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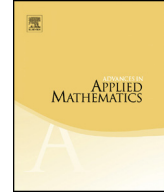


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Characterizing binary matroids with no P_9 -minor

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ABSTRACT

In this paper, we give a complete characterization of binary matroids with no P_9 -minor. A 3-connected binary matroid M has no P_9 -minor if and only if M is a 3-connected regular matroid, a binary spike with rank at least four, one of the internally 4-connected non-regular minors of a special 16-element matroid Y_{16} , or a matroid obtained by 3-summing copies of the Fano matroid to a 3-connected cographic matroid $M^*(K_{3,n})$, $M^*(K'_{3,n})$, $M^*(K''_{3,n})$, or $M^*(K'''_{3,n})$ ($n \geq 2$). Here the simple graphs $K'_{3,n}$, $K''_{3,n}$, and $K'''_{3,n}$ are obtained from $K_{3,n}$ by adding one, two, or three edges in the color class of size three, respectively.

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1. Introduction

It is well known that the class of binary matroids consists of all matroids without any $U_{2,4}$ -minor, and the class of regular matroids consists of matroids without any $U_{2,4}$, F_7 , or F_7^* -minor. Kuratowski's Theorem states that a graph is planar if and only if it has no minor that is isomorphic to $K_{3,3}$ or K_5 . These examples show that characterizing a class of graphs or matroids without certain minors is often of fundamental importance. We say that a matroid is N -free if it does not contain a minor that is isomorphic to N .

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A 3-connected matroid M is said to be internally 4-connected if for any 3-separation of M , one side of the separation is either a triangle or a triad.

There is much interest in characterizing binary matroids without small 3-connected minors. For any 3-connected matroid N , since non-3-connected N -free matroids are precisely those that are constructed from 3-connected N -free matroids using 1- and 2-sum operations, in order to determine all N -free matroids, one only needs to determine all 3-connected N -free matroids. There is only one 3-connected binary matroid with six elements, namely, W_3 , where W_n denotes both the wheel graph with n -spokes and the cycle matroid of W_n . There are exactly two 7-element 3-connected binary matroids, F_7 and F_7^* . There are three 8-element 3-connected binary matroids, W_4 , S_8 , and $AG(3, 2)$, and there are eight 9-element 3-connected binary matroids: $M(K_{3,3})$, $M^*(K_{3,3})$, Prism, $M(K_5 \setminus e)$, P_9 , P_9^* , binary spike Z_4 and its dual Z_4^* .

$ E(M) $	3-connected binary matroids
6	W_3
7	F_7, F_7^*
8	$W_4, S_8, AG(3, 2)$
9	$M(K_{3,3}), M^*(K_{3,3}), M(K_5 \setminus e), Prism, P_9, P_9^*, Z_4, Z_4^*$

For each matroid N in the above list with fewer than nine elements, with the exception of $AG(3, 2)$, the problem of characterizing 3-connected binary N -free matroids is completely solved. Since every 3-connected binary matroid having at least four elements has a W_3 -minor, the class of 3-connected binary matroids excluding W_3 contains only the trivial 3-connected matroids with at most three elements. Seymour in [12] determined all 3-connected binary matroids with no F_7 -minor (F_7^* -minor). Any such matroid is either regular or is isomorphic to F_7^* (F_7). In [9], Oxley characterized all 3-connected binary W_4 -free matroids. These are exactly $M(K_4)$, F_7 , F_7^* , binary spikes $Z_r, Z_r^*, Z_r \setminus t, Z_r \setminus y_r$ ($r \geq 4$), and the trivial 3-connected matroids with at most three elements. It is an easy corollary of Seymour’s Splitter Theorem that F_7, F_7^* , and $AG(3, 2)$ are the only 3-connected binary non-regular matroids without any S_8 -minor. At this point, not much is known about $AG(3, 2)$ -free matroids.

For each 9-element matroid on the above list there are some partial results. In an AMS Memoir [8], Mayhew, Royle, and Whittle characterized all internally 4-connected binary $M(K_{3,3})$ -free matroids. Mayhew and Royle [7], and independently Kingan and Lemos [5], determined all internally 4-connected binary Prism-free (therefore, $M(K_5 \setminus e)$ -free) matroids. These results are not complete characterizations of binary N -free matroids for the corresponding N because the 3-connected binary N -free matroids are yet to be determined. Since Z_4 has an $AG(3, 2)$ -minor, characterizing binary Z_4 -free matroids is an even harder problem. Oxley [9] determined all 3-connected binary matroids that contain neither a P_9 - nor P_9^* -minor.

Theorem 1.1. *Let M be a binary matroid. Then M is 3-connected having no minor isomorphic to P_9 or P_9^* if and only if*

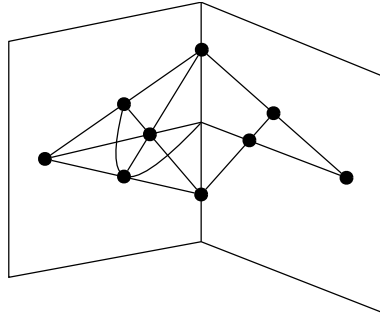


Fig. 1. A geometric representation of P_9 .

- (i) M is regular and 3-connected;
- (ii) M is a binary spike $Z_r, Z_r^*, Z_r \setminus y_r$ or $Z_r \setminus t$ for some $r \geq 4$; or
- (iii) $M \cong F_7$ or F_7^* .

We point out that P_9 is a very important matroid and it appears frequently in structural matroid theory (see, for example, [6,9,14]). (See Fig. 1.) In this paper, we give a complete characterization of binary P_9 -free matroids. To do this, as mentioned earlier, we only need to determine all 3-connected binary P_9 -free matroids. Our approach consists of two main steps. We first determine all internally 4-connected binary P_9 -free matroids. This step is relatively easy since standard methods solve the problem. Most of our effort is on the second step — to handle 3-separations. The task is no longer easy, and this is why results in [5,7,8] stopped with internally 4-connectivity. In this paper, we develop some general methods which can be used in similar situations. In particular, these methods could shed new light on characterizing $M(K_5 \setminus e)$ -free or other 3-connected binary matroids having a nontrivial 3-separation.

Before we state our main result, we describe a class of non-regular matroids. First let \mathcal{K} be the class 3-connected cographic matroids $N = M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}),$ or $M^*(K'''_{3,n})$ ($n \geq 2$). Here the simple graphs $K'_{3,n}, K''_{3,n}$, and $K'''_{3,n}$ are obtained from $K_{3,n}$ by adding one, two, or three edges in the color class of size three, respectively. Note that when $n = 2$, N is isomorphic to W_4 or the cycle matroid of the prism graph. From now on, we will use Prism to denote the prism graph as well as its cycle matroid. Take t copies of F_7 ($1 \leq t \leq n$) and any t disjoint triangles T_1, T_2, \dots, T_t of any $N \in \mathcal{K}$. We 3-sum the t copies of F_7 to N along the triangles T_i ($1 \leq i \leq t$) consecutively. Any resulting matroid is called a (multi-legged) *starfish*, which is denoted by $S(N, t)$. It is straightforward to verify that $r^*(S(N, t)) = n + 2$ and $S(N, t)$ has at most $4n + 3$ elements. Also note that each starfish is not regular since at least one Fano was used in the construction (and therefore every starfish has an F_7 -minor). The class of starfishes and the class of spikes have an empty intersection as spikes are W_4 -free, while each starfish has a W_4 -minor.

The following is the main result of this paper, which generalizes Oxley’s Theorem 1.1 and completely determines all 3-connected P_9 -free binary matroids. The matroid Y_{16} ,

$$\begin{bmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 \end{bmatrix}$$

Fig. 2. A binary standard representation for Y_{16} .

a single-element extension of $PG(3, 2)^*$, is given in Fig. 2 in standard representation without the identity matrix.

Theorem 1.2. *Let M be a binary matroid. Then M is 3-connected having no minor isomorphic to P_9 if and only if one of the following is true:*

- (i) M is regular and 3-connected; or
- (ii) M is a binary spike $Z_r, Z_r^*, Z_r \setminus y_r$ or $Z_r \setminus t$ for some $r \geq 4$; or
- (iii) M is a starfish; or
- (iv) M is one of the 16 internally 4-connected non-regular minors of Y_{16} .

The next result, which follows easily from the last theorem, characterizes all binary P_9 -free matroids.

Theorem 1.3. *Let M be a binary matroid. Then M has no minor isomorphic to P_9 if and only if M can be constructed from 3-connected regular matroids, binary spikes, starfishes, and internally 4-connected non-regular minors of Y_{16} using the operations of direct sum and 2-sum.*

Proof. Since every matroid can be constructed from 3-connected proper minors of itself by the operations of direct sum and 2-sum, by Theorem 1.2, the forward direction is true. Conversely, suppose that $M = M_1 \oplus M_2$, or $M = M_1 \oplus_2 M_2$, where M_1 and M_2 are both P_9 -free. As P_9 is 3-connected, by [10, Propositions 4.2.20 and 8.3.5], M is also P_9 -free. Thus if M is constructed from 3-connected regular matroids, binary spikes, starfishes, and internally 4-connected non-regular minors of Y_{16} using the operations of direct sum and 2-sum, then M is also P_9 -free. \square

Our proof does not use Theorem 1.1 except we use the fact that all spikes are P_9 -free which can be proved by an easy induction argument. In Section 2, we determine all internally 4-connected binary P_9 -free matroids. The non-regular ones are exactly the 16 internally 4-connected non-regular minors of Y_{16} . These matroids are determined using the Sage matroid package (see [11]) and the computation is confirmed by the matroid

software Macek (see [3]). Most of the work is in Section 3, which is to determine how the internally 4-connected pieces can be put together to avoid a P_9 -minor.

For terminology we follow [10]. Let M be a matroid. The *connectivity function* λ_M of M is defined as follows. For $X \subseteq E$, let

$$\lambda_M(X) = r_M(X) + r_M(E - X) - r(M).$$

Let k be a positive integer. Then both X and $E - X$ are said to be *k-separating* if $\lambda_M(X) = \lambda_M(E - X) < k$. If X and $E - X$ are *k-separating* and $\min\{|X|, |E - X|\} \geq k$, then $(X, E - X)$ is said to be a *k-separation* of M . Let $\tau(M) = \min\{j : M \text{ has a } j\text{-separation}\}$ if M has a *k-separation* for some k ; otherwise let $\tau(M) = \infty$. M is *k-connected* if $\tau(M) \geq k$. Let $(X, E - X)$ be a *k-separation* of M . This separation is said to be a *minimal k-separation* if $\min\{|X|, |E - X|\} = k$. A matroid M is called *internally 4-connected* if and only if M is 3-connected and the only 3-separations of M are minimal (in other words, either X or Y is a triangle or a triad). Finally, for the purpose of simplifying our notation, we write $M/e, f \setminus g$ instead of $M/\{e, f\} \setminus \{g\}$.

2. Characterizing internally 4-connected binary P_9 -free matroids

In this section, we determine all internally 4-connected binary P_9 -free matroids.

Theorem 2.1. *A binary matroid M is internally 4-connected and P_9 -free if and only if*

- (i) M is internally 4-connected graphic or cographic; or
- (ii) M is one of the 16 internally 4-connected non-regular minors of Y_{16} ; or
- (iii) M is isomorphic to R_{10} .

Sandra Kingan informed us that she also obtained this result as a consequence of a decomposition result for 3-connected binary E_4 -free matroids [4], where E_4 is a single-element coextension of P_9 . In an unpublished part of the paper with M. Lemos (arxiv.org/abs/1201.4427), they determined the 3-connected binary E_4 -free matroids having an E_5 -minor, where E_5 is a 10-element rank five binary matroid.

The following two well-known theorems of Seymour [12] will be used in our proof.

Theorem 2.2 (*Seymour's Splitter Theorem*). *Let N be a 3-connected proper minor of a 3-connected matroid M such that $|E(N)| \geq 4$ and if N is a wheel, it is the largest wheel minor of M ; while if N is a whirl, it is the largest whirl minor of M . Then M has a 3-connected minor M' which is isomorphic to a single-element extension or coextension of N .*

Theorem 2.3. *If M is an internally 4-connected regular matroid, then M is graphic, cographic, or is isomorphic to R_{10} .*

The following result is due to Zhou [14, Corollary 1.2].

Theorem 2.4. *A non-regular internally 4-connected binary matroid other than F_7 and F_7^* contains one of the following matroids as a minor: N_{10} , \widetilde{K}_5 , \widetilde{K}_5^* , $T_{12}\setminus e$, and T_{12}/e .*

The matrix representations of these matroids can be found in [14]. We use X_{10} to denote the matroid \widetilde{K}_5^* . It is straightforward to verify that among the five matroids in Theorem 2.4, only X_{10} is P_9 -free. Let \mathcal{L} consist of F_7 , F_7^* , Y_{16} and matroids with one of the thirteen matrices below as its reduced standard representation. It is easy to verify that Y_{16} contains both an F_7 - and an F_7^* -minor, and the only matroid of \mathcal{L} having a triangle is F_7 (this can also be easily verified by using the Sage matroid package). This fact will be used in the next section.

Proof of Theorem 2.1. If M is one of the matroids listed in (i) to (iii), then M is internally 4-connected. All matroids in (i) or (iii) are regular, thus are P_9 -free. Using the Sage matroid package, it is easy to verify that Y_{16} is P_9 -free, hence all matroids in (ii) are also P_9 -free.

Conversely, let M be an internally 4-connected binary matroid with no P_9 -minor. If M is regular, then by Theorem 2.3, M is either graphic, cographic, or isomorphic to R_{10} , which is regular. Therefore, we need only show that an internally 4-connected matroid M is non-regular and P_9 -free if and only if M is a non-regular minor of Y_{16} . Suppose that M is an internally 4-connected non-regular and P_9 -free matroid. If M has exactly seven elements, then $M \cong F_7$ or $M \cong F_7^*$. Suppose that M has at least eight elements. By Theorem 2.4, M has an N_{10} , X_{10} , X_{10}^* , $T_{12}\setminus e$, or T_{12}/e -minor. Since all but X_{10} have a P_9 -minor among these matroids, M must have an X_{10} -minor. We use the Sage matroid package (by writing simple Python scripts) and the matroid software Macek independently to do our computation and have obtained the same result. Excluding P_9 , we extend and coextend X_{10} seven times and found only thirteen 3-connected binary matroids. These matroids are X_{11} , X'_{11} , Y_{11} , X_{12} , X'_{12} , Y_{12} , X_{13} , Y_{13} , X_{14} , Y_{14} , $X_{15} \cong PG(3, 2)^*$, Y_{15} , and Y_{16} ; each having at most 16 elements; each being a minor of Y_{16} ; and each being internally 4-connected. As X_{10} is neither a wheel nor a whirl, by the Splitter Theorem (Theorem 2.2), M is one of the matroids in \mathcal{L} , each of which is a non-regular internally 4-connected minor of Y_{16} . Note that all non-regular internally 4-connected minors of Y_{16} are P_9 -free, hence \mathcal{L} consists of all internally 4-connected non-regular minors of Y_{16} . \square

$$X_{10} : \begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \end{pmatrix},$$

$$X_{15} \cong PG(3, 2)^* : \begin{pmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{pmatrix}, \quad Y_{15} : \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \end{pmatrix}.$$

3. Characterizing 3-connected binary P_9 -free matroids

In this section, we will prove our main result. We begin with several lemmas. Let G be a graph with a specified triangle $T = \{e_1, e_2, e_3\}$. By a *rooted K_4'' -minor* using T we mean a loopless minor H of G such that $si(H) \cong K_4$; $\{e_1, e_2, e_3\}$ remains a triangle of H ; and $H \setminus \{e_i, e_j\}$ is isomorphic to K_4 , for some distinct $i, j \in \{1, 2, 3\}$. By a *rooted K_4' -minor* using T we mean a loopless minor H of G such that $si(H) \cong K_4$; $\{e_1, e_2, e_3\}$ remains a triangle of H ; and $H \setminus e_i$ is isomorphic to K_4 , for some $i \in \{1, 2, 3\}$. Let T be a specified triangle of a matroid M . We can define a rooted $M(K_4')$ -minor using T and a rooted $M(K_4'')$ -minor using T similarly. Moreover, in the following proof, any K_4' is obtained from K_4 by adding a parallel edge to an element in the common triangle T used in the 3-sum specified in the context.

Lemma 3.1. (See [1].) *Let T be a triangle of a binary non-graphic matroid M . Then the following are true:*

- (i) *If M is non-regular, then T is contained in an F_7 -minor;*
- (ii) *If M is regular but not graphic, then T is contained in an $M^*(K_{3,3})$ -minor.*

The next result follows easily from the last lemma, or follows easily from a result of [13] that $\{U_{2,4}, M(K_4)\}$ is 2-rounded.

Lemma 3.2. *Let T be a triangle of a 3-connected binary matroid M with at least four elements. Then T is contained in an $M(K_4)$ -minor of M .*

Let M_1 and M_2 be matroids with ground sets E_1 and E_2 such that $E_1 \cap E_2 = T$ and $M_1|T = M_2|T = N$. The following result of Brylawski [2] about the generalized parallel connection can be found in [10, Proposition 11.4.14].

Lemma 3.3. *The generalized parallel connection $P_N(M_1, M_2)$ has the following properties:*

- (i) $P_N(M_1, M_2)|E_1 = M_1$ and $P_N(M_1, M_2)|E_2 = M_2$.
- (ii) If $e \in E_1 - T$, then $P_N(M_1, M_2) \setminus e = P_N(M_1 \setminus e, M_2)$.
- (iii) If $e \in E_1 - cl_1(T)$, then $P_N(M_1, M_2)/e = P_N(M_1/e, M_2)$.
- (iv) If $e \in E_2 - T$, then $P_N(M_1, M_2) \setminus e = P_N(M_1, M_2 \setminus e)$.
- (v) If $e \in E_2 - cl_2(T)$, then $P_N(M_1, M_2)/e = P_N(M_1, M_2/e)$.
- (vi) If $e \in T$, then $P_N(M_1, M_2)/e = P_{N/e}(M_1/e, M_2/e)$.
- (vii) $P_N(M_1, M_2)/T = (M_1/T) \oplus (M_2/T)$.

In the rest of this paper, we consider the case when the generalized parallel connection is defined across a triangle T , where T is the common triangle of the binary matroids M_1 and M_2 and $E(M_1) \cap E(M_2) = T$. Then $P_N(M_1, M_2) = P_N(M_2, M_1)$ (see [10, Proposition 11.4.14]). Moreover, $N = M_1|T = M_2|T \cong U_{2,3}$. We will use T to denote both the triangle and the submatroid $M_1|T$. Thus we use $P_T(M_1, M_2)$ instead of $P_N(M_1, M_2)$ for the rest of the paper.

Lemma 3.4. *Let $M = P_T(M_1, P_S(M_2, M_3))$ where M_i is a binary matroid ($1 \leq i \leq 3$); S is the common triangle of M_2 and M_3 ; T is the common triangle of M_1 and M_2 . Then the following are true:*

- (i) if $E(M_1) \cap (E(M_3) \setminus E(M_2)) = \emptyset$, then $M = P_S(P_T(M_1, M_2), M_3)$;
- (ii) if $E(M_1) \cap E(M_3) = \emptyset$, then $M_1 \oplus_3 (M_2 \oplus_3 M_3) = (M_1 \oplus_3 M_2) \oplus_3 M_3$.

Proof. (i) As $E(M_1) \cap (E(M_3) \setminus E(M_2)) = \emptyset$, $T = E(M_1) \cap E(P_S(M_2, M_3))$, and T is the common triangle of M_1 and $P_S(M_2, M_3)$. Moreover, $S = E(M_3) \cap E(P_T(M_1, M_2))$, and S is the common triangle of M_3 and $P_T(M_1, M_2)$. By [10, Proposition 11.4.13], a set F of M is a flat if and only if $F \cap E(M_1)$ is a flat of M_1 and $F \cap E(P_S(M_2, M_3))$ is a flat of $P_S(M_2, M_3)$. The latter is true if and only if $[F \cap (E(M_2) \cup E(M_3))] \cap E(M_i) = F \cap E(M_i)$ is a flat of M_i for $i = 2, 3$. Therefore, F is a flat of M if and only if $F \cap E(M_i)$ is a flat of M_i for $1 \leq i \leq 3$. The same holds for $P_S(P_T(M_1, M_2), M_3)$. Thus $M = P_S(P_T(M_1, M_2), M_3)$.

(ii) As $E(M_1) \cap E(M_3) = \emptyset$, we deduce that $S \cap T = \emptyset$, and the conclusion of (i) holds. Therefore,

$$P_T(M_1, P_S(M_2, M_3)) \setminus (S \cup T) = P_S(P_T(M_1, M_2), M_3) \setminus (S \cup T).$$

By Lemma 3.3, we conclude that

$$P_T(M_1, P_S(M_2, M_3) \setminus S) \setminus T = P_S(P_T(M_1, M_2) \setminus T, M_3) \setminus S.$$

That is, $M_1 \oplus_3 (M_2 \oplus_3 M_3) = (M_1 \oplus_3 M_2) \oplus_3 M_3$. \square

Lemma 3.5. *Let $M = P_T(M_1, M_2)$ where M_i is a binary matroid ($1 \leq i \leq 2$) and T is the common triangle of M_1 and M_2 . Then C^* is a cocircuit of M if and only if one of the following is true:*

- (i) C^* is a cocircuit of M_1 or M_2 avoiding T ;
- (ii) $C^* = C_1^* \cup C_2^*$ where C_i^* is a cocircuit of M_i such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements.

Proof. By [10, Proposition 11.4.13], a set F of M is a flat if and only if $F \cap E(M_i)$ is a flat of M_i for $1 \leq i \leq 2$. Moreover, for any flat F of M , $r(F) = r(F \cap E(M_1)) + r(F \cap E(M_2)) - r(F \cap T)$ (see, for example, [10, (11.23)]). Let C^* be a cocircuit of M and $H = E(M) - C^*$. As M is binary, $|C^* \cap T| = 0$ or 2 , and thus $|H \cap T| = 3$ or 1 . First assume that $|C^* \cap T| = 0$. As $r(H) = r(H \cap E(M_1)) + r(H \cap E(M_2)) - r(H \cap T)$, then $r(M) - 1 = r(M_1) + r(M_2) - 3 = r(H) = r(H \cap E(M_1)) + r(H \cap E(M_2)) - 2$. Thus,

$$r(M_1) + r(M_2) - 1 = r(H \cap E(M_1)) + r(H \cap E(M_2)).$$

Therefore, either $r(H \cap E(M_1)) = r(M_1) - 1$ and $r(H \cap E(M_2)) = r(M_2)$, or $r(H \cap E(M_2)) = r(M_2) - 1$ and $r(H \cap E(M_1)) = r(M_1)$. In the former case, as $H \cap E(M_1)$ and $H \cap E(M_2)$ are flats of M_1 and M_2 respectively, we deduce that $H \cap E(M_2) = E(M_2)$; $H \cap E(M_1)$ is a hyperplane of M_1 and thus $C^* \subseteq E(M_1)$ is a cocircuit of M_1 avoiding T . The latter case is similar.

If $|C^* \cap T| = 2$, then $|H \cap T| = 1$. As $r(H) = r(H \cap E(M_1)) + r(H \cap E(M_2)) - r(H \cap T)$, we deduce that $r(M) - 1 = r(M_1) + r(M_2) - 3 = r(H) = r(H \cap E(M_1)) + r(H \cap E(M_2)) - 1$. We conclude that

$$r(M_1) + r(M_2) - 2 = r(H \cap E(M_1)) + r(H \cap E(M_2)).$$

Now, for $1 \leq i \leq 2$, $H \cap E(M_i)$ is a proper flat of M_i , so that $r(H \cap E(M_i)) \leq r(M_i) - 1$. Therefore, $r(H \cap E(M_1)) = r(M_1) - 1$ and $r(H \cap E(M_2)) = r(M_2) - 1$. We conclude that $C_i^* = E(M_i) - H$ is a cocircuit of M_i and $C^* = C_1^* \cup C_2^*$ such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements. Note that the converse of the above arguments is also true, thus the proof of the lemma is complete. \square

The following corollary might be of independent interest.

Corollary 3.6. *Let M_1 and M_2 be a binary matroids and $M = M_1 \oplus_3 M_2$ such that M_1 and M_2 have the common triangle T . Then the following are true:*

- (i) any cocircuit C^* of M is either a cocircuit of M_1 or M_2 avoiding T , or $C^* = C_1^* \Delta C_2^*$ where C_i^* is a cocircuit of M_i ($i = 1, 2$) such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements.
- (ii) if C^* is either a cocircuit of M_1 or M_2 avoiding T , then C^* is also a cocircuit of M . Moreover, suppose that C_i^* is a cocircuit of M_i such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements. Then either $C_1^* \Delta C_2^*$ is a cocircuit of M , or $C_1^* \Delta C_2^*$ is a disjoint union of two cocircuits R^* and Q^* of M , where R^* and Q^* meet both M_1 and M_2 .

Proof. As $M = M_1 \oplus_3 M_2 = P_T(M_1, M_2) \setminus T$, the cocircuits of M are the minimal non-empty members of the set $\mathcal{F} = \{D - T : D \text{ is a cocircuit of } P_T(M_1, M_2)\}$. If C^* is a cocircuit of M , then $C^* = D - T$ for some cocircuit D of $P_T(M_1, M_2)$. By the last lemma, either (a) D is a cocircuit of M_1 or M_2 avoiding T , or (b) $D = C_1^* \cup C_2^*$ where C_i^* is a cocircuit of M_i ($i = 1, 2$) such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements. In (a), $C^* = D$, and in (b), $C^* = C_1^* \Delta C_2^*$. Hence (i) holds in the lemma.

Conversely, if C^* is either a cocircuit of M_1 or M_2 avoiding T , then by Lemma 3.5, it is easily seen that $C^* = C^* - T$ is a non-empty minimal member of the set \mathcal{F} . Hence C^* is also a cocircuit of M . Now suppose that C_i^* ($i = 1, 2$) is a cocircuit of M_i such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements. If $C_1^* \Delta C_2^*$ is not a cocircuit of M , then it contains a cocircuit R^* of M which is a proper subset of $C_1^* \Delta C_2^*$. Clearly, R^* must meet both C_1^* and C_2^* . By (i), $R^* = R_1^* \Delta R_2^*$, where R_i^* is a cocircuit of M_i ($i = 1, 2$) such that $R_1^* \cap T = R_2^* \cap T$, which has exactly two elements. Suppose that $C_1^* \cap T = C_2^* \cap T = \{x, y\}$, then $R_1^* \cap T = R_2^* \cap T = \{x, z\}$ or $\{y, z\}$, say the former. Moreover, $R_i^* \setminus T$ is a proper subset of $C_i^* \setminus T$ for $i = 1, 2$ as T does not contain any cocircuit of either M_1 or M_2 . As both M_1 and M_2 are binary, $Q_i^* = C_i^* \Delta R_i^*$ ($i = 1, 2$) contains, and indeed, is a cocircuit of M_i such that $Q_1^* \cap T = Q_2^* \cap T = \{y, z\}$. Now it is straightforward to see that $Q_1^* \Delta Q_2^*$ is a minimal non-empty member of \mathcal{F} and thus is a cocircuit of M . As $C^* = R^* \cup Q^*$, (ii) holds. \square

The 3-sum of two cographic matroids may not be cographic. However, the following is true.

Lemma 3.7. *Suppose that $M_1 = M^*(G_1)$ and $M_2 = M^*(G_2)$ are both cographic matroids with u and v being vertices of degree three in G_1 and G_2 , respectively. Label both uu_i and vv_i as e_i ($1 \leq i \leq 3$) so that $T = E(M_1) \cap E(M_2) = \{e_1, e_2, e_3\}$ is the common triangle of M_1 and M_2 . Then $P_T(M_1, M_2) = M^*(G)$, where G is obtained by adding a matching $\{u_1v_1, u_2v_2, u_3v_3\}$ between $G_1 - u$ and $G_2 - v$. In particular, $M^*(G_1) \oplus_3 M^*(G_2) = M^*(G/e, f, g)$ is also cographic.*

Proof. We need only show that $P_T(M_1, M_2)$ and $M^*(G)$ have the same set of cocircuits. By Lemma 3.5, C^* is a cocircuit of $M = P_T(M_1, M_2)$ if and only if one of the following is true:

(i) C^* is a cocircuit of M_1 or M_2 avoiding T . In other words, C^* is either a circuit of G_1 or a circuit of G_2 which does not meet T (i.e., C^* is a circuit of either $G_1 - u$ or a circuit of $G_2 - v$);

(ii) $C^* = C_1^* \cup C_2^*$ where C_i^* is a cocircuit of M_i such that $C_1^* \cap T = C_2^* \cap T$, which has exactly two elements. In other words, $C^* = C_1^* \cup C_2^*$ where C_i^* ($i = 1, 2$) is a circuit of G_i containing u and v respectively, such that $C_1^* \cap T = C_2^* \cap T$, which contains exactly two edges. Now it is easily seen that the set of cocircuits of M is exactly equal to the set of circuits of $M(G)$ (or the set of cocircuits of $M^*(G)$). In particular, $M^*(G_1) \oplus_3 M^*(G_2) =$

$P_T(M^*(G_1), M^*(G_2)) \setminus T = M^*(G) \setminus T = M^*(G/e, f, g)$ is cographic. This completes the proof of the lemma. \square

The following consequence of the last lemma will be used frequently in the paper.

Corollary 3.8. *Suppose that $M^*(K_{3,m}), M^*(K'_{3,m}), M^*(K_{3,n}) \in \mathcal{K}$ ($m, n \geq 2$). Then the following are true:*

- (i) $M^*(K_{3,m}) \oplus_3 M^*(K_{3,n}) \cong M^*(K_{3,m+n-2})$;
- (ii) $M^*(K'_{3,m}) \oplus_3 M^*(K_{3,n}) \cong M^*(K'_{3,m+n-2})$;
- (iii) $P(M^*(K_{3,m}), M(K_4))$ is cographic and is isomorphic to $M^*(G)$ where G is obtained by putting a 3-edge matching between the 3-partite set of $K_{3,m-1}$ and the three vertices of K_3 ;
- (iv) $M^*(K_{3,m}) \oplus_3 M(K'_4) \cong M^*(K'_{3,m})$ where K'_4 is obtained from K_4 by adding a parallel edge to an element in the common triangle T used in the 3-sum;
- (v) if $M_1 \cong M^*(K'_{3,m})$, and $M_2 \cong M(K'_4)$, then depending on which element in T is in a parallel pair in $M(K'_4)$ and which extra edge was added to $K'_{3,m}$ from $K_{3,m}$, the matroid $M_1 \oplus_3 M_2$ is either isomorphic to $M^*(K''_{3,m})$ or $M^*(G)$, where G is obtained from $K'_{3,m}$ by adding an edge parallel to the extra edge;
- (vi) if $M_1 \in \mathcal{K}$ and $M_2 \cong M(K'_4)$, then either $M_1 \oplus_3 M_2 \in \mathcal{K}$ or $M_1 \oplus_3 M_2 \cong M^*(G)$, where G has a parallel pair which does not meet any triad of G ;
- (vii) if $M_1 \in \mathcal{K}$ and $M_2 \in \mathcal{K}$, then either $M_1 \oplus_3 M_2 \in \mathcal{K}$ or $M_1 \oplus_3 M_2 \cong M^*(G)$, where G has at least one parallel pair which does not meet any triad of G .

Proof. (i)–(v) are direct consequences of Lemma 3.7. Suppose that $M_1 \in \mathcal{K}$ and is isomorphic to $M^*(K_{3,m}), M^*(K'_{3,m}), M^*(K''_{3,m})$, or $M^*(K'''_{3,m})$. Then either $M_1 \oplus_3 M_2 \cong M^*(K'_{3,m}), M^*(K''_{3,m})$ or $M^*(K'''_{3,m})$ and thus is in \mathcal{K} (in this case, M_1 is not isomorphic to $M^*(K'''_{3,m})$), or isomorphic to $M^*(G)$, where G is obtained from $K'_{3,m}, K''_{3,m}$, or $K'''_{3,m}$ by adding an edge in parallel to an existing edge added between two vertices of the 3-partite set of $K_{3,m}$. Clearly, this parallel pair does not meet any triad of G . We omit the straightforward and similar proof of (vii). \square

Corollary 3.9. *Let M be a binary matroid and $M = M_1 \oplus_3 M_2$ where M_1 is a starfish. Suppose that M_2 is a starfish, or $M_2 \cong M(K'_4)$, or $M_2 \cong M^*(G) \in \mathcal{K}$: $G \cong K_{3,n}, K'_{3,n}, K''_{3,n}$, or $K'''_{3,n}$ ($n \geq 2$). Then either M is also a starfish, or M has a 2-element cocircuit which does not meet any triangle of M .*

Proof. Suppose that the starfish M_1 uses s Fano matroids and M_2 uses t Fano matroids where $s \geq 1$ and $t \geq 0$. Clearly, in the starfish M_1 , any triangle is a triad in the corresponding 3-connected graph $G_1 \cong K_{3,m}, K'_{3,m}, K''_{3,m}$, or $K'''_{3,m}$ ($m \geq 2$) used to construct M_1 . We assume that first $s = 1$ and $t = 0$. Then by the definition of the starfish, $M_1 \cong F_7 \oplus_3 N_1$, where $N_1 \cong M^*(G_1)$, and either $M_2 \cong M(K'_4)$, or $M_2 \cong M^*(G)$; G is

3-connected where $G \cong K_{3,n}, K'_{3,n}, K''_{3,n},$ or $K'''_{3,n}$ ($n \geq 2$). By Lemma 3.4, we have that $M = (F_7 \oplus_3 N_1) \oplus_3 M_2 \cong F_7 \oplus_3 (N_1 \oplus_3 M_2)$ (the condition of the lemma is clearly satisfied). By Corollary 3.8, we deduce that either $N_1 \oplus_3 M_2 \in \mathcal{K}$, or it has a 2-element cocircuit avoiding any triangle of $N_1 \oplus_3 M_2$. In the former case, we conclude that M is a starfish. In the latter case, by Corollary 3.6, M has a 2-element cocircuit avoiding any triangle of M . The general case follows from an easy induction argument using Lemma 3.4 and Corollaries 3.6 and 3.8. \square

Lemma 3.10. *Suppose that $M \cong M^*(G)$ for a 3-connected graph $G \cong K_{3,n}, K'_{3,n}, K''_{3,n},$ or $K'''_{3,n}$ ($n \geq 2$), or M is a starfish. Then for any triangle T of M , there are at least two elements e_1, e_2 of T , such that for each e_i ($i = 1, 2$), there is a rooted K'_4 -minor using both T and e_i such that e_i is in a parallel pair.*

Proof. Suppose that $M \cong M^*(G)$ for a 3-connected graph $G \cong K_{3,n}, K'_{3,n}, K''_{3,n},$ or $K'''_{3,n}$ ($n \geq 2$). When $n \geq 3$, the proof is straightforward. When $n = 2$, then $G \cong W_4$ or $K_5 \setminus e$, and the result is also true.

Now suppose that M is a starfish constructed by starting from $N \cong M^*(G)$ for a 3-connected graph $G \cong K_{3,n}, K'_{3,n}, K''_{3,n},$ or $K'''_{3,n}$ ($n \geq 2$) with t ($1 \leq t \leq n$) copies of F_7 by performing 3-sum operations. Choose an element f_i of $E(M)$ in each copy of F_7 ($1 \leq i \leq t$). By the definition of a starfish, and by using Lemma 3.3(iii), (v), $M/f_1, f_2, \dots, f_t$ is isomorphic to N containing T . Now the result follows from the above paragraph. \square

We will need the following result [12, 11.1].

Lemma 3.11. *Let e be an edge of a simple 3-connected graph G on more than four vertices. Then either $G \setminus e$ is obtained from a simple 3-connected graph by subdividing edges or G/e is obtained from a simple 3-connected graph by adding parallel edges.*

Let $G = (V, E)$ be a graph and let x, y be distinct elements of $V \cup E$. By adding an edge between x, y we obtain a graph G' defined as follows. If x and y are both in V , we assume $xy \notin E$ and we define $G' = (V, E \cup \{xy\})$; if x is in V and $y = y_1y_2$ is in E , we assume $x \notin \{y_1, y_2\}$ and we define $G' = (V \cup \{z\}, (E \setminus \{y\}) \cup \{xz, y_1z, y_2z\})$; if $x = x_1x_2$ and $y = y_1y_2$ are both in E , we define $G' = (V \cup \{u, v\}, (E \setminus \{x, y\}) \cup \{ux_1, ux_2, uv, vy_1, vy_2\})$

Lemma 3.12. *Let G be a simple 3-connected graph with a specified triangle T . Then G has a rooted K''_4 -minor unless G is $K_4, W_4,$ or $Prism$.*

Proof. Suppose the lemma is false. We choose a counterexample $G = (V, E)$ with $|E|$ as small as possible. Let x, y, z be the vertices of T . We first prove that $G - \{x, y, z\}$ has at least one edge.

Suppose $G - \{x, y, z\}$ is edgeless. Since G is 3-connected, every vertex in $V - \{x, y, z\}$ must be adjacent to all three of x, y, z , which means that $G = K'''_{3,n}$ for a positive

integer n . Since G is a counterexample, G cannot be K_4 and thus G contains $K''_{3,2}$, which contains a rooted K''_4 -minor. This contradicts the choice of G and thus $G - \{x, y, z\}$ has at least one edge.

Let $e = uv$ be an edge of $G - \{x, y, z\}$. By Lemma 3.11, there exists a simple 3-connected graph H such that at least one of the following holds:

- Case 1. $G \setminus e$ is obtained from H by subdividing edges;
- Case 2. G/e is obtained from H by adding parallel edges.

Since H is a proper minor of G and H still contains T , by the minimality of G , H has to be K_4 , W_4 , or Prism, because otherwise H (and G as well) would have a rooted K''_4 -minor. Now we need to deduce a contradiction in Case 1 and Case 2 for each $H \in \{K_4, W_4, Prism\}$.

Let P^+ be obtained from Prism by adding an edge between two nonadjacent vertices. Before we start checking the cases we make a simple observation: with respect to any of its triangles, P^+ has a rooted K''_4 -minor. As a result, G cannot contain a rooted P^+ -minor: a P^+ -minor in which T remains a triangle.

We first consider Case 1. Note that G is obtained from H by adding an edge between some $\alpha, \beta \in V \cup E$. By the choice of e , we must have $\alpha, \beta \notin V(T) \cup E(T)$. If $H = K_4$ then $G = Prism$, which contradicts the choice of G . If $G = W_4$ or $Prism$, then it is straightforward to verify that G contains a rooted P^+ -minor (by contracting at most two edges), which is a contradiction by the above observation.

Next, we consider Case 2. Let w be the new vertex created by contracting e . Then G/e is obtained from H by adding parallel edges incident with w . Observe that w has degree three in H , for each choice of H . Consequently, as G is simple, G has four, three, or two more edges than H . Suppose G has four or three more edges than H . Then H is $G - u$ or $G - v$. Without loss of generality, let $H = G - u$. Choose three paths P_x, P_y, P_z in H from v to x, y, z , respectively, such that they are disjoint except for v . Now it is not difficult to see that a rooted K''_4 -minor of G can be produced from the union of the triangle T , the three paths P_x, P_y, P_z , and the star formed by edges incident with u . This contradiction implies that G has exactly two more edges than H . Equivalently, G is obtained from H by adding an edge between a neighbor s of w and an edge wt with $t \neq s$.

If $H = K_4$ then $G = W_4$, which contradicts the choice of G . If $H = W_4$ then $G = W_5$ or P^+ . In both cases, G contain a rooted K''_4 -minor, no matter where the special triangle is. Finally, if $H = Prism$ then G contains a rooted P^+ -minor, which is impossible by our early observation. In conclusion, Case 2 does not occur, which completes our proof. \square

Lemma 3.13. *Let $M = M^*(G)$ be a 3-connected cographic matroid with a specified triangle T . Then M has a rooted K''_4 -minor using T unless $G \cong K_{3,n}, K'_{3,n}, K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 1$. In particular, if $M^*(G)$ is not graphic, then $n \geq 3$.*

Proof. Suppose that M does not contain rooted K''_4 -minor using T . Note that $M^*(G)$ does not have a rooted K''_4 -minor using T if and only if G does not have a minor

obtained from K_4 (where T is cocircuit) by subdividing two edges of T . Now we show that T is a vertex triad (which corresponds to a star of degree three). Otherwise, let $G - E(T) = X \cup Y$, where T is a 3-element edge-cut but not a vertex triad. If $G \cong \text{Prism}$, then clearly $M^*(G)$ has a rooted K_4'' -minor; a contradiction. If G is not isomorphic to a Prism, we can choose a cycle in one side and a vertex in another side which is not incident with any edge of T . Then we can get a rooted K_4'' -minor; a contradiction again. Hence the edges of T are all incident to a common vertex v of degree three with neighbors v_1, v_2 , and v_3 . A rooted K_4'' -minor using T exists if and only if G has a cycle missing v and at least two of v_1, v_2 , and v_3 . Hence every cycle of $G - v$ contains at least two of v_1, v_2 , and v_3 , and thus $G - v - v_i - v_j$ is a tree for $1 \leq i \neq j \leq 3$. Moreover, $G - v - v_1 - v_2 - v_3$ has to be an independent set. Otherwise, it is a forest. Take two pedants in a tree, each of which has at least two neighbors in v_1, v_2 , or v_3 . Thus $G - v$ contains a cycle missing at least two vertices of v_1, v_2 , and v_3 . This contradiction shows that $G - v - v_1 - v_2 - v_3$ is an independent set and thus G is $K_{3,n}, K'_{3,n}, K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 1$. In particular, if $M^*(G)$ is not graphic, then $n \geq 3$. \square

Lemma 3.14. *Let M be a 3-connected binary P_9 -free matroid and $M = M_1 \oplus_3 M_2$ where M_1 is non-regular, and M_1 and M_2 have the common triangle T . Then*

- (i) *if M_2 is graphic, then either $M_2 \cong M(G)$ where G is W_4 or the Prism, or $M_2 \cong M(K'_4)$ where $M(K'_4)$ is obtained from $M(K_4)$ (which contains T) by adding an element parallel to an element of T ;*
- (ii) *if M_2 is cographic but not graphic, then $M_2 \cong M^*(G)$, where $G \cong K_{3,n}, K'_{3,n}, K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 3$.*

Proof. Suppose that $M = P(M_1, M_2) \setminus T$, where T is the common triangle of M_1 and M_2 . As M is 3-connected, by [12, 4.3], both $si(M_1)$ and $si(M_2)$ are 3-connected, and only elements of T can have parallel elements in M_1 or M_2 . Then by Lemma 3.1, T is contained in an F_7 -minor in $si(M_1)$. Now M_2 does not contain a rooted K_4'' -minor using T , where K_4'' is obtained from this K_4 by adding a parallel element to any two of the three elements of T (otherwise, the 3-sum of M_1 and M_2 contains a P_9 -minor).

If M_2 is graphic, then by Lemma 3.11, $si(M_2) \cong M(G)$ where G is either W_3, W_4 or the Prism. When G is either W_4 or the Prism, then it is easily seen that M_2 has to be simple, and thus $M_2 \cong W_4$ or Prism. If $G \cong W_3$, then as M is P_9 -free and M_2 has at least seven elements (from the definition of 3-sum), it is easily seen that $M_2 \cong M(K'_4)$.

If M_2 is cographic but not graphic, then by Lemma 3.13, $si(M_2) \cong M^*(G)$, where G is $K_{3,n}, K'_{3,n}, K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 3$. If M_2 is not simple, then it is straightforward to find a rooted $M(K_4'')$ -minor using T in M_2 , thus a P_9 -minor in M ; a contradiction. This completes the proof of the lemma. \square

Lemma 3.15. *Let M be a 3-connected regular matroid with at least six elements and T be a triangle of M . Then M has no rooted $M(K_4'')$ -minor using T if and only if M is*

isomorphic to a 3-connected matroid $M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}), M^*(K'''_{3,n})$ for some $n \geq 1$.

Proof. If M is isomorphic to a 3-connected matroid $M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}), M^*(K'''_{3,n})$ ($n \geq 1$), then it is straightforward to check for any triangle T , M has no rooted $M(K''_4)$ -minor using T .

Conversely, suppose that M is a 3-connected regular matroid with at least six elements and T is a triangle of M , such that M has no rooted $M(K''_4)$ -minor using T . If M is internally 4-connected, then by [Theorem 2.3](#), M is either graphic, cographic, or is isomorphic to R_{10} . The result follows from [Lemmas 3.11 and 3.13](#), and the fact that R_{10} is triangle-free. So we may assume that M is not internally 4-connected and has a 3-separation (X, Y) where $|X|, |Y| \geq 4$. We may assume that $|X \cap T| \geq 2$.

Suppose that $Y \cap T$ has exactly one element e . Then as T is a triangle, $(X \cup e, Y \setminus e)$ is also a 3-separation. If $|Y| = 4$, then $Y - e$ is a triangle or a triad. Moreover, $r(Y) + r^*(Y) - |Y| = 2$. As M is 3-connected and binary, $r(Y), r^*(Y) \geq 3$, and thus $r(Y) = r^*(Y) = 3$. If $Y - e$ is a triangle, then it is not a triad, and thus Y contains a cocircuit which contains e . This is a contradiction as this cocircuit meets T with exactly one element. Hence $Y - e$ is a triad, and from $r(Y) = 3$, there is an element $f \in T, f \neq e$ such that $Y - f$ is a triangle. In other words, Y forms a 4-element fan. We conclude that $M \cong M_1 \oplus_3 M(K'_4)$ by [\[12, 2.9\]](#) where S is the common triangle of M_1 and $M(K'_4)$, and $M(K'_4)$ is obtained from $M(K_4)$ (containing T) by adding an element e_1 in parallel to an element e of S . By switching the label of e_1 to e in M_1 , we obtain a matroid $M'_1 (\cong M_1)$ which is isomorphic to a minor of M having triangle T . By [\[12, 4.3\]](#), $si(M_1)$ is 3-connected. Hence by induction, $si(M_1)$ is isomorphic to a 3-connected matroid $M^*(K_{3,m}), M^*(K'_{3,m}), M^*(K''_{3,m}), M^*(K'''_{3,m})$ for some $m \geq 1$. As M has no rooted $M(K''_4)$ -minor using T , we have that $r_{M_1}(S \cup T) > 2$. Moreover, the element e_1 is in two triangles of $si(M_1)$, so $m \leq 3$. Now using [Lemma 3.7](#), it is straightforward to verify that $M \cong W_4 \cong M^*(K''_{3,2})$ and thus the lemma holds. Hence we may assume that $|Y| \geq 5$ and thus $|Y \setminus e| \geq 4$.

Therefore we may assume that M has a separation (X, Y) such that $T \subseteq X$, and both X and Y have at least four elements. Hence by [\[12, \(2.9\)\]](#), $M = M_1 \oplus_3 M_2$ where M_1 and M_2 are isomorphic to minors of M having the common triangle S , and T is a triangle of M_1 . Moreover, $|E(M_i)| < |E(M)|$ for $i = 1, 2$, and both $si(M_1)$ and $si(M_2)$ are 3-connected [\[12, \(4.3\)\]](#). First assume that each element of S is parallel to an element of T in M_1 . Then by [Lemma 3.2](#), $si(M_1)$ contains a rooted $M(K_4)$ -minor using T . As each element of T in M_1 is in a parallel pair, we conclude that M has a rooted $M(K''_4)$ -minor using T ; a contradiction.

So we may assume that at least one element of T is not parallel to an element of S (as M is binary, there are at least two such elements). As $si(M_1)$ is a 3-connected minor of M , it has no rooted $M(K''_4)$ -minor using T . By induction, $si(M_1) \cong M^*(K_{3,s}), M^*(K'_{3,s}), M^*(K''_{3,s}), M^*(K'''_{3,s})$ for some $s \geq 2$, or $si(M_1) \cong M(K_4)$. Remove all elements of M_1 not in the set $S \cup T$ in $P_S(M_1, M_2)$. Then every element of $T \setminus S$ is parallel to an element of $S \setminus T$. Contracting all elements of $S \setminus T$, we obtained a minor of M isomorphic to M_2

and T is a triangle of this minor. By induction again, $si(M_2) \cong M^*(K_{3,t}), M^*(K'_{3,t}), M^*(K''_{3,t}), M^*(K'''_{3,t})$ for some $t \geq 2$, or $si(M_2) \cong M(K_4)$. Suppose that $si(M_i) \cong M(K_4)$ for some $i = 1, 2$. Then as M_i have at least seven elements and M has no rooted $M(K'_4)$ -minor using T , we deduce that $M_i \cong M(K'_4)$. As M has no $M(K''_4)$ -minor containing T , and M is 3-connected, using [Corollary 3.8](#), it is routine to verify that $M \cong M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}),$ or $M^*(K'''_{3,n})$ for some $n \geq 2$. \square

Corollary 3.16. *Let M be a 3-connected binary non-regular P_9 -free matroid. Suppose that $M = M_1 \oplus_3 M_2$ such that M_1 and M_2 have the common triangle T . If M_2 is regular, then M_2 is isomorphic to a 3-connected matroid $M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}),$ or $M^*(K'''_{3,n})$ ($n \geq 2$), or $M_2 \cong M(K'_4)$ where $M(K'_4)$ is obtained from $M(K_4)$ (containing T) by adding an element in parallel to an element of T .*

Proof. As M is 3-connected, by [\[12, 4.3\]](#), both $si(M_1)$ and $si(M_2)$ are 3-connected, and only elements of T can have parallel elements in M_1 or M_2 . As M is non-regular and M_2 is regular, $si(M_1)$ is non-regular and thus (by [Lemma 3.1](#)) has an F_7 -minor containing the common triangle T of M_1 and M_2 . As M is P_9 -free, M_2 has no rooted $M(K''_4)$ -minor using T . By [Lemma 3.15](#), $si(M_2)$ is isomorphic to a 3-connected matroid $M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}), M^*(K'''_{3,n})$ ($n \geq 2$), or $M(K_4)$. Now using [Lemma 3.10](#), it is straightforward to check that either $M_2 \cong M(K'_4)$, or M_2 is simple, and $M_2 \cong M^*(K_{3,n}), M^*(K'_{3,n}), M^*(K''_{3,n}),$ or $M^*(K'''_{3,n})$ ($n \geq 2$). \square

Now we are ready to prove our main theorem.

Proof of Theorem 1.2. Suppose that a starfish M is constructed from a 3-connected cographic matroid N by consecutively applying the 3-sum operations with t copies of F_7 , where $N \cong M^*(G); G \cong K_{3,n}, K'_{3,n}, K''_{3,n},$ or $K'''_{3,n}$ for some $n \geq 2$. First we show that M is 3-connected. We use induction on t . When $t = 0$, N is 3-connected. Suppose that M is 3-connected for $t < k \leq n$. Now suppose that $t = k$. Then $M = M_1 \oplus_3 F$, where $F \cong F_7$ and M_1 and F share the common triangle T . Take an element f of $E(F) \cap E(M)$. Then by [Lemma 3.3](#), $M/f = P(M_1, F/e) \setminus T \cong M_1$, which is a starfish with $t = k - 1$, and thus is 3-connected by induction. If M is not 3-connected, then f is either in a loop of M , or is in a cocircuit of size one or two. Clearly, M does not have any loop, thus f is in a cocircuit C^* of M with size one or two. As $P(M_1, F)$ is 3-connected, it does not contain any cocircuit of size less than three. Hence $C^* \cup T$ contains a cocircuit D^* of $P(M_1, F)$. As $P(M_1, F)$ is binary, $D^* \cap T$ has exactly two elements, and thus D^* has at most four elements. As T contains no cocircuit of either M_1 or F , by [Lemma 3.5](#), $F \cong F_7$ has a cocircuit of size at most three meeting two elements of T . This contradiction shows that M is 3-connected.

Next we show that if M is one of the matroid listed in (i)–(iv), then M is P_9 -free. By [Theorem 2.1](#) and the fact that all spikes and regular matroids are P_9 -free, we need only show that any starfish is P_9 -free. We use induction on the number of elements of

the starfish M . By the definition, the unique smallest starfish has nine elements, and is isomorphic to P_9^* . Clearly, P_9^* is P_9 -free. Suppose that any starfish with less than n (≥ 10) elements is P_9 -free. Now suppose that we have a starfish M with n elements. Suppose, on the contrary, that M has a P_9 -minor. Then by the Splitter Theorem (Theorem 2.2), there is an element e in M such that either $M \setminus e$ or M/e is 3-connected having a P_9 -minor. Note that the elements of a starfish consists of two types: those are subsets of $E(N)$ (denote this set by K), or those are in part of copies of F_7 (denote this set by F). Then $E(M) = K \cup F$. First we assume that $e \in F$. Then $M = M_1 \oplus_3 M_2$, where M_1 is either one of $M^*(K_{3,n})$, $M^*(K'_{3,n})$, $M^*(K''_{3,n})$, or $M^*(K'''_{3,n})$, or a starfish with fewer elements; $M_2 \cong F_7$, and $e \in E(M_2)$. By the construction of the starfish and Lemma 3.3, $M/e \cong M_1$ and is either cographic or a smaller starfish and therefore does not contain a P_9 -minor; a contradiction. Therefore $M \setminus e$ is 3-connected and contains a P_9 -minor. But then by Lemma 3.4, $M \setminus e \cong P(M_1, M(K_4)) \setminus T$. By Corollary 3.8, as $M \setminus e$ is 3-connected, we conclude that $M \setminus e$ is a smaller starfish and therefore is P_9 -free. This contradiction shows that $e \in K$.

If e is in a triangle of M , then M/e is not 3-connected, and thus $M \setminus e$ is 3-connected and contains a P_9 -minor. Each triangle of M is corresponding to a triad in G . By Lemmas 3.3 and 3.4 again, we can do the deletion $N \setminus e$ first, then perform the 3-sum operations with copies of F_7 . Note that $N \setminus e \cong M^*(G/e)$ where $G \cong K_{3,n}$, $K'_{3,n}$, $K''_{3,n}$, or $K'''_{3,n}$ ($n \geq 2$). As $M \setminus e$ is 3-connected and thus simple, we deduce that $n \geq 3$, $N \cong M^*(K_{3,n})$ or $M^*(K'_{3,n})$, and $N \setminus e \cong M^*(K''_{3,n-1})$, or $M^*(K'''_{3,n-1})$. Therefore, $M \setminus e$ is another starfish and does not contain any P_9 -minor by induction; a contradiction. Finally assume that $e \in K$ is not in any triangle of M . Then e is not in any triad of G . Hence if $n = 2$, then $G \cong K'''_{3,2}$. As G/e has parallel elements, the matroid $N \setminus e$ has serial-pairs, and thus $M \setminus e$ is not 3-connected, we conclude that M/e is 3-connected having a P_9 -minor. Note that $N \cong M^*(K'_{3,n})$, $M^*(K''_{3,n})$, or $M^*(K'''_{3,n})$ ($n \geq 2$), and thus $N/e \cong M^*(K_{3,n})$, $M^*(K'_{3,n})$, or $M^*(K''_{3,n})$, which is still 3-connected. We conclude again, by Lemma 3.3, that M/e is a smaller starfish than M , thus cannot contain any P_9 -minor. This contradiction completes the proof of the first part.

Now suppose that M is a 3-connected binary matroid with no P_9 -minor. We may assume that M is not regular. If M is internally 4-connected, then the theorem follows from Theorem 2.1. Now suppose that M is neither regular nor internally 4-connected. We show that M is either a spike or a starfish. Suppose that $|E(M)| \leq 9$. As M is not internally 4-connected, M is not F_7 or F_7^* . Hence $|E(M)| \geq 8$. Then M is $AG(3, 2)$, S_8 , Z_4 , Z_4^* (all spikes), or P_9^* , which is the 3-sum of F_7 and $W_4 = M^*(K''_{3,2})$, thus is a starfish. We conclude that the result holds for $|E(M)| \leq 9$. Now suppose that $|E(M)| \geq 10$. As M is not internally 4-connected, $M = M_1 \oplus_3 M_2 = P(M_1, M_2) \setminus T$, where M_1 and M_2 are isomorphic to minors of M [12, 4.1] and $T = \{x, y, z\}$ is the common triangle of M_1 and M_2 . Moreover, $|E(M_i)| < |E(M)|$ for $i = 1, 2$, and both $si(M_1)$ and $si(M_2)$ are 3-connected [12, (4.3)]. The only possible parallel element(s) of either M_1 or M_2 are those in the common triangle. As M has no P_9 -minor, and M_1 and M_2 are isomorphic to minors of M , we deduce that neither $si(M_1)$ nor $si(M_2)$ has a P_9 -minor. By induction,

the theorem holds for both $si(M_1)$ and $si(M_2)$. As M is not regular, at least one of $si(M_1)$ and $si(M_2)$, say $si(M_1)$, is not regular.

Claim. M_1 (and M_2) is simple unless both $si(M_1)$ and $si(M_2)$ are spikes.

Suppose not and we may assume that x in T has a parallel element x_1 in M_1 . By Lemma 3.1, T is in an F_7 -minor of M_1 plus a parallel element x_1 . By induction, $si(M_2)$ is either regular and 3-connected, or one of the 16 internally 4-connected non-regular minors of Y_{16} (thus is F_7 since it has a triangle); or is a spike or a starfish. Moreover, $si(M_1)$ is either one of the 16 internally 4-connected non-regular minors of Y_{16} (thus is F_7); or is a spike or a starfish. Suppose that $si(M_2)$ is not a spike. Then either $si(M_2)$ is regular or is a starfish. By Lemmas 3.10 and 3.16, either $M_2 \cong M(K'_4)$ where $M(K'_4)$ is obtained from $M(K_4)$ (which contains T) by adding an element parallel to an element of T , or T is in a rooted $M(K'_4)$ -minor of M_2 using T (obtained from $M(K_4)$ containing T by adding an element parallel to either y or z). In either case, as M is simple, we conclude that M contains a P_9 -minor, a contradiction. Hence $si(M_2)$ is a spike thus contains an F_7 -minor containing T . Now if $si(M_1)$ is not a spike, then $si(M_1)$ is a starfish. Again using Lemma 3.10, it is easily checked that M has a P_9 -minor; a contradiction. Therefore M_1 is simple unless both $si(M_1)$ and $si(M_2)$ are spikes. A similar argument shows that M_2 is also simple unless both $si(M_1)$ and $si(M_2)$ are spikes.

Case 1: $si(M_2)$ is regular. By Lemma 3.16, M_2 is either graphic or cographic. Moreover,

(1) if M_2 is graphic, then either $M_2 \cong M(G)$ where G is W_4 or the Prism, or $M_2 \cong M(K'_4)$ where $M(K'_4)$ is obtained from $M(K_4)$ (which contains T) by adding an element parallel to an element of T ; and

(2) if M_2 is cographic but not graphic, then $M \cong M^*(G)$, where $G \cong K_{3,n}$, $K'_{3,n}$, $K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 3$.

By the above claim, both M_1 and M_2 are simple. Moreover, M_1 is 3-connected, non-regular, and P_9 -free. By induction, M_1 is either one of the 16 internally 4-connected non-regular minors of Y_{16} (therefore is F_7 as M_1 has a triangle); or M_1 is a spike or a starfish. That is, either M_1 is a spike or a starfish. If M_1 is a starfish, by Lemma 3.9, $M = M_1 \oplus_3 M_2$ is also a starfish. Thus we may assume that M_1 is a spike which contains a triangle. Then M_1 is either F_7 , S_8 , Z_s ($s \geq 4$) or $Z_s \setminus y_s$ for some $s \geq 5$. Suppose that M_1 is F_7 . Then $M = F_7 \oplus_3 M_2$ is either S_8 (not possible as M has at least 10 elements) or a starfish by the definition of a starfish. Suppose that M_1 is Z_s ($s \geq 4$) or $Z_s \setminus y_s$ for some $s \geq 5$ and suppose that M_2 is not isomorphic to $M(K'_4)$. Then M_1 has a Z_4 -restriction containing T . Clearly, such restriction contains an F'_7 -minor which is obtained from F_7 (which contains T) by adding an element parallel to the tip of the spike, say x in T . By Lemma 3.10, T is in an $M(K'_4)$ -minor of M_2 which is obtained from K_4 containing T by adding an element parallel to an element $z \neq x$ of T . Thus we can find a P_9 -minor in M , a contradiction. Suppose that M_1 is Z_s ($s \geq 4$) or $Z_s \setminus y_s$ for some $s \geq 5$ and suppose that $M_2 \cong M(K'_4)$. If the extra element e of $M(K'_4)$ added to $M(K_4)$ is not parallel to x in M_2 , then using the previously mentioned F'_7 -minor of M_1 containing T

and the $M(K'_4)$ -minor containing e , we obtain a P_9 -minor of M ; a contradiction. Now it is straightforward to see that $M \cong Z_{s+2} \setminus y_{s+2}$ ($s \geq 4$) which is a spike, or $Z_{s+2} \setminus y_s, y_{s+2}$ ($s \geq 5$). The latter case does not happen as $\{y_s, y_{s+2}\}$ would be a 2-element cocircuit, but M is 3-connected. Finally we assume that $M_1 \cong S_8 = F_7 \oplus_3 M(K'_4)$ with tip x . Then $M = (F_7 \oplus_3 M(K'_4)) \oplus_3 M_2$. By Lemma 3.4, $M = F_7 \oplus_3 (M(K'_4) \oplus_3 M_2)$. By Corollary 3.16, M_2 is isomorphic to a 3-connected cographic matroid $M^*(K_{3,n})$, $M^*(K'_{3,n})$, $M^*(K''_{3,n})$, or $M^*(K'''_{3,n})$ ($n \geq 2$), or $M_2 \cong M(K'_4)$. If $M_2 \cong M(K'_4)$, then $|E(M)| = 9$; a contradiction. Thus M_2 is not isomorphic to $M(K'_4)$. By Corollary 3.8, $M(K'_4) \oplus_3 M_2 \cong M^*(G)$, where $G \cong K'_{3,n}$, $K''_{3,n}$, or $K'''_{3,n}$ for some $n \geq 2$, or $M(K'_4) \oplus_3 M_2$ contains a 2-element cocircuit which does not meet any triangle of $M(K'_4) \oplus_3 M_2$. In this case, by Corollary 3.6, this 2-element cocircuit would also be a cocircuit of M . As M is 3-connected, we conclude that the latter does not happen, and that M is still a starfish.

Case 2: Neither M_1 nor M_2 is regular. By induction and the fact that both M_1 and M_2 have a triangle, that $si(M_1)$ is either a spike containing a triangle or a starfish, and so is $si(M_2)$.

Case 2.1: Both $si(M_1)$ and $si(M_2)$ are starfishes. By the above claim, both M_1 and M_2 must be simple matroids. Now by Lemma 3.9, M is also a starfish.

Case 2.2: One of $si(M_1)$ and $si(M_2)$, say the former, is a spike. Suppose that $si(M_2)$ is a starfish. By the claim, both M_1 and M_2 are simple. As M_1 contains the triangle T , it is either Z_s ($s \geq 3$) or $Z_s \setminus y_s$ for some $s \geq 4$. If $M_1 \cong Z_3 \cong F_7$, by the definition of a starfish, M is also a starfish. If $M_1 \cong Z_s$ ($s \geq 4$) or $Z_s \setminus y_s$ for some $s \geq 5$, then M_1 contains a Z_4 as a restriction which contains T . But Z_4 contains an F'_7 -minor containing T where F'_7 is obtained from F_7 by adding an element in parallel to the tip x of M_1 . By Lemma 3.10, T is in an $M(K'_4)$ -minor of M_2 which is obtained from $M(K_4)$ containing T by adding an element parallel to y or z . We conclude that M contains a P_9 -minor, a contradiction. Now suppose that $M_1 \cong Z_4 \setminus y_4 \cong S_8 = F_7 \oplus_3 M(K'_4)$ with tip x . Then $M = (F_7 \oplus_3 M(K'_4)) \oplus_3 M_2$. By Lemma 3.4, $M = F_7 \oplus_3 (M(K'_4) \oplus_3 M_2)$. By Corollary 3.9, $M(K'_4) \oplus_3 M_2$ is either a starfish, or $M(K'_4) \oplus_3 M_2$ and thus M contains a 2-element cocircuit. As M is 3-connected, we conclude that the latter does not happen, and that M is still a starfish by the definition of a starfish.

Hence we may assume that $si(M_2)$ is also a spike. As $si(M_2)$ contains a triangle also, it is either Z_t ($t \geq 3$) or $Z_t \setminus y'_t$ for some $t \geq 4$. Suppose that $si(M_1)$ and $si(M_2)$ do not share a common tip, say $si(M_1)$ has tip x and $si(M_2)$ has tip z . Then neither matroid is isomorphic to F_7 as any element of T can be considered as a tip then. We first assume either $si(M_1)$ or $si(M_2)$, say $si(M_1)$, has at least nine elements. Then M_1 has a Z_4 -restriction containing T , thus has an F'_7 -minor (with a parallel pair containing x) containing T . The matroid $si(M_2)$ has an S_8 -restriction, thus has an $M(K'_4)$ -minor (with a parallel pair containing z) containing T . By Lemma 3.3, we conclude that M has a P_9 -minor; a contradiction. Hence both $si(M_1)$ and $si(M_2)$ have exactly eight elements and both are isomorphic to S_8 . Now if either M_1 or M_2 is not simple, then similar to the argument above, one can get a P_9 -minor; a contradiction. Hence both matroid are simple. Now it is straightforward to see that $M \cong F_7 \oplus_3 W_4 \oplus_3 F_7$, which is a starfish.

Therefore we may assume that $si(M_1)$ and $si(M_2)$ share a common tip, say x . First assume that a non-tip element in T , say y , is in a parallel pair of either M_1 or M_2 , say M_1 . As M is both simple and P_9 -free, it is easily seen that M_2 has to be simple. Since any element of T can be considered as a tip in F_7 , we deduce that both $si(M_1)$ and M_2 have at least 8 elements. If one of these two matroids has at least 9 elements, then it contains a Z_4 -restriction containing T . Such a restriction contains an F'_7 -minor containing T with x being in a parallel pair. At the same time, $si(M_i)$ contains an $M(K_4)$ -minor containing T for $i = 1, 2$. Noting that y is in a parallel pair of M_1 , we deduce that M contains a P_9 -minor; a contradiction. Hence we may assume that both $si(M_1)$ and M_2 contain exactly 8 elements. Now it is easily seen that M_1 contains an F'_7 -minor containing T with y being in a parallel pair. At the same time, $si(M_2)$ contains an $M(K'_4)$ -minor containing T with x being in a parallel pair. This is a contradiction as M now contains a P_9 -minor.

So from now on we may assume that if M_1 or M_2 is not simple, then only x could be in a parallel pair. Indeed, as M is simple, at most one of M_1 and M_2 is not simple. Suppose that one of M_1 and M_2 , say M_1 , is not simple, then either $M \cong Z_{s+t}$, $M \cong Z_{s+t} \setminus y_s$, $M \cong Z_{s+t} \setminus y'_t$, or $M \cong Z_{s+t} \setminus y_s, y'_t$, all of which are spikes except the last matroid. The last matroid, $M \cong Z_{s+t} \setminus y_s, y'_t$, however, contains a cocircuit $\{y_s, y'_t\}$, contradicting to the fact that M is 3-connected. Finally assume that both M_1 and M_2 are simple. Then $M \cong Z_{s+t} \setminus x$, $M \cong Z_{s+t} \setminus x, y_s$, $M \cong Z_{s+t} \setminus x, y'_t$, or $M \cong Z_{s+t} \setminus x, y_s, y'_t$, all of which are spikes except the last matroid. The last matroid, $M \cong Z_{s+t} \setminus x, y_s, y'_t$, again, contains a cocircuit $\{y_s, y'_t\}$; a contradiction. This completes the proof of Case 2.2, thus the proof of the theorem. \square

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