>
<td>20</td>
<td>0.672</td>
<td>0.266</td>
<td>-2.398</td>
<td>0.177</td>
<td>5.9</td>
<td>94.1</td>
<td>-</td>
</tr>
<tr>
<td>D1 dinellii</td>
<td>9</td>
<td>4</td>
<td>5</td>
<td>0.199</td>
<td>0.138</td>
<td>-1.294</td>
<td>0.195</td>
<td>-</td>
<td>100.0</td>
<td>-</td>
</tr>
<tr>
<td>D2 dinellii</td>
<td>8</td>
<td>3</td>
<td>2</td>
<td>0.083</td>
<td>0.104</td>
<td>0.931</td>
<td>0.241</td>
<td>94.1</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>D3 dinellii</td>
<td>12</td>
<td>3</td>
<td>11</td>
<td>0.394</td>
<td>0.198</td>
<td>-2.067</td>
<td>0.250</td>
<td>8.3</td>
<td>91.7</td>
<td>-</td>
</tr>
<tr>
<td>D4 dinellii</td>
<td>13</td>
<td>3</td>
<td>10</td>
<td>0.348</td>
<td>0.391</td>
<td>0.487</td>
<td>0.175</td>
<td>77.0</td>
<td>23.0</td>
<td>-</td>
</tr>
<tr>
<td>P1 paraguayensis</td>
<td>22</td>
<td>4</td>
<td>4</td>
<td>0.119</td>
<td>0.039</td>
<td>-1.878</td>
<td>0.122</td>
<td>-</td>
<td>-</td>
<td>100.0</td>
</tr>
<tr>
<td>P2 paraguayensis</td>
<td>8</td>
<td>4</td>
<td>4</td>
<td>0.167</td>
<td>0.108</td>
<td>-1.535</td>
<td>0.177</td>
<td>-</td>
<td>-</td>
<td>100.0</td>
</tr>
</tbody>
</table>

*p < 0.05; significance assessed by assuming that D follows the beta distribution.

*p < 0.05; significance assessed by comparing observed R2 with null distribution. Distribution of test statistic under null hypothesis of constant growth generated by 10,000 coalescent replicates simulated using the observed number of segregating sites and sample sizes for each population sample.
Fig. 3. Neighbor-joining gene tree of *T. caerulescens* mitochondrial haplotypes, rooted with outgroups *T. aethiops* and *T. unicolor* (not depicted). Bootstrap values are presented for nodes with >60% support based on 1,000 neighbor-joining bootstrap replicates (above hash mark). Nodal support is also presented (below hash mark) from 100 bootstrap replicates under the criterion of equally weighted parsimony. Circle colors indicate the three main haplotypes: black, *aspersiventer*; dark gray, *dinellii*; light gray, *paraguayensis*. 
consistent with ongoing or very recent introgression from population A1 into populations C1 and C2. The rest of the haplotypes from the two T. c. connectens population samples were indistinguishable or clearly derived from T. c. dinellii haplotypes that were common (>90% frequency) in population samples D1 through D3.

A Mantel test revealed a significant (P < 0.05) association between geographic distance and FST for population samples along the T. c. aspersiventer–T. c. dinellii transect, and the AMOVA detected significant genetic structure (Table 3). Along the T. c. aspersiventer–T. c. dinellii transect (Fig. 1B), 82% (P < 0.05) of the variation was distributed between population samples north and south of the continental divide. Neither the genetic variation among population samples within groups (0.9%) nor that within population samples (2%) was significant. Based on the ML fitted cline \( \text{lnL} = -0.112; p_{\text{min}} = 0; p_{\text{max}} = 1; B_r = 145.1 \) [5.7–infinity]; \( \theta_1 = 1.0 \) [0.0–1.0]; \( B_z = 60.3 \) [6.9–infinity]; \( \theta_2 = 1.0 \) [0.0–1.0]) from ANALYSE (95% confidence limits in brackets), the width of the mitochondrial cline was 95 km (confidence limits 33–239 km) and the center position of the cline was at 228 km (confidence limits 144–258 km) along the transect (Fig. 1). That would place the most likely center of the cline in the eastern Andean foothills ~40 km northwest of population sample C1 (see star in Fig. 1A, B). Additional sampling in that region would better define the structure of the cline and would help reduce the large confidence intervals on the cline shape parameters.

Maximum-likelihood estimates of the effective migration rate \( (2N_m) \) were largely consistent with a stepping-stone model of migration, with higher migration rates between adjacent populations (Table 3). Gene flow was highest between dinellii population D1 and the two connectens populations \((2N_m = 19.5 \text{ and } 20.9)\). Gene flow rates were relatively low between the remaining population samples.

**Hybrid-zone Structure: Thamnophilus caerulescens dinellii–Thamnophilus caerulescens paraguayensis Transect**

Encountering T. c. paraguayensis haplotypes in two of the four population samples of dinellii (D3 and D4) was unexpected, because males from all four T. c. dinellii population samples appeared to be typical of that subspecies, judging from plumage pattern (R. T. Brumfield unpubl. data). In addition, T. c. dinellii and T. c. paraguayensis are not known to be in contact. The shared haplotypes could reflect the retention of ancestral polymorphisms, introgression, or both processes (Pamilo and Nei 1988), but the restriction of the shared variation to the two southernmost population samples of T. c. dinellii is most consistent with introgression. The greater frequency of paraguayensis haplotypes in more-southern dinellii samples suggests a north–south or northwest–southeast axis of mitochondrial introgression. The paraguayensis haplotypes found in the three D4 individuals (D4-4 female, D4-5 female, D4-11 male) were 0% divergent from most haplotypes in paraguayensis populations (P1, P2), thus supporting recent or ongoing intergradation with paraguayensis. The paraguayensis haplotype of the male (D3-2) from population D3 was 0.11% divergent from the most common haplotype in populations P1 and P2.

A significant (P < 0.05) association between geographic distance and FST for population samples along the T. c. dinellii–T. c. paraguayensis transect was demonstrated by a Mantel test.
An AMOVA detected significant genetic structure along the T. c. dinelli–T. c. paraguayensis transect, with 82% of the variation (P < 0.05) distributed between the two subspecies. The within-population variance component (16%) was also significant.

The ML fitted line (lnL = –0.426; $p_{\text{min}} = 0$; $p_{\text{max}} = 1$; $B_\theta = 1,000.0$ [1,000–infinity]; $\theta_d = 1.0$ [0.0–1.0]; $B_d = 1,000.0$ [1,000–infinity]; $\theta_d = 0.0$ [0–1]) was 329 (125–674) km wide and was centered along the transect at 422 km (328–606 km), 92 km south of population sample D4. As expected with the limited geographic distribution of samples, the confidence limits on those estimates were large. Additional sampling along the contact zone would better define the genetic structure of this broad region of mitochondrial introgression.

**Discussion**

Subspecies of T. caerulescens in Bolivia that are strikingly divergent in male plumage color also exhibit mitochondrial divergence on the order of 1.5–2.2%. None of the subspecies are reproductively isolated from each other. Introgression between T. c. aspersiventer and T. c. dinelli has long been evident, given the intermediacy of male plumage color traits in T. c. connectens (Cory and Hellmayr 1924, Short 1975), but the possible distributional gap between T. c. aspersiventer and T. c. connectens cast some doubt as to whether the morphological intermediacy of T. c. connectens reflected ongoing or past contact. The genetic data establish, minimally, that T. c. connectens is receiving immigrants with T. c. aspersiventer mitochondria. None of the males collected from localities C1 or C2 had T. c. aspersiventer-like plumage and most (83.3–94.1%) had T. c. dinelli haplotypes. A likely interpretation that would explain the structure of these populations is that T. c. connectens represents the T. c. dinelli tail of a hybrid zone that extends across the continental divide to the northwest toward populations of pure T. c. aspersiventer (Bond and Meyer de Schauensee 1942). Future collecting in the region should uncover the genetic center of that transition zone, estimated to be ~40 km northwest of population sample C1 (star in Fig. 1A, B).

The mitochondrial data also provided evidence for extensive introgression between T. c. dinelli and T. c. paraguayensis. That was somewhat unexpected, because although their distributions are in proximity to one another in Bolivia, contact is undocumented (Fig. 1). In the department of Santa Cruz, T. c. dinelli occurs in Andean foothill forest and boreal chaco (875–2,000 m; Navarro 2002), and it appears to be geographically isolated from T. c. paraguayensis in the lowland chaco east of the Andes (Kratter et al. 1993, Schmitt et al. 1997, Schulenberg and Awbrey 1998, Brumfield et al. 2004). Geographic isolation between the two in Bolivia may occur because of a narrow belt of lowland semi-deciduous forest (Velasco Forest) that runs parallel to the easternmost Andean slope along a north–south axis into northern Argentina (Herzog and Kessler 2002). Thamnophilus caerulescens is absent from that belt (R. T. Brumfield pers. obs.), though it apparently occurs in the larger stands of Velasco Forest east of the city of Santa Cruz de la Sierra (Parker et al. 1993).

The level of introgression between T. c. dinelli and T. c. paraguayensis is highest in the southernmost Bolivian population samples. Moving south from the northernmost population samples of T. c. dinelli (Fig. 1C), D1 and D2 showed no evidence of introgression, 8% of the haplotypes in population D3 were paraguayensis haplotypes, and 23% of the haplotypes in population D4 were paraguayensis haplotypes (Table 2). If introgression were occurring primarily along a west–east axis in Bolivia from the Andes to the lowland chaco, as was anticipated during the fieldwork for the present study, more paraguayensis haplotypes should have been detected in population sample D3 (elevation 875 m) than in D4 (elevation 1,850 m). Defining the primary axis of the transition will remain problematic until additional samples are collected and analyzed, but a possible northwest–southeast axis is suggested by the river-limited distributions of T. c. dinelli and T. c. paraguayensis on opposite banks of the Río Pilcomayo along the Argentina–Paraguay border. Contact along the upper reaches of the Río Pilcomayo would be consistent with the decreased introgression moving northward in Bolivia.

**Vocalizations**

In light of the mitochondrial data, the clinal variation in vocalizations detected by Isler et al. (2005) can clearly be attributed to introgression.
The concordance between the transitions in vocal characters and mitochondrial frequencies was remarkable (Ibler et al. 2005) and corroborated the value of vocalizations in assessing species limits in antbirds (Ibler et al. 1998). In some cases, the mitochondrial and vocal analyses were not fully comparable, because the vocal analysis (1) increased its sample size by lumping some population samples (e.g., C1 and C2) from the mitochondrial study and (2) included individuals not included in the genetic analysis. Nevertheless, similarities between the two were readily apparent. From T. c. aspersiventer to T. c. dinellii, 76–93% of the variation in six loud-song-pace vocal characters occurred from T. c. aspersiventer to T. c. connectens. Along the same transect, 83–94% of the transition in mitochondrial frequencies occurred from T. c. aspersiventer to T. c. dinellii.

Biogeography

The Serrania Cochabamba forms the distributional limit of a number of Andean taxa, including the southern limit of T. c. aspersiventer and the northern limit of T. c. dinellii (Remsen and Traylor 1989). Schlenberg et al. (1998) characterized the habitats on either side of the divide as “probably the most dramatic shift in flora and vegetation anywhere along the entire eastern slope of the Andes.” The shift is attributable in part to large differences in mean precipitation. The southeastern tradewinds that carry moisture-laden Amazonian air to the northeast slope of the divide do so to a much lesser extent south of the divide, because of the bend in the Andes from a northwest–southeast axis to a more north–south axis (García 1994). South of the divide, humid Yungas forests are restricted to the few peaks of sufficient elevation (>1,500 m) to be enveloped in clouds year round (Schlenberg and Awbrey 1998). The rest of the habitat south of the divide forms a mosaic of semi-humid Tucumano-Bolivano forest and chaco scrub in the mountains, and dry thorn forest and scrub in the inter-Andean valleys (Killeen et al. 1993, Navarro 2002).

As with most hybrid zones, elucidating the history of the T. c. aspersiventer–T. c. dinellii hybrid zone is difficult. That the hybrid zone between them is centered along the ecological transition from humid montane forest on the north slope to drier habitats on the south slope suggests that this ecotone has influenced the genetic structure of the zone (Killeen et al. 1993, Rivera et al. 1996). Unfortunately, the two most commonly cited mechanisms of hybrid-zone formation, primary differentiation and secondary intergradation, make similar predictions about the resulting hybrid-zone structure. Primary differentiation along ecological gradients has received recent attention in the literature as a mechanism of diversification (Smith et al. 1997, 2001; Schneider et al. 1999; Aleixo 2002a). Secondary contact would predict, however, the same centering of the T. c. aspersiventer–T. c. dinellii hybrid zone on the ecotone across the divide. Many hybrid zones are thought to be maintained by a balance between selection acting to remove introgressed individuals from the zone, and dispersal of non-introgressed, pure parental forms into the zone. If the selective force acting against introgressed individuals is environmental (e.g., an ecological transition zone), then the zone is expected to track the ecotone (May et al. 1975, Moore and Price 1993). If the selective force is endogenous (e.g., genetic incompatibilities between the introgressed genomes), then the zone is expected to move to an equilibrium point at which the dispersal rate of the two taxa into the zone is equivalent. That point is usually at an ecotone (Hewitt 1988).

A very rough estimate of the divergence time between T. c. aspersiventer and T. c. dinellii can be inferred from the 1.6% (Fleischer et al. 1998) to 2% (Shields and Wilson 1987) sequence divergence per-million-years calibrations, which would place their divergence on the order of approximately 1.1 to 1.4 mya. Reconstructing the historical distribution of habitat in the region is complicated by the extensive habitat degradation associated with agriculture and fire, but primarily by the paucity of research on paleovegetation and paleoclimates of the Bolivian Andes (Graham et al. 2001). The elevation of the Andes along the divide has changed little over the past 2 million years, but the distribution of plant species and habitats has undoubtedly cycled with climatic changes (Gregory-Wodzicki 2000, Graham et al. 2001). The humid versus dry ecological requirements of T. c. aspersiventer and T. c. dinellii would make any historical separation of those habitats a reproductive barrier. Current contact between the two was facilitated along the eastern edge.
of the divide where the humid and dry habitats interdigitate (Killeen et al. 1993). Dry montane habitats occur patchily north of the divide (Herzog et al. 1997, Herzog and Kessler 2002), and humid habitats occur patchily south of the divide (Killeen et al. 1993), but the habitat isolating the patches makes current colonization unlikely.

The ecological transition from dry Andean habitats to lowland chaco appears less pronounced than that across the Serrania Cochabamba divide. Nevertheless, that transition, as well as the heterogeneity of dry habitats in southern Bolivia, and possibly also the Rio Pilcomayo, are affecting the structure of the T. c. dinellii–T. c. paraguayensis hybrid zone. Assuming an allopatric model of divergence, the diversification of the two apparently occurred at a time when lowland chaco was isolated from montane dry habitats.

It is worth noting that T. caerulescens, one of the few thamnophilids that occurs in dry habitats (Ridgely and Tudor 1994), has relatively low levels of among-population genetic differentiation. Low levels of among-population genetic differentiation were also uncovered in an analysis of 10 dry-habitat avian species in Brazil (Bates et al. 2003), a pattern that contrasts dramatically with the relatively large levels of among-population genetic differentiation found in bird species of lowland wet forest (Capparella 1988; Brumfield and Capparella 1996; Bates et al. 1999; Bates 2000, 2002; Aleixo 2002b). Only additional sampling will determine whether that pattern is general to bird populations of South America.

**Sampling in Speciation Studies**

With a limited number of population-level genetic studies of Neotropical birds (e.g. Capparella 1988, 1991; Brumfield and Capparella 1996; Bates et al. 1999, 2003; García-Moreno and Fjeldså 1999; García-Moreno et al. 1999, 2001; Bates 2000, 2002; Marks et al. 2002), and even fewer well-characterized Neotropical avian hybrid zones (e.g. Parsons et al. 1993, Brumfield et al. 2001), the first glimpses into the genetic structure of lowland Neotropical bird populations are being revealed. These data will obviously play a critical role in guiding taxonomic decisions, but it is crucial that the levels of genetic divergence and the patterns of genetic variation be considered in light of the (1) number and (2) geographic spacing of samples in the analysis. The genetic analysis of T. caerulescens illustrates that both aspects of sampling can greatly influence the geographic pattern of genetic variation and subsequent taxonomic interpretation of that pattern. That point is explored with the mitochondrial data from T. caerulescens.

Basic characterization of the levels and patterns of genetic variation in Bolivian subspecies of T. caerulescens would require, minimally, samples of the three subspecies (the fourth, T. c. connectens, was already presumed to be an intergrade), preferably from the type localities of each. For samples in the present study, the sampling design closest to meeting that criterion would include populations A1 (aspersiventer; n = 19), D4 (dinellii; n = 13), and P2 (n = 8), because those fall closest to the type localities. In the full analysis that included all samples from all populations, none of the three major mitochondrial clades of T. caerulescens was reciprocally monophyletic (Fig. 2). A limited analysis of samples from A1, D4, and P2 would have produced a tree in which A1 was monophyletic, but in which D4 and P2 were not. That would support treating T. c. aspersiventer as specifically distinct under some species concepts, despite the fact that it and T. c. dinellii appear to exchange genes extensively along their contact zone.

Only three of the 13 T. c. dinellii (D4) individuals in the limited analysis had the T. c. paraguayensis haplotype (Table 2). Had those individuals not been sampled, the mitochondrial data in the limited analysis would support reciprocal monophyly of all three subspecies. Assuming that the true frequency of T. c. paraguayensis haplotypes in population D4 is 23%, detecting a single T. c. paraguayensis haplotype with 90% confidence would require a sample size of nine individuals. Increasing the confidence to 95% would require a sample of 12 individuals. Although many of the coalescent methods for estimating population genetic parameters require many fewer population samples than were needed for earlier noncoalescent methods based on estimates of population allele frequencies, the detection of rare haplotypes and alleles still requires relatively large sample sizes. That is especially true for population studies seeking to address species limits.
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Appendix


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