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SCS 41: An Exercise on the Spectrum of Function Spaces

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An exercise on the spectrum of function spaces

TOPIC

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Isbell, J.R. Function spaces and adjoints, *Math.Scand.* 36(1975), 723-728
 Hofmann, K.H. Continuous lattices, topology, and topological algebra, Preprint 1977, 32 pp.
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On the occasion of a stop-over in Paris, D.Scott was shown the recent preprint by Lawmann on the spectral theory of continuous Heyting algebras (as distributive continuous lattices will undoubtedly be called in the Compendium and from here on forward). He began immediately to mull over his favorite subject in the area; function spaces and continuous lattices. He argued as follows: If L and M are continuous lattices, so is $[L \rightarrow M]$ (the space of all Scott continuous functions from L to M with pointwise lattice operations). In fact this is a sublattice of M^L (closed even under arbitrary sups), so if M is distributive, then the function space is a distributive lattice. What is its spectrum (which, as we know from Lawmann, determines it completely)?

Some remarks regarding this question are communicated in the following for later inclusion in the compendium.

PROPOSITION. Let X be a locally quasicompact sober space and D a continuous Heyting algebra. Then the primes of $\text{Top}(X, SD)$ (where SD denotes \mathcal{D} with the Scott topology) are precisely the functions $f_{(x,p)}$ which are defined

$$\text{by } f_{(x,p)}(y) = \begin{cases} p & \text{for } y \in \{x\}^- \\ 1 & \text{otherwise} \end{cases}, \quad \forall x \in X, p \in \text{PRIME } D.$$

The function $(x,p) \mapsto f_{(x,p)} : X \times \text{Spec } D \longrightarrow \text{Spec Top}(X, SD)$

is a homeomorphism relative to the hull kernel topologies on the spectra.

REMARKS. i) The hypothesis that X be sober is no restriction of generality since $\text{Top}(X, SD) = \text{Top}(\check{X}, SD)$, where \check{X} is the sobrification of X . (Lawmann, 2.8)

ii) The distributivity of D is only essential to secure the non-emptiness of the spectra. The statement in itself remains valid without it.

We proceed by steps and do in fact a more general statement.

LEMMA 1. Let X be a topological space and L a continuous lattice. Let

F be the complete lattice $\text{Top}(X, L)$ under pointwise operations (see Scott LNM 274, p.112). For $f \in F$ the following statements are equivalent:

- (1) $f \in \text{IRR } F$. (2) There is a prime $U \in \mathcal{O}(X)$ and a $p \in \text{IRR } L$ with $f(x) = p$ for $x \in U$.

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$f = \text{ch}_U \vee \text{const}_p$ (where ch_A is the characteristic function of $A \subseteq X$)
 Proof. (2) \Rightarrow (1). Suppose $ab = f$. Let $A = a^{-1}(\downarrow p) = a^{-1}(p)$ and $B = b^{-1}(\downarrow p) = b^{-1}(p)$. Then A and B are closed and since p is irreducible, $A \cup B = X \setminus U$. Since U is prime, $A = X \setminus U$ or $B = X \setminus U$, and so $a = f$ or $b = f$.

(1) \Rightarrow (2). Suppose $t \in f(X)$, $t < 1$. Take an arbitrary $s \ll t$ in L and set $U = f^{-1}(\uparrow s)$. Then U is open and the two functions $a = f \vee \text{ch}_U$ and $b = f \vee \text{const}_s$ are in F . If $x \in U$, then $s \ll f(x)$ and so $a(x) = f(x) \vee 1 = f(x)$ and $b(x) = f(x) \vee s = f(x)$, i.e. $(ab)(x) = f(x)$. If $x \notin U$, then $a(x) = f(x) \vee 0 = f(x)$ and $b(x) = f(x) \vee s$, i.e. $(ab)(x) = f(x)(f(x) \vee s) = f(x)$. Hence $ab = f$. Since $f \in \text{IRR } F$ we have $a = f$ or $b = f$. But $a = f$ means $\text{ch}_U \leq f$, and this means that $s \ll f(x)$ implies $f(x) = 1$, but this is not possible since there is an x with $f(x) = t$, i.e. with $s \ll f(x) < 1$. Hence we conclude $b = f$ which means $s \leq f(x)$ for all $x \in X$. Since $s \ll t$ was arbitrary and L is continuous, we conclude $t \leq f(x)$ for all X . We have so far shown that $f(X) = \{1\}$ (in which case (2) holds) or that f takes at most two ^{either} _{different} values 1 and p . Since it is continuous, this means that f is of the form $\text{ch}_U \vee \text{const}_p$ with the open set $U = f^{-1}(1)$. - If $p = vw$ in L set $a = \text{ch}_U \vee \text{const}_v$ and $b = \text{ch}_U \vee \text{const}_w$ in F and note $ab = f$. Since f is irreducible, $f = a$ or $f = b$, i.e. $p = v$ or $p = w$ follows. Thus $p \in \text{IRR } L$. - If $U = V \wedge W$ set $a = \text{ch}_V \vee \text{const}_p$ and $b = \text{ch}_W \vee \text{const}_p$. In calculating $ab = f$ do not use the distributivity of L , since we do not assume it, but make a simple case distinction. The irreducibility of f implies $f = a$ or $f = b$, i.e. $V = U$ or $W = U$. Thus $U \in \text{IRR } O(X) = \text{PRIME } O(X)$. §

Recall: If X is a sober space, then $U \in \text{PRIME } O(X)$ iff $U = X \setminus \{x\}$ for some $x \in X$; and this property is characteristic for sober spaces.

In Lawmann the topology generated on a lattice by the sets $L \setminus \uparrow x$ is called the INF-topology (p.52). We will do this here and consider on $\text{IRR } L$ and $\text{IRR } F$ the INF-topologies. If $\text{IRR} = \text{PRIME}$, this is precisely the hull kernel topology.

LEMMA 2. Let X be a sober space and L a continuous lattice. ^{If X is also locally compact,} then

$F = \text{Top}(X, SL)$ is a continuous lattice (see Isbell or Hofmann). For $x \in X$ and $p \in \text{IRR } L$ let $f_{(x,p)} = \text{ch}_{X \setminus \{x\}} \vee \text{const}_p$; then $(x,p) \mapsto f_{(x,p)} : X \times (\text{IRR } L \setminus \{1\}) \rightarrow \text{IRR } F \setminus \{1\}$ is a bijection, by Lemma 1. Claim: This map is a homeomorphism with the INF-topology on the irreducible spectra.

Proof. The generic closed sets of $\text{IRR } F \setminus \{1\}$ are of the form $\uparrow a \wedge S$, $a \in F$. Now $B^{-1}(\uparrow a \wedge S) = \{(x,p) : x \in X, p \in \text{IRR } L, p \neq 1, a(x) \leq p\}$. We claim that the complement of this set is open in $X \times (\text{IRR } L \setminus \{1\})$. Indeed suppose $a(x) \not\leq p$. Pick an $s \in L$ with $s \not\leq p$ and $s \ll a(x)$. Then $U \in a^{-1}(\uparrow s)$ is an open neighborhood of x in X , and $V = (\text{IRR } L) \setminus \uparrow s$ is an open neighborhood of p in $\text{IRR } L \setminus \{1\}$. If $u \in U$ and $v \in V$, then $a(u) \not\leq v$ (since otherwise $s \ll a(u) \leq v \Rightarrow s \leq v \in \uparrow s$!). This proves the claim. Conversely,

let A be closed in X and let $s < 1$ in L so that $\uparrow s \cap (\text{IRR } L \setminus \{1\})$ is a generic closed set of $T = \text{IRR } L \setminus \{1\}$. Define $a: X \rightarrow L$ by

$$a(x) = \begin{cases} s & \text{if } x \in A \\ 1 & \text{otherwise} \end{cases} . \text{ Then } a \text{ is continuous, i.e. } a \in F. \text{ Moreover,}$$

$a \leq B(x, p) = f_{(x,p)}$ iff $a(x) \leq p$ iff $(s \leq p \text{ for } x \in A \text{ and } 1 \leq p \text{ for } x \notin A)$; but $p < 1$, hence $a \leq B(x, p)$ iff $x \in A$ and $p \in \uparrow s$. Thus $A \times (\uparrow s \cap T) = B(\uparrow a \cap S)$ is the image of a generic closed set in the irreducible spectrum of F . §

We are now finished with the proof of the proposition, since the Lemma 2 is in fact a stronger statement (having nothing to do with ^{core compactness or} distributivity). If we return for a moment to distributivity, then we know by Lawmann that $D = O(Y)$ for some locally quasicompact sober space. We can write $D = \text{Top}(Y, S_2)$. Then F becomes $\text{Top}(X, S \text{Top}(Y, S_2))$. In view of our Proposition and Lawmann we have shown that $F \cong O(X \times Y) = \text{Top}(X \times Y, S_2)$, and this is reasonable.