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## A chain theorem for 4-connected graphs

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## A chain theorem for 4-connected graphs

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## ABSTRACT

A sequence of 4-connected graphs  $G_0, G_1, \dots, G_n$  is called a  $(G_0, G_n)$ -chain if each  $G_i$  ( $i < n$ ) has an edge  $e_i$  such that  $G_i/e_i = G_{i+1}$ . A classical result of Martinov states that for every 4-connected graph  $G$  there exists a  $(G, H)$ -chain such that  $H \in \mathcal{C} \cup \mathcal{L}$ , where  $\mathcal{C} = \{C_n^2 : n \geq 5\}$  and  $\mathcal{L} = \{L : L \text{ be the line graph of a cyclically 4-edge-connected cubic graph}\}$ . This result is strengthened in this paper as follows. Suppose  $G$  is 4-connected and  $G \notin \mathcal{C} \cup \mathcal{L}$ . Then there exists a  $(G, C_6^2)$ -chain if  $G$  is planar and a  $(G, C_5^2)$ -chain if  $G$  is nonplanar.

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## 1. Introduction

The purpose of this paper is to improve a well-known classical chain theorem of Martinov [10] for 4-connected graphs. We begin by formally stating this result.

All graphs considered in this paper are simple. In particular,  $G/e$  denotes the graph obtained from  $G$  by contracting an edge  $e$  and then deleting parallel edges. For each integer  $n \geq 5$ , let  $C_n^2$  be the graph obtained from an  $n$ -cycle  $C_n$  by joining vertices of distance two in the cycle. Notice that  $C_5^2$  is  $K_5$  and  $C_6^2$  is the octahedron. In general,  $C_n^2$  is 4-connected, and it is planar if and only if  $n$  is even. Let  $\mathcal{C} = \{C_n^2 : n \geq 5\}$ .

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A graph  $G$  with at least six vertices is called *cyclically  $k$ -edge-connected* if the deletion of fewer than  $k$  edges from  $G$  does not create two components which both contain at least one cycle. We remark that all cyclically 4-edge-connected cubic graphs can be constructed from either  $K_{3,3}$  or the cube by repeatedly applying an operation known as “adding a handle” [3–6,12]. Let  $\mathcal{L} = \{L : L \text{ be the line graph of an cyclically 4-edge-connected cubic graph}\}$ . The following is the chain theorem of Martinov [10] (a similar result using both edge contractions and deletions was given by Fontet [3]).

**Theorem 1.1.** *For every 4-connected graph  $G$  there exists a sequence of 4-connected graphs  $G_0, G_1, \dots, G_n$  such that  $G_0 = G$ ,  $G_n \in \mathcal{C} \cup \mathcal{L}$ , and every  $G_i$  ( $i < n$ ) has an edge  $e_i$  for which  $G_i/e_i = G_{i+1}$ .*

This result provides a very useful tool for analyzing 4-connected graphs. Under the current setting, it says that every 4-connected graph  $G$  can be reduced, within the class of 4-connected graphs, to a graph  $G_n \in \mathcal{C} \cup \mathcal{L}$  by repeatedly contracting edges. If we reverse this process then the theorem tells us that every desired (usually unknown) 4-connected graph  $G$  can be constructed from a graph  $G_n \in \mathcal{C} \cup \mathcal{L}$  by repeated “uncontractions”. This approach is used successfully in characterizing 4-connected graphs that do not contain a minor isomorphic to the cube [8], to the octahedron [7], to the octahedron plus an edge [9], or to the complement of  $P_7$  [2]. However, this theorem has two major defects which limit its further applications.

First, for a general 4-connected graph  $G$ , the starting graph  $G_n$  in the construction sequence could be any graph in  $\mathcal{C} \cup \mathcal{L}$ . What this means is that, in order to obtain  $G$  we have to consider infinitely many possible choices for  $G_n$ , and this increases the complexity of our analysis. It would be nice if we can narrow down the choices for  $G_n$ . The second defect of the theorem, which causes an even bigger problem, is that  $G_n$  could be planar even if  $G$  is nonplanar. As a consequence, in order to construct  $G$ , we have to examine many planar graphs, which often are useless for constructing  $G$ . The following is the main result of this paper, which addresses both concerns. Let us call a sequence as described in Theorem 1.1 a  $(G, G_n)$ -chain.

**Theorem 1.2.** *Let  $G$  be a 4-connected graph not in  $\mathcal{C} \cup \mathcal{L}$ . If  $G$  is planar then there exists a  $(G, C_6^2)$ -chain; if  $G$  is nonplanar then there exists a  $(G, K_5)$ -chain.*

As an application, we prove the following main result of [9]. Let  $Oct^+$  denote the unique graph obtained from the octahedron by adding an edge.

**Theorem 1.3.** *If a 4-connected nonplanar graph  $G$  has no  $Oct^+$ -minor then  $G = C_{2n+1}^2$  for some  $n \geq 2$ .*

**Proof.** Suppose the result is false. By Theorem 1.2, either there exists a  $(G, K_5)$ -chain or  $G = L(H)$  for a nonplanar cubic graph  $H$ . The second case is impossible since  $L(H)$  contains  $L(K_{3,3})$ , which contains  $Oct^+$ . The first case is impossible either because  $G$  has

to contain one of the three 4-connected uncontractions of  $K_5$ , which are  $K_6$ ,  $K_6 \setminus e$ ,  $Oct^+$ , yet all of them contain  $Oct^+$ . ■

We close this section by introducing a few definitions. For any graph  $G$ , let  $V(G)$  and  $E(G)$  denote the vertex set and edge set of  $G$ , respectively. If  $X \subseteq V(G)$ , let  $N_G(X) = \{y \in V(G) - X : xy \in E(G) \text{ for some } x \in X\}$ . Members of  $N_G(X)$  are *neighbors* of  $X$  and the set  $N_G(X)$  is the *neighborhood* of  $X$ . For any  $x \in V(G)$ , we will write  $N_G(x)$  for  $N_G(\{x\})$ . As usual,  $|N_G(x)|$  is the *degree* of  $x$ , which is denoted by  $d_G(x)$ . Let  $E_G(x)$  stand for the set of edges of  $G$  that are incident with  $x$ . We will drop the subscript  $G$  if there is no need to emphasize  $G$ .

Let  $G = (V, E)$  be a graph. A set  $T \subseteq V$  is called a  $k$ -separator of  $G$  if  $|T| = k$  and  $G - T$  is disconnected. As usual,  $G$  is  $k$ -connected if  $|V| > k$  and  $G$  has no  $k'$ -separator with  $k' < k$ . Let  $G$  be a  $k$ -connected graph. An edge  $e$  of  $G$  is said to be  $k$ -contractible if  $G/e$  is again  $k$ -connected. We may simply call  $e$  *contractible* if  $k$  is clear from the context. The new vertex of  $G/e$  will be denoted by  $\bar{e}$ . Observe that an edge  $xy$  of a non-complete graph  $G$  is not  $k$ -contractible if and only if  $G$  has a  $k$ -separator containing both  $x$  and  $y$ .

Let  $T$  be a  $k$ -separator of a  $k$ -connected graph  $G$ . A  $T$ -fragment of  $G$  is the vertex set of a union of at least one but not all components of  $G - T$ . We often leave out the prefix  $T$  when we do not need to emphasize it. If  $A$  is a fragment of  $G$  then it is clear that  $N(A)$  is a  $k$ -separator. Let us define  $\bar{A} = V(G) - A - N(A)$ . Then  $\bar{A}$  is also a fragment of  $G$  with  $N(A) = N(\bar{A})$ . Notice that, for any  $x \in A$ ,  $x$  has no neighbors in  $\bar{A}$ .

The organization of this paper is as follows. In Section 2, we establish a few lemmas on contractible edges. Then, in Section 3, we prove our key lemma. Finally, we prove Theorem 1.2 in Section 4.

## 2. Contractible edges

In this section we present a few lemmas on contractible edges. We first establish that every 4-connected graph not in  $\mathcal{C} \cup \mathcal{L}$  can be reduced to  $K_5$  or the octahedron. Our proof is divided into two steps.

**Lemma 2.1.** *Suppose a  $k$ -connected ( $k \geq 2$ ) graph  $G$  has a contractible edge  $e = xy$  such that  $d_{G/e}(\bar{e}) = k$  and the neighborhood of  $\bar{e}$  does not contain  $K_{2,k-2}$  as a subgraph. Then  $G$  has an edge  $e'$  such that  $G/e'$  is isomorphic to a graph obtained from  $G/e$  by adding at least one extra edge.*

**Proof.** Let  $N_{G/e}(\bar{e}) = \{z_1, z_2, \dots, z_k\} = Z$ . Then  $N_G(x) \subseteq Z \cup \{y\}$  and  $N_G(y) \subseteq Z \cup \{x\}$ . Since  $d_G(x) \geq k$  and  $d_G(y) \geq k$ , we may assume, by adjusting the indices if necessary, that  $N_G(x) \supseteq Z - \{z_1\}$  and  $N_G(y) \supseteq Z - \{z_2\}$ . By considering  $G/e$  and  $G/xz_2$  as graphs obtained by adding edges to  $G - x$ , we observe that  $G/xz_2$  is isomorphic to the graph obtained from  $G/e$  by adding edges  $z_2z_3, z_2z_4, \dots, z_2z_k$  (and also possibly  $z_2z_1$ ). If  $e' = xz_2$  does not satisfy the lemma, then  $z_2z_3, z_2z_4, \dots, z_2z_k$  are all edges of  $G/e$ . This

implies that  $z_1z_3, z_1z_4, \dots, z_1z_k$  are not all edges of  $G/e$  and thus  $e' = yz_1$  satisfies the lemma. ■

**Corollary 2.2.** *Suppose  $e$  is an edge of a 4-connected graph  $G$  such that  $G/e \in \mathcal{C} \cup \mathcal{L}$ . Then, unless  $G/e = C_5^2$  or  $C_6^2$ ,  $G$  has an edge  $e'$  such that  $G/e'$  is isomorphic to a graph obtained from  $G/e$  by adding at least one extra edge.*

**Proof.** If  $G/e = L(H)$ , where  $H$  is an cyclically 4-edge-connected cubic graph, then the neighborhood of  $\bar{e}$  induces a matching since  $H$  is triangle free. Thus the result holds by Lemma 2.1. If  $G/e = C_n^2$  for some  $n \geq 7$ , then the neighborhood of  $\bar{e}$  induces a path and, again, the result holds by Lemma 2.1. ■

We also need the next three lemmas.

**Lemma 2.3** ([1]). *If  $x$  is a vertex of a 4-connected graph  $G$  with  $d(x) \geq 5$ , then  $G$  has a contractible edge contained in  $E(y)$  for some  $y \in N(x)$ .*

**Lemma 2.4.** *Let  $xy$  and  $xz$  be two edges of a  $k$ -connected graph  $G$  with  $N(x) \subseteq N(y) \cup \{y, z\}$ . If  $xy$  is  $k$ -contractible then so is  $xz$ .*

**Proof.** Suppose  $xz$  is non-contractible. Then  $G$  has a  $k$ -separator  $T$  containing both  $x$  and  $z$ . Notice that  $y \notin T$  since  $xy$  is contractible. Let  $A$  be a  $T$ -fragment that contains  $y$ . Now, since  $N(x) \subseteq N(y) \cup \{y, z\}$ , we find that  $x$  has no neighbor in  $\bar{A}$ , contradicting the  $k$ -connectivity of  $G$ . ■

**Lemma 2.5.** *Let  $e = xy$  be a  $k$ -contractible edge of a  $k$ -connected graph  $G$ . Let  $e'$  be an edge of  $G/e$  (and hence also an edge of  $G$ ). If  $e'$  is  $k$ -contractible in  $G/e$  but not in  $G$ , then some  $z \in \{x, y\}$  has degree  $k$  and is such that  $N_G(z)$  contains both ends of  $e'$ .*

**Proof.** Let  $x', y'$  be the two ends of  $e'$  in  $G$ . Since  $e'$  is not contractible in  $G$ ,  $G$  has a  $k$ -separator  $T$  that contains both  $x'$  and  $y'$ . Clearly,  $\{x, y\} - T \neq \emptyset$  since  $e$  is contractible. Let  $A$  be a  $T$ -fragment with  $A \cap \{x, y\} \neq \emptyset$ . By symmetry, we may assume  $x \in A$ . If  $y \in A$  then  $T$  is a  $k$ -separator of  $G/e$  with  $T \supseteq \{x', y'\}$ , contradicting the contractibility of  $e'$ . So we must have  $y \in T$ . If  $|A| \geq 2$  then  $T' = (T - \{y\}) \cup \{\bar{e}\}$  is a  $k$ -separator of  $G/e$  and  $T'$  contains both ends of  $e'$  in  $G/e$ . This is again a contradiction. It follows that  $A = \{x\}$  and thus the Lemma holds with  $z = x$ . ■

### 3. A key lemma

Let  $x, y, z$  be three distinct vertices of a cycle  $C$ . Then  $C$  has two subpaths with ends  $x$  and  $y$ . We denote the vertex set of the path that contains  $z$  by  $C[x, z, y]$ , and we denote the vertex set of the other path by  $C[x, \bar{z}, y]$ . We also define  $C(x, z, y) = C[x, z, y] - \{x, y\}$ ,  $C(x, z, y) = C[x, z, y] - \{x\}$ , and  $C(x, z, y) = C[x, z, y] - \{y\}$ . In addition,  $C(x, \bar{z}, y)$ ,

$C(x, \bar{z}, y)$ , and  $C[x, \bar{z}, y)$  are defined analogously. The purpose of this section is to prove the following.

**Lemma 3.1.** *If a 4-connected nonplanar graph  $G$  does not belong to  $\mathcal{C} \cup \mathcal{L}$ , then  $G$  has an edge  $e$  such that  $G/e$  remains 4-connected and nonplanar.*

**Proof.** It is hard to separate our proof into independent lemmas, so this proof will last till the end of this section. To make the proof easier to follow, we divide it into a sequence of claims.

By Theorem 1.1,  $G$  has at least one contractible edge. We assume that, for every contractible edge  $e$  of  $G$ ,  $G/e$  is planar because otherwise we are done. Let  $e = xy$  be a contractible edge of  $G$ . It follows that  $G/e$  is planar. This implies  $|V(G)| \geq 7$  because otherwise  $G/e$  would be a 4-connected planar graph on at most five vertices, which is impossible.

Let us consider the unique planar embedding of  $G/e$ . This embedding induces an embedding of  $(G/e) - \bar{e}$ . Notice that this embedding of  $(G/e) - \bar{e}$  has a face  $F$  such that, in the planar embedding of  $G/e$ , all edges of  $E_{G/e}(\bar{e})$  are embedded in  $F$ . Since  $G/e$  is 4-connected,  $(G/e) - \bar{e}$  is 3-connected, which implies that  $F$  is bounded by a cycle  $C$  of  $(G/e) - \bar{e}$  and this cycle contains all neighbors of  $\bar{e}$ . Let  $B = G - (V(C) \cup \{x, y\})$ . It is easy to see that, as a facial cycle of the 3-connected planar graph  $G - x - y = (G/e) - \bar{e}$ ,  $C$  satisfies the following, which, using the language of Tutte [11], says that  $C$  is peripheral in  $G - x - y$ .

**Claim 1.**  *$C$  is an induced cycle of  $G$ ,  $B$  is connected, and  $N(V(B)) = V(C)$ .*

Let  $x_1, x_2, \dots, x_s$  be the neighbors of  $x$  (other than  $y$ ), which are listed in the order they appear on  $C$ . Let  $N(y) = \{x, y_1, y_2, \dots, y_t\}$ . For the purpose of simplifying our notation, we do not require  $y_1, y_2, \dots, y_t$  to be listed in a specific order. This setting creates a non-symmetry between  $x$  and  $y$ . As a result, in the following discussions, some of our statements are only made for one of  $x, y$ . We point out that these statements are still valid if we swap  $x$  and  $y$ , since  $x$  and  $y$  are indeed symmetric.

A quadruple  $(x_i, y_j, x_k, y_l)$  is said to be *crossing* if the four vertices are distinct and  $y_j, y_l$  are contained in different components of  $C - \{x_i, x_k\}$ .

**Claim 2.** *There exists a crossing quadruple.*

**Proof.** Suppose  $\{x_1, x_2, \dots, x_s\} = \{y_1, y_2, \dots, y_t\}$ . Since  $G/e$  is 4-connected, we must have  $s \geq 4$  and thus Claim 2 follows. Next, we assume by symmetry that  $y_1 \notin \{x_1, x_2, \dots, x_s\}$ . Choose  $i$  such that  $C(x_i, y_1, x_{i+1})$  contains no neighbors of  $x$  (in this section the indices on the letter  $x$  are always taken modulo  $s$ ). Since  $G$  is nonplanar,  $C(x_i, \bar{y}_1, x_{i+1})$  must contain a neighbor of  $y$  and thus Claim 2 is proved.  $\square$

When we say “ $y_j$  is contained in a crossing quadruple” we mean that there exists a crossing quadruple of the form  $(x_i, y_j, x_k, y_l)$ . We need to make this clear since in general  $y_j$  could be equal to some  $x_i$ .

**Claim 3.** *Every  $y_j$  is contained in a crossing quadruple, unless  $y_j = x_r$  for some  $r$  and  $N(y) - \{x\} \subseteq C[x_{r-1}, x_r, x_{r+1}]$ . Moreover, there is at most one  $y_j$  that is not contained in any crossing quadruple.*

**Proof.** By Claim 2,  $G$  has crossing quadruple  $(x_m, y_a, x_n, y_b)$ . If  $y_j \notin \{x_m, x_n\}$  then either  $(x_m, y_a, x_n, y_j)$  or  $(x_m, y_j, x_n, y_b)$  is crossing. So we may assume  $y_j = x_m$ . If  $C(x_{m-1}, \bar{x}_m, x_{m+1})$  contains a neighbor  $y_l$  of  $y$ , then  $(x_{m-1}, y_j, x_{m+1}, y_l)$  is a crossing quadruple. Else  $r = m$  satisfies the lemma. Finally, if in addition to  $y_j$ , vertex  $y_{j'}$  ( $j' \neq j$ ) is not contained in any crossing quadruple either, then the first part of the lemma implies either  $y_{j'} = x_{r-1}$  and  $N(y) - \{x\} \subseteq C[x_{r-2}, x_{r-1}, x_r]$  or  $y_{j'} = x_{r+1}$  and  $N(y) - \{x\} \subseteq C[x_r, x_{r+1}, x_{r+2}]$ , which in turns implies either  $N(y) - \{x\} \subseteq C[x_{r-1}, \bar{x}_{r+1}, x_r]$  or  $N(y) - \{x\} \subseteq C[x_r, \bar{x}_{r-1}, x_{r+1}]$ , contradicting the non-planarity of  $G$ .  $\square$

**Claim 4.** *If  $(x_i, y_j, x_k, y_l)$  is a crossing quadruple, then  $G/yy_j$  is nonplanar.*

**Proof.** It is clear that  $G/yy_j - V(B)$  has a  $K_4$  minor whose four branch sets each contain exactly one member of  $\{x_i, \overline{yy_j}, x_k, y_l\}$ . By Claim 1,  $B$  is connected and each vertex of  $C$  has a neighbor in  $B$ . Thus  $G/yy_j$  has a  $K_5$  minor, which proves that  $G/yy_j$  is nonplanar.  $\square$

Since we have assumed that  $G/e$  is planar if  $e$  is contractible, we deduce the following from Claim 4.

**Claim 5.** *If  $(x_i, y_j, x_k, y_l)$  is a crossing quadruple, then  $yy_j$  is not contractible.*

**Claim 6.** *Suppose  $T$  is a 4-separator of  $G$  that contains both  $y$  and some  $y_j$ . Then either  $T = N(x)$  or  $T = \{y, y_j, z, z'\}$  for some  $z \in V(C) - \{y_j\}$  and  $z' \in V(B)$ .*

**Proof.** It is clear that  $x \notin T$  since  $xy$  is contractible in  $G$ . Let  $A$  be a  $T$ -fragment of  $G$  with  $x \in A$ . If  $N(x) = T$  then we are done. So let  $x$  have a neighbor  $x^* \in A$ . Clearly,  $x^* \in V(C)$  as  $x^* \neq y$ . Since  $y \in T$ ,  $y$  must have a neighbor  $y^* \in \bar{A}$ . Observe that  $y^* \in V(C)$  as  $y^* \neq x$ . Therefore, as  $T$  separates  $x^*$  from  $y^*$ ,  $T$  must contain a vertex  $z \in C(x^*, \bar{y}_j, y^*) \subseteq V(C) - \{y_j\}$ . On the other hand, since  $x^*, y^* \in V(C)$ , we deduce from Claim 1 that  $G$  has a path  $P$  between  $x^*, y^*$  such that  $V(P) - \{x^*, y^*\} \subseteq V(B)$ . Again, since  $T$  separates  $x^*$  from  $y^*$ ,  $T$  must contain a vertex  $z' \in V(P) - \{x^*, y^*\} \subseteq V(B)$ .  $\square$



**Claim 7.** Suppose  $T$  is a 4-separator of  $G$  such that  $T = \{y, y_j, z, z'\}$  for some  $z \in V(C) - \{y_j\}$  and  $z' \in V(B)$ . Then for any distinct  $x_i, x_k$  different from  $y_j$ ,  $C(x_i, y_j, x_k)$  contains at least two neighbors of  $y$ .

**Proof.** Let  $A$  be a  $T$ -fragment of  $G$  with  $x \in A$ . Let  $P_1, P_2$  be the two subpaths of  $C$  with ends  $y_j$  and  $z$ . Since  $T \cap V(C) = \{y_j, z\}$ , for  $i = 1, 2$ ,  $V_i = V(P_i) - \{y_j, z\}$  is entirely contained in  $A$  or  $\bar{A}$ . Notice that  $x$  has a neighbor in  $A$  since  $d(x) \geq 4$  and  $xz' \notin E(G)$ . It follows that  $V(C) \cap A \neq \emptyset$ . On the other hand,  $V(C) \cap \bar{A} \neq \emptyset$  since  $y$  has a neighbor  $y_l$  in  $\bar{A}$ . Hence, we may assume  $V_1 \subseteq A$  and  $V_2 \subseteq \bar{A}$ . Observe that  $N(x) - \{y\} \subseteq V(P_1)$ , it follows that  $C(x_i, y_j, x_k)$  contains  $y_j$  and  $y_l$  for any distinct  $x_i, x_k$  different from  $y_j$ . Hence Claim 7 holds.  $\square$

**Claim 8.**  $|N(\{x, y\})| \geq 5$ .

**Proof.** Suppose  $|N(\{x, y\})| \leq 4$ . Then  $|N(\{x, y\})| = 4$  since  $G$  is 4-connected with  $|V(G)| \geq 7$ . Choose  $z \in N(\{x, y\})$  such that, if possible,  $z$  is adjacent to only one of  $x, y$ . Without loss of generality, we assume  $z$  is adjacent to  $y$  and thus  $z = y_j$  for some  $j$ . Note that  $|N(y) - N(x) - \{x\}| \leq 1$  since  $|N(\{x, y\})| = 4 \leq d(x)$ . Then our choice of  $z$  implies  $N(y) \subseteq N(x) \cup \{x, z\}$  and thus, by Lemma 2.4,  $yy_j$  is contractible. Consequently, by Claim 5,  $y_j$  is not contained in any crossing quadruple. By Claim 3, we must have  $y_j \in N(x)$ . Now the way we choose  $z$  implies  $N(x) - \{y\} = N(y) - \{x\}$  and in this case  $y_j$  is clearly contained in a crossing quadruple. This contradiction proves Claim 8.  $\square$

**Claim 9.** Both  $d(x) \geq 5$  and  $d(y) \geq 5$  hold.

**Proof.** By Claim 2,  $G$  has a crossing quadruple  $(x_i, y_j, x_k, y_l)$ . Suppose Claim 9 is false. Then we may assume by the symmetry between  $x$  and  $y$  that either  $d(x) > d(y) = 4$  holds or  $d(x) = d(y) = 4$  with  $|\{y_j, y_l\} - N(x)| \geq |\{x_i, x_k\} - N(y)|$  holds. Since  $d(y) = 4$  and thus  $|N(y) \cap V(C)| = 3$ , we may further assume that  $y_j$  is the only neighbor of  $y$  contained in  $C(x_i, y_j, x_k)$ .

By Claim 5,  $G$  has a 4-separator  $T$  containing both  $y$  and  $y_j$ . Note that  $T \neq N(x)$  because otherwise  $y_j \in N(x)$ , implying  $1 \geq |\{y_j, y_l\} - N(x)| \geq |\{x_i, x_k\} - N(y)|$ , and thus  $\{x_i, x_k\} \cap N(y) \neq \emptyset$ . From these observation and  $d(x) = |T| = 4 = d(y)$  we deduce that  $N(\{x, y\}) = \{x_i, y_j, x_k, y_l\}$ , which contradicts Claim 8. Therefore, by Claim 6 and Claim 7,  $y$  has at least two neighbors in  $C(x_i, y_j, x_k)$ , contradicting the choice of  $y_j$ , which proves Claim 9.  $\square$

**Claim 10.** Every  $y_j$  is contained in a crossing quadruple.

**Proof.** Suppose there exists  $y_j$  that is not contained in any crossing quadruple. By Claim 3, there exists  $r$  such that  $y_j = x_r$  and  $N(y) - \{x\} \subseteq C[x_{r-1}, x_r, x_{r+1}]$ . Note that  $x_{r+2} \notin C[x_{r-1}, x_r, x_{r+1}]$  since  $d(x) \geq 5$ , as shown in Claim 9. Choose  $y_m, y_n$  such that  $N(y) - \{x\} \subseteq C[y_m, \bar{x}_{r+2}, y_n]$ . Since  $G$  is nonplanar, each of  $C[x_{r-1}, \bar{x}_{r+2}, x_r)$

and  $C(x_r, \bar{x}_{r+2}, x_{r+1})$  contains one of  $y_m$  and  $y_n$ . As a result,  $(y_m, x_r, y_n, x_{r+2})$  is a crossing quadruple. By Claim 5,  $xx_r$  is not contractible. It follows that there is a 4-separator  $T$  containing both  $x$  and  $x_r$ . Since  $d(y) \geq 5$  (by Claim 9), Claim 6 implies that  $T = \{x, x_r, z, z'\}$  for some  $z \in V(C) - \{x_r\}$  and  $z' \in V(B)$ . Notice that  $x_r$  is the only neighbor of  $x$  in  $C(y_m, x_r, y_n)$ . This contradicts Claim 7 and thus Claim 10 is proved.  $\square$

**Claim 11.** *No edge of  $C$  is contractible.*

**Proof.** Suppose to the contrary that  $f \in E(C)$  is a contractible edge of  $G$ . By Claim 2,  $G$  has a crossing quadruple  $(x_i, y_j, x_k, y_l)$ . Let  $H$  be the subgraph of  $G$  formed by edges in  $E(C) \cup \{xy, xx_i, xx_k, yy_j, yy_l\}$ . Then  $H$  is a subdivision of  $K_{3,3}$ . Since we assumed contracting any contractible edge leaves a planar graph,  $G/f$  is planar. It follows that  $H/f$  is no longer a subdivision of  $K_{3,3}$ . By symmetry, we assume  $f = x_iy_j$ .

If  $C(x_i, \bar{y}_j, y_l)$  contains a neighbor  $x_m$  of  $x$ , then  $(H + xx_m)/f$  would still contain a subdivision of  $K_{3,3}$ , which is impossible. Hence  $N(x) - \{y\} \subseteq C[x_i, y_j, y_l]$ . This implies that  $C(y_j, x_i, y_l)$  contains exactly one neighbor of  $x$ . However, by Claim 5,  $G$  has a 4-separator  $T$  that contains  $\{x, x_i\}$  since  $(y_l, x_i, y_j, x_k)$  is crossing. By Claim 6 and Claim 9,  $T = \{x, x_i, z, z'\}$  for some  $z \in V(C) - \{x_i\}$  and  $z' \in V(B)$ . Now, by Claim 7,  $C(y_j, x_i, y_l)$  contains at least two neighbors of  $x$ . This contradiction proves Claim 11.  $\square$

Now we are ready to complete the proof of Lemma 3.1. We apply Lemma 2.3 to  $G' = G/xy$ . By Claim 8,  $d_{G'}(\bar{xy}) \geq 5$ . Thus  $E_{G'}(v)$  contains a contractible edge  $e'$  of  $G'$  for some  $v \in N_{G'}(\bar{xy})$ . By Lemma 2.5 and Claim 9,  $e'$  is contractible in  $G$ . However, by Claim 10 and Claim 5, no edge of  $E(\{x, y\})$  is contractible in  $G$ ; by Claim 11, no edge of  $C$  is contractible in  $G$ ; and by Claim 1, cycle  $C$  has no chords. Hence,  $e'$  is between  $C$  and  $B$ . What this means is, for any crossing quadruple  $(x_i, y_j, x_k, y_l)$ , the graph formed by  $E(C) \cup \{xy, xx_i, xx_k, yy_j, yy_l\}$  remains a subdivision of  $K_{3,3}$  when  $e'$  is contracted from  $G$ . Thus  $G/e'$  is 4-connected and nonplanar. The lemma is proved.  $\blacksquare$

#### 4. A proof of the main theorem

In this section we prove Theorem 1.2. Recall that a  $(G, H)$ -chain is a sequence  $G_0, G_1, \dots, G_n$  of 4-connected graphs such that  $G_0 = G$ ,  $G_n = H$ , and every  $G_i$  ( $i < n$ ) has an edge  $e_i$  such that  $G_i/e_i = G_{i+1}$ .

**Proof of Theorem 1.2.** Let  $G$  be a 4-connected graph not in  $\mathcal{C} \cup \mathcal{L}$ . By Theorem 1.1, there exists a  $(G, G_n)$ -chain  $G_0, G_1, \dots, G_n$  such that  $G_n \in \mathcal{C} \cup \mathcal{L}$ . We choose such a chain as follows:

- (1) if  $G$  is planar, we choose the chain with as many terms as possible;
- (2) if  $G$  is not planar, we choose the chain with as many nonplanar terms as possible.

If  $G$  is planar, we need to show that  $G_n = C_6^2$ . Suppose otherwise. By applying Corollary 2.2 to  $G_{n-1}$  and  $e_{n-1}$  we obtain an edge  $e'_{n-1}$  of  $G_{n-1}$  such that  $G'_n = G_{n-1}/e'_{n-1}$  is 4-connected but  $G'_n$  does not belong to  $\mathcal{C} \cup \mathcal{L}$ , as it cannot be 4-regular. Now, by Theorem 1.1 again, there exists a  $(G'_n, G'_m)$ -chain  $G'_n, G'_{n+1}, \dots, G'_m$  with  $G'_m \in \mathcal{C} \cup \mathcal{L}$ . It follows that  $G_0, G_1, \dots, G_{n-1}, G'_n, G'_{n+1}, \dots, G'_m$  is a chain contradicting the choice of (1), which proves the first part of the theorem.

If  $G$  is nonplanar, let  $G_0, G_1, \dots, G_k$  be all the nonplanar terms. We need to show that  $k = n$  and  $G_n = K_5$ . If  $k < n$  then  $G_k \notin \mathcal{C} \cup \mathcal{L}$  since no graph in  $\mathcal{C} \cup \mathcal{L}$  has a contractible edge while  $G_k$  has a contractible edge  $e_k$ . By Lemma 3.1,  $G_k$  has a contractible edge  $e'_k$  such that  $G'_{k+1} = G_k/e'_k$  is nonplanar. Like in the planar case, we can extend  $G_0, G_1, \dots, G_k, G'_{k+1}$  to a chain that contradicts the choice of (2), which proves that  $k = n$ . If  $G_n \neq K_5$ , by applying Corollary 2.2 to  $G_{n-1}$  and  $e_{n-1}$  we obtain a contractible edge  $e'_{n-1}$  of  $G_{n-1}$  such that  $G'_n = G_{n-1}/e'_{n-1}$  is nonplanar and not in  $\mathcal{C} \cup \mathcal{L}$ . Consequently, by Lemma 3.1,  $G'_n$  has an edge  $e'_n$  such that  $G'_{n+1} = G'_n/e'_n$  is 4-connected and nonplanar. Now, once again,  $G_0, G_1, \dots, G_{n-1}, G'_n, G'_{n+1}$  can be extended into a chain. This contradicts the choice of (2), which completes our proof of the theorem. ■

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